

Chapter 5: Information from Paleoclimate Archives

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1 **Figures76**
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1 **Executive Summary**

2
3 [PLACEHOLDER FOR FIRST ORDER DRAFT: Text and Figures will be amended after new
4 CMIP5/PMIP3 simulations are analysed and new publications available. The treatment of uncertainty,
5 following IPCC guidelines, will be systematically implemented in the FOD. Cross-chapter coherency has
6 been planned with Chapters 6 (carbon cycle), 13 (sea level) and 9 (model evaluation) which also use
7 palaeoclimate information.]
8

9 **Radiative forcings and radiative perturbations from Earth-System feedbacks**

- 10 • Since AR4, the knowledge about recent natural forcings has progressed. Revised estimates of past solar
11 and volcanic forcings have been published, spanning the current interglacial period and the last 1500
12 years, respectively. Different representations of solar and volcanic forcings have been used for
13 simulating the climate of the last millennium.
- 14 • Records of past black carbon deposition and biomass burning are emerging, in relationship with climate
15 variability and anthropogenic land use change. Large discrepancies are identified in various estimates of
16 pre-industrial anthropogenic land use changes.
- 17 • Ice core records of past concentrations and isotopic composition of greenhouse gases have been
18 expanded back to 800 ka, confirming the previous range of glacial-interglacial variations. Less precise
19 pre-Quaternary reconstructions obtained from geological records suggest that CO₂ concentrations above
20 400 ppmv likely prevailed during the past 65 Ma, until the Pliocene (5.3 to 2.6 Ma).
21

22 **Earth System responses and feedbacks at global and hemispheric scales**

- 23 • The available information depicts tight coupling between pre-Quaternary CO₂ levels and global climate
24 including thresholds for Antarctic and Northern Hemisphere ice sheet inceptions. It is likely that CO₂
25 concentrations were above about 1000 ppmv and global mean surface temperature about 8°C above pe-
26 industrial values about 50 million years ago (the Eocene, the warmest part of the past 65 million years).
27 Global mean temperatures 4 ± 2°C above pre-industrial were encountered during the Pliocene, when
28 CO₂ levels were likely around 400 ppmv.
- 29 • While reduced equator-pole temperature gradients are likely for high CO₂ worlds (Eocene to Pliocene),
30 questions remain about the ability of climate models to capture the large polar depicted by terrestrial and
31 marine temperature proxies. New syntheses of Last Glacial Maximum sea surface and ice core
32 temperature reconstructions are compared with climate model simulations in order to constrain climate
33 sensitivity. In climate models, climate sensitivity depends on the climate state (higher in cold climates
34 than in warmer climates) because of cloud feedbacks. climate sensitivity, higher in cold climates.
- 35 • New records of glacial-interglacial variability since 800 ka have been used to estimate fast and slow
36 climate sensitivity and assess the strength of the climate-carbon feedbacks. Since AR4, transient glacial-
37 interglacial climate simulations have been performed with coupled climate-ice sheet models, in response
38 to orbital forcing. A correct magnitude of ice ages is only reached when taking into account CO₂ positive
39 feedback.
- 40 • At the start of deglaciations and during the beginning of interglacial periods, temperature changes are not
41 synchronous worldwide because of reorganizations in large scale ocean circulation.
- 42 • Past interglacials such as the Last Interglacial (130–120 ka) were regionally warmer than today at mid to
43 high latitudes. In response to the known LIG orbital forcing, ocean-atmosphere coupled simulations
44 underestimate Greenland or Eurasian LIG warmth. This may be due to the lack of appropriate land
45 surface and sea ice feedbacks in the models.
- 46 • There is new evidence for centennial to millennial climate variability during the current and earlier
47 interglacials, superimposed on long term trends caused by orbital forcing. Climate models explain much
48 of the spatial and temporal complexity of the early to mid Holocene optimum by the interplay of orbital
49 forcing and the regional impacts of ice sheet decay.
- 50 • New multi-proxy based statistical reconstructions have been developed to estimate hemispheric and
51 global temperature variations during the last centuries/millennia. Since AR4, larger amplitudes of
52 variations have been documented between the Medieval Climate Anomaly (MCA) and Little Ice Age
53 (LIA). Comparison of the relative warmth of the Medieval and modern periods is still problematic due to
54 considerable uncertainty, both quantified and unquantified. The available evidence provides medium
55 confidence that the last 50 years were, on average, warmer than the Medieval Climate Anomaly for the
56 Northern Hemisphere and perhaps global scales. Evidence for modern warming is more extensive
57 seasonally and geographically than the evidence for Medieval warmth.

- 1 • A broad agreement is observed between simulations and reconstructions of NH temperature during the
2 last millennium when forced by natural and anthropogenic forcings, but uncertainties in reconstructed
3 temperatures and forcings limit the power of this comparison as a test of climate model performance.
4

5 **Past changes in sea level and related processes**

- 6 • Global sea level was very likely by 4–6 m higher during the last interglacial (LIG) than present. A higher
7 sea level anomaly of +6.6–9.4 m cannot be excluded based on one study. While a sea level rise of +4 m
8 during the LIG can be explained by Greenland ice sheet melting and thermosteric effect, any higher sea
9 level would require the response of both polar ice sheets to only moderate levels of warming.
10 • New evidence does not support a sea level rise by +20 m for the interglacial associated with marine
11 isotope stage 11 (about 400 ka). It is more likely [tbc] that sea level was closer to present [to be
12 quantified].
13 • Sea level estimates for the warmest interglacials of the Pliocene indicate that global sea level was likely
14 to have been greater than +15 m and very likely to have been greater than +9 m, with a mean value of
15 +22 m above present-day. The complete deglaciation of the marine portions of the West Antarctic Ice
16 Sheet together with, thinning and recession on the margins of the East Antarctic Ice Sheet contributed
17 with +7 m of sea level rise to the global sea level budget during Pliocene interglacials.
18 • New estimates for rates of deglacial sea level rise support earlier estimates for the abrupt increase called
19 Meltwater Pulse 1a (14–14.5 ka) of about 20 m in less than 500 years with an average annual rise of at
20 least about 40 mm yr⁻¹ [to be updated with uncertainty levels when new data published.]
21

22 **Climate responses and feedbacks at regional scales**

- 23 • High-amplitude near surface temperature variations on millennial to centennial time scales appear to
24 characterise Holocene ocean temperatures in both hemispheres. Due to time scale uncertainties, the
25 phasing of these significant pre-industrial climate events between the hemispheres remains unclear.
26 • Marine reconstructions from high-latitude North Atlantic-Arctic ocean areas show century scale
27 temperature anomalies associated with a warm phase centred around 1100 AD and a generally colder
28 phase after 1400 AD that ends in the early 20th century. In the Fram Strait, at the entrance to the Arctic
29 the high modern SSTs appear unprecedented over the past 2000 years.
30 • During the past few millennia centennial-scale oscillations of the western Pacific warm Pool were
31 synchronous with known changes in Northern Hemisphere climate (e. g., the Little Ice Age and
32 Medieval Climate Anomaly, about 900 to 1350 AD) implying a dynamic link between Northern
33 Hemisphere temperatures and Indo-Pacific Warm Pool hydrology.
34 • The MCA was not characterized by uniformly warmer temperatures globally, but rather by a range of
35 temperature, hydroclimate and marine changes with distinct regional and seasonal expressions.
36 • New high resolution records of tropical precipitation document large scale ITCZ shifts at orbital (driven
37 by changes in precession) and millennial scale (very likely linked with AMOC reorganisations) with
38 opposite impacts on Northern Hemisphere and Southern Hemisphere monsoons.
39 • Solar and volcanic forcings generally played a role throughout the last millennium, particularly in
40 establishing the contrast between MCA and LIA periods and the pacing of cold and warm periods inside
41 this interval. Recent climate model simulations driven with lower levels of past solar forcing variability
42 suggest that internal variability could have had a role in producing many of the observed regional
43 changes.
44 • Extended periods of megadroughts have been observed during interglacials in North America, South
45 America, Africa and Europe. The length of past megadroughts sometimes exceeds those observed in the
46 instrumental period and can be regarded as a natural part of interglacial climate variability. Extended
47 intervals of drought associated to weak Indian Summer Monsoon in the last 2000 years were
48 synchronous across a large region of Asia including southern Vietnam.
49 • Reconstructions of ENSO document an active ENSO phase during 1550–1650 and a reduction of eastern
50 equatorial Pacific sea surface temperature variance in the periods 1650–1700, 1760–1780 and 1830–
51 1870 and a gradual increase of variance into the 20th century. Volcanic forcing has been shown to
52 increase the probability of reconstructed El Nino events to occur in the two years following the volcanic
53 eruption.
54 • The strong positive phases of the North Atlantic Oscillation within the mid-1990s are not unusual in
55 context of the past half millennium. There is also strong evidence that positive NAO phases followed
56 large tropical volcanic eruptions with a lag of a few years.
57

Evidence and processes of abrupt climate change

- The rate of regional warming in Greenland associated with abrupt Dansgaard-Oeschger events and millennial-scale variability during the last glacial termination ranged from of 8°C to $16 \pm 2.5^\circ\text{C}$ within several decades. Abrupt warming was preceded by major reorganizations in atmospheric circulation. Abrupt climate variations at the millennial time scale and associated climate instabilities are a pervasive feature of glacial periods during the last one million years. It still remains under debate whether Dansgaard-Oeschger events can be considered stochastically generated individual events, internal oscillations of the glacial atmosphere-ocean sea-ice system, or whether they require dynamical coupling with ice-sheets, or whether they are astronomically forced.
- The modelled large-scale teleconnection patterns in response to an Atlantic meridional overturning circulation (AMOC) weakening closely resemble those reconstructed for glacial abrupt Dansgaard-Oeschger and Heinrich Events, thereby supporting the notion that both types of events are related to large-scale reorganizations of the AMOC.

Paleoclimate perspective on irreversibility in the climate system

- New palaeoclimate information and ice sheet models suggest that the West Antarctic and Greenland ice sheets are highly sensitive to polar warming and CO₂ concentrations. Stability thresholds may be close to the present day climate implying potential future irreversible melting.
- High resolution marine sediment data and coupled ocean-atmosphere climate models consistently depict abrupt changes in ocean currents after a catastrophic freshwater inflow at 8.2 ka (10^{14} m^3 possibly within <0.5 year) and complete recovery within about 200 years.
- Mangrove and forest ecosystems are documented to have recovery time scales of about 50–250 years after destruction caused by hurricanes or pre-industrial anthropogenic land use.
- Based on latitudinal shifts of plant types during the last deglaciation, migration rates of vegetation zones range from 15 to 70 km per century in subtropical Africa, north America and Europe.

5.1 Introduction

Chapters 2–4 have assessed climate variations based on instrumental or satellite records. Prior to the instrumental period, information from documentary sources and natural archives provide quantitative information on past regional to global climate and atmospheric composition variability. Accurate and quantitative reconstructions of key climate variables (including temperature, precipitation, etc.) over a wide range of timescales provide information on both, the responses of the Earth system to external forcings and internal system variability. Palaeoclimatic reconstructions allow the ongoing climate change to be placed in the perspective of natural climate variability.

The Chapter “Palaeoclimate” in AR4 provided an overview of past climate changes, and was organized by timescales (from deep time, 10^6 to 10^7 years, to the last thousand years). Major progress has been accomplished in the acquisition of new and more precise information from palaeoclimate archives since AR4, the synthesis of local information to provide a large-scale documentation of past climate dynamics, and in the modelling of past climate responses to forcings. This chapter focuses on an assessment of the understanding of past climate variations, using both palaeoclimate reconstructions and associated uncertainties as well as a range of Earth-system models and climate models of varying complexities including CMIP5 GCMs.

Section 5.2 assesses new information since AR4 on recent reconstructions of external radiative forcings caused by variations in volcanic and solar activity. It also reports on emerging palaeoclimate information on two aspects of anthropogenic forcing; pre-industrial land-use change and black carbon deposition, both of which affect surface albedo. Section 5.2 also addresses past global radiative perturbations caused by Earth-system feedbacks, with a focus on past changes in atmospheric greenhouse gas and mineral aerosol concentrations.

Section 5.3 is dedicated to Earth-system responses and feedbacks at global and hemispheric scales. It first assesses the palaeoclimate record from past times when atmospheric CO_2 concentrations were comparable or higher than during the industrial era. Such intervals are of special interest because reconstructions of the meridional temperature gradient facilitate the estimates of the magnitude of polar amplification. Section 5.3 also assesses glacial climate sensitivity and associated feedbacks and the Earth-system response to insolation changes due to orbital forcing. An update on the global to hemispheric climate changes during the past 2000 years is given and placed in the perspective of climate variability during the course of the current and past interglacial periods.

Section 5.4 assesses the state of knowledge regarding past magnitudes and rates of sea level change. The focus is on large-amplitude sea level variation during glacial-interglacial transitions and during warm climate intervals. The compiled information is used to help address the stability of ice sheets.

Section 5.5 focuses on the regional aspects of reconstructions and modelling of past climate change, with a focus on temperature, precipitation and drought, modes of climate variability and on the last millennia. This section will include new and upcoming information from reconstructions at the continental scale and uses this information to assess model performance at the regional to continental scale.

Section 5.6 is dedicated to assessing new evidence of abrupt climate change in the past. The goal is to provide insights into the underlying processes associated with abrupt changes in the cryosphere, ocean circulation, in precipitation and droughts, and in variability and occurrence of extremes events.

The final section (Section 5.7) assesses the palaeoclimate perspective on irreversibility in the climate system. It addresses asymmetries in the response of ice sheets, recovery timescales of the Atlantic meridional overturning circulation, and the response of large-scale vegetation patterns to major perturbations.

Throughout this chapter, past climate fluctuations are quantified with respect to two different reference periods: 1) the 1961–1990 climatology for the last 2k; and 2) the pre-industrial period (1850 ± 15 years) for longer time scales. This definition of the pre-industrial period is consistent with that used in the pre-industrial control simulations of the Paleoclimate Modelling Intercomparison Project (PMIP).

5.2 Radiative Forcings and Radiative Perturbations from Earth System Feedbacks

[PLACEHOLDER FOR FIRST ORDER DRAFT]

5.2.1 External Forcings

[PLACEHOLDER FOR FIRST ORDER DRAFT]

5.2.1.1 Orbital Forcing

Orbital forcing is the only well-known forcing for the past and future. Resulting from interactions of the Earth with the Sun, Moon, and planets, the elements of the Earth's orbit—eccentricity, longitude of perihelion (precession) parameter, and the axial tilt parameter (obliquity)—can be calculated accurately for the past 40–50 million years and into the future using the gravitational equations for orbital motion (Berger and Loutre, 1991; Laskar et al., 2004). The additive contributions of the periodic changes in these elements result in perturbations in the annual, seasonal, and latitudinal distribution and magnitude of the solar energy received at the top of the atmosphere (Jansen et al., 2007). Obliquity impacts annual mean insolation and the latitudinal gradient of insolation with opposite effects at low versus high latitudes. Precession results in an interhemispheric out-of-phase signal for seasonal insolation. The durations and intensities of local seasons are also affected (Huybers, 2006; Timm et al., 2008) and have a largely cancelling influence on the integrated seasonal insolation between hemispheres with implications for the orbital pacing of ice age cycles [(Raymo et al., 2006; Huybers et al., 2007)]. Over the last million years, there is no best orbital analogue to our present interglacial. Earlier studies proposed that Marine Isotope Stage 11 (MIS11; about 400 ka), due to the low eccentricity level, as an analog for the present interglacial, but the phase between obliquity and precession is very different from present day (Tzedakis, 2010). MIS19 (about 800 ka) has a more comparable phasing and similar eccentricity, but the magnitude of the obliquity variations is weaker due to long-term amplitude modulation.

Although orbital forcing has usually been considered to explain long-term climatic changes, such as glacial-interglacial cycles, it can also be important for understanding both trends and abrupt changes on millennial time scales (Capron et al., 2010b; Kaufman et al., 2009b). Over the period from 850 CE to present, the principal orbital change is a about 20 day shift in perihelion, leading to an insolation increase of about 4 W m⁻² in May and a decrease of about 6 W m⁻² in August at 65°N (Schmidt et al., 2011). These orbital insolation variations are included in the CMIP5 simulations for the last millennium. Although not included in the RCP scenarios, orbitally driven changes in insolation will similarly result in a about 1 W m⁻² 65°N May insolation increase from 2000 to 2300 CE (about 1.5 W m⁻² August insolation decrease), with little annual change.

5.2.1.2 Solar Forcing

Over the last two decades, models have been developed to explain the instrumental record of total solar irradiance. Two basically different approaches were chosen. The first approach attributes all TSI changes exclusively to magnetic phenomena (sunspots, faculae, magnetic network) occurring in the photosphere at the solar surface. The second approach includes additional global phenomena (e.g., solar radius) in its estimate. The first approach was able to successfully reproduce all the observed TSI changes in the PMOD composite between 1978 and 2003; hence most efforts went into the refinement of these models (Balmaceda et al., 2007; Crouch et al., 2008). The basic concept of these TSI models is to divide the solar surface into several different magnetic features each of which emits a specific radiative flux. Typical examples of dark features reducing TSI are sunspots (umbra and penumbra). Faculae and the magnetic network are examples of bright features that enhance TSI. Each feature is weighted by the fraction (filling factor) of the total solar surface it covers. TSI is calculated by adding the radiative fluxes of all features plus the contribution from the quiet Sun, the remaining surface free of any magnetic activity. This approach requires detailed information of all the magnetic features and their temporal changes (Wenzler et al., 2005; Krivova and Solanki, 2008).

The extension of the TSI record back into pre-instrumental times poses two main problems. Firstly, the instrumental period that is used to test and to calibrate the models does not show any significant long-term

1 trend. Secondly, detailed information about the various magnetic features is not available and must be
2 deduced from proxies such as sunspots for the past 400 years and cosmogenic radionuclides (^{10}Be and ^{14}C)
3 for the past 10,000 years. TSI can be approximated from sunspot numbers or the open magnetic flux deduced
4 from cosmogenic radionuclides using simple models to relate the magnetic features of the TSI models to the
5 emergence of magnetic flux and its decay during a solar cycle. Since all reconstructions rely ultimately on
6 the same data (sunspots and cosmogenic radionuclides), but differ in the details of the applied models and
7 calibrations, the reconstructions agree rather well in their shape, but differ considerably in their amplitude
8 (Figure 5.1b) (Wang et al., 2005a; Krivova et al., 2007; Krivova et al., 2010; Krivova et al., 2011).

9
10 Before 1600 CE, all information about solar activity is derived from ^{10}Be in ice cores and ^{14}C in tree rings.
11 These records reflect not only the open solar magnetic field, but also the geomagnetic dipole field and effects
12 of their respective geochemical cycles. These non-solar components have to be removed before deriving TSI
13 and contribute to the uncertainty of the reconstructions (see grey band in Figure 5.1c). The TSI record is
14 characterized by distinct minima lasting 50–100 years corresponding to the grand solar minima that are
15 superimposed upon the long-term changes. Spectral analysis of the TSI record reveals the existence of well-
16 defined cycles (87, 104, 130, 208, 350, 515, 980 years) with varying amplitudes (Steinhilber et al., 2009;
17 Balmaceda et al., 2007; Lean et al., 2011).

18 [INSERT FIGURE 5.1 HERE]

19 **Figure 5.1: a)** 3 composites of instrumental data from several satellite based radiometers indicated by
20 different colours (Dewitte et al., 2004; Frohlich, 2009; Willson and Mordvinov, 2003). The differences
21 between the composites are due to different combinations of the radiometer data and the application of
22 different corrections (x-axis is YEAR AD -> will be incorporated into the figure). **b)** TSI reconstructions
23 back to 1600 AD. The lack of direct measurements is compensated by proxies of solar activity (e.g.,
24 sunspots, ^{10}Be) which are used to estimate the parameters of the models or directly TSI. Depending on the
25 assumptions, the differences between the long-term averages are large and range from no change to 0.4%
26 between the present and the Maunder minimum when the Sun was very quiet. With one exception (SSR) all
27 recent reconstructions show relatively small long-term changes (<0.1%) compared to the [reference needed:
28 Lean et al., xxxx] record (0.24%). The top 5 records have been used by Jungclaus et al. (2010b) to simulate
29 the climate of the past 1000 years. The wavelet analysis of TSI (WLS) shows the 11-year Schwabe cycle
30 which is weak during the Dalton minimum (1790–1830) and absent during the Maunder minimum (1645–
31 1715), and cycles around 80 and 120 years. DB: Delaygue and Bard (2010); MEA: Muscheler et al. (2007);
32 SBF: Steinhilber et al. (2009); WLS: Wang et al. (2005c); VK: Vieira et al. (2011); LBB: Lean et al. (1995);
33 SSR: Shapiro et al. (2011). **c)** TSI reconstruction (low-pass filtered by 100 year) covering the past 9300
34 years (Steinhilber et al., 2009). The grey band represents the 1-sigma uncertainty range. The reconstruction
35 is based on ^{10}Be and calibrated using the relationship between instrumental data of the open magnetic field
36 which modulates the production of ^{10}Be and TSI for the past 4 solar minima. The wavelet analysis shows the
37 existence of several well-defined periodicities with varying amplitudes (87, 104, 130, 150, 208, 350, 515,
38 970, 2300 years).

39 5.2.1.3 Volcanic Forcing

40
41 Since AR4, the magnitude, latitude, altitude, and timing of stratospheric sulfate injection caused by volcanic
42 eruptions of the past 1500 years has been estimated based on multiple bipolar ice core records of sulfate
43 deposition and atmospheric modeling to take into account deposition rates (Gao et al., 2008; Gao et al.,
44 2006). Another reconstruction of volcanic aerosol optical depth and particle size in four latitudinal bands has
45 been produced at sub-annual resolution based on bipolar ice core records and the comparison between the
46 Pinatubo deposition in Antarctica and satellite data (Crowley and Hyde, 2008; Schmidt et al., 2011;
47 Timmreck et al., 2009). These two reconstructions differ in their source data (ice core records), analysis of
48 the type of eruption (local, hemispheric or global) and methods to estimate optical depths. Both datasets can
49 be used for the boundary conditions of PMIP3-CMIP5 last millennium simulations. Uncertainties on the
50 seasonal timing of the eruptions arise from the ice core sulfate or acidity resolutions and from dating
51 uncertainties, and they increase back in time.

52 Eruptions that produce stratospheric sulfate aerosols have a specific fingerprint in sulfur isotopic
53 composition caused by mass-independent fractionation during photochemical reactions above the ozone
54 layer (Baroni et al., 2007). This method has been applied to Antarctic ice core data and demonstrates the
55
56
57

1 stratospheric character of several large Antarctic volcanic sulfate deposition events (1809 CE, 1815 CE
2 Tambora, 1452–1453 CE. Kuwae, 1258–1259 CE unknown large event) (Baroni et al., 2008; Cole-Dai et al.,
3 2009). The climate impact of very large eruptions may be strongly limited by increased collision rate and
4 aerosol growth (Timmreck et al., 2009). While a number of ice core volcanic records are available prior to
5 the last 1500 years, they do not yet have sufficient resolution or bipolar dating (Bay et al., 2006) to allow
6 quantitative volcanic forcing reconstructions. Several Antarctic ice core records depict more frequent large
7 eruptions during the past 2000 years, as reviewed by Cole-Dai et al. (2009), while an enhanced volcanic
8 activity has been reported for the early Holocene in the GISP2 Greenland record. Increased subaerial
9 volcanism is documented in deglaciating regions between 12 and 7 ka (thousand years before 1950 CE) and
10 may have contributed to deglacial CO₂ emissions (Huybers and Langmuir, 2009). The links between changes
11 in ice thickness and volcanic activity remain uncertain with implications for future changes (Tuffen, 2010).
12 Antarctic ice flow may be affected by volcanic activity (Corr and Vaughan, 2008; Gow and Meese, 2007).

13
14 There is evidence for rare but extremely large volcanic eruptions such as the Toba event (at 73 to 74 ka)
15 (Mason et al., 2004) with uncertainties on its long lasting impacts (Haslam et al., 2010; Louys, 2007;
16 Oppenheimer, 2002; Petraglia et al., 2007; Robock et al., 2009; Williams et al., 2009).

17 18 *5.2.1.4 Anthropogenic Forcing*

19
20 For the last millennium, changes in CO₂, CH₄ and N₂O concentrations are included in CMIP5 simulations
21 (Schmidt et al., 2011) using data from multiple cores from Antarctica and Greenland (see Section 5.2.2.1).
22 Millennial simulations previous to the CMIP5 experiments have essentially used the same sources as in
23 Jansen et al. (2007).

24
25 Records of past black carbon (BC) and land-use changes are indicative of natural and anthropogenic
26 emissions. Arctic ice core BC records (Banta et al., 2008; McConnell and Edwards, 2008) show good
27 agreement with existing global inventories since the mid 19th century (Junker and Liousse, 2008; Lamarque
28 et al., 2010; McConnell et al., 2007) and reveal North American and European coal combustion influences at
29 the beginning of the 20th century. Late 20th century Asian BC sources have been identified in Himalayan
30 glaciers (Ming et al., 2008; Xu et al., 2009) and may have reached also North America and the Arctic
31 (Hadley et al., 2010; McConnell and Edwards, 2008).

32
33 Preindustrial BC from biomass burning is influenced by climatic conditions and modulated by anthropogenic
34 land cover changes (ALCC) (Justino et al., 2010; Pechony and Shindell, 2010; Power et al., 2008). New
35 charcoal and pollen records show increased fire occurrence in the transition to the Holocene in North
36 America (Marlon et al., 2009; Power et al., 2010). A long-term Holocene decline in biomass burning is
37 found in Hudson Bay charcoal sediments likely in response to the decrease in summer insolation (Hely et al.,
38 2010). Charcoal data also show a global biomass burning decrease in the first centuries of the last
39 millennium (Power et al., 2008), in agreement with model simulations where it is mostly driven by
40 precipitation (Pechony and Shindell, 2010). A later increase in the 18th–19th centuries is associated to
41 anthropogenic activities, and a posterior decline is attributed to ALCC and fire management. This is in
42 agreement with isotopic records of CH₄ and CO₂ obtained from Antarctic firn and ice cores that show
43 replacement of biomass burning by agricultural activities (Mischler et al., 2009; Wang et al., 2010).

44
45 For the last millennium, the CO₂ forcing associated to theALCC has been found to dominate in model
46 simulations over the physical (albedo) changes (Pongratz et al., 2010; Pongratz et al., 2009) globally and in
47 most regions. This carbon contribution has been hypothesized to account for increased CO₂ after 8 ka. Since
48 AR4, new datasets are available (Gaillard et al., 2010; Kaplan et al., 2009; Olofsson and Hickler, 2008;
49 Pongratz et al., 2008) and further investigations on the preindustrial impact of ALCC on the global carbon
50 cycle have been conducted, with contrasting results for the early Holocene (Kaplan et al., 2011; Ruddiman,
51 2007; Ruddiman and Ellis, 2009; Stocker et al., 2011); see Chapter 6 for implications for the carbon cycle.

52 53 *5.2.2 Radiative Perturbations from Earth System Feedbacks*

54
55 [PLACEHOLDER FOR FIRST ORDER DRAFT]

5.2.2.1 Atmospheric Concentrations of CO₂, CH₄, N₂O from Ice Cores

Air trapped in polar firn and ice cores provide a direct, albeit low-pass filtered (Joos and Spahni, 2008), record of the three most important long-lived GHGs (greenhouse gas concentrations) CO₂, CH₄ and N₂O, complementing direct atmospheric measurements of these gases over the last decades (see AR5 Chapter 2). Since AR4, records of CO₂, CH₄ and N₂O variations during the last millennia have been obtained from new ice cores (Mischler et al., 2009; Siegenthaler et al., 2005) and at higher temporal resolutions (Meure et al., 2006, 2008, Orbital and millennial-scale). Centennial variations of up to 10 ppmv CO₂, 40 ppbv CH₄ and 10 ppbv N₂O occur throughout the pre-industrial period, and the onset of multi-centennial increasing trends (CH₄ and N₂O) start prior to the industrial period. Long-term records previously available back to 650 ka have now been extended to 800 ka using the Antarctic EPICA Dome C ice core (Louergue et al., 2008; Luthi et al., 2008; Schilt et al., 2010), and hence cover eight glacial/interglacial cycles. The concentrations of the aforementioned GHGs stay within well defined natural limits with maximum interglacial concentrations of about 300 ppmv, 800 ppbv and 300 ppbv for CO₂, CH₄ and N₂O, respectively, and minimum glacial concentrations of about 172 ppmv, 350 ppbv and 200 ppbv. Current atmospheric concentrations or rates of increase are never encountered over the last 800 ky for any of the three GHGs (Joos and Spahni, 2008). The 800 ka Antarctic CO₂ record reveals long term (>200 kyr) trends in addition to glacial interglacial variations (Luthi et al., 2008), which also appear in the records of oxygen isotopic composition of air (Landais et al., 2008). The data depict strong but changing relationships between Antarctic temperature, global sea level and CO₂ (Masson-Delmotte et al., 2010a).

For certain time intervals the N₂O concentrations in ice cores are subject to *in situ* production, which is a function of level of aerosol impurities, as illustrated by a higher scatter in high-resolution records. Using the low impurity Talos Dome ice core from Antarctica, these gaps could be filled for the last glacial cycle and a stacked high-resolution record was compiled for the three major GHG (Schilt et al., 2010). These records show significant centennial variations in CH₄ and N₂O during the last glacial, which are linked to rapid glacial climate changes in the Northern Hemisphere and millennial CO₂ changes connected to their longer-term imprint in the Southern Hemisphere via the bipolar seesaw (Ahn and Brook, 2007; Ahn and Brook, 2008; Capron et al., 2010b; Grachev et al., 2009; Louergue et al., 2008; Luthi et al., 2008; Schilt et al., 2010). These suborbital GHG variations never exceed the natural glacial/interglacial bounds. Additional information about the sources and processes related to the past GHG concentration changes is provided in AR5, Chapter 6. New records of carbon isotope variations in CO₂ ($\delta^{13}\text{CO}_2$) are also providing important constraints. For example, small $\delta^{13}\text{CO}_2$ decrease in late Holocene suggests that the 20 ppmv increasing trend in CO₂ is mostly due to carbonate compensation and coral reef formation (Elsig et al., 2009). A significant drop in $\delta^{13}\text{CO}_2$ during the last and penultimate termination points to the upwelling of old, carbon enriched deep water contributing to the concurrent CO₂ increase (Lourantou et al., 2010a; Lourantou et al., 2010b). In case of CH₄, the inter-hemispheric gradient as well as carbon and hydrogen isotopes support the role of changes in boreal and tropical wetlands in explaining most of the observed long-term and centennial changes (Bock et al., 2010; Fischer et al., 2008; Petrenko et al., 2009; Sowers, 2006; Sowers, 2010). GHG isotopes in ice cores also clearly support the anthropogenic origin of the current GHG increase (Ferretti et al., 2005; Mischler et al., 2009).

5.2.2.2 Atmospheric CO₂ Concentrations from Geological Proxy Data

The current increase in the atmospheric concentration of the GHG carbon dioxide (CO₂), from 275–285 ppmv in pre-industrial times to about 390 ppmv today, is unprecedented over the last 800 ka of recent Earth history (Section 5.2.2.1). Pre-Quaternary estimates of CO₂ concentration rely on geologic proxies, which have larger uncertainties than those based on ice cores. There are four primary proxies used for pre-Quaternary CO₂ levels (summarised (Jansen et al., 2007; Royer, 2006)): The $\delta^{13}\text{C}$ composition of soil-forming minerals (Cerling, 1991; Yapp and Poeths, 1992); the $\delta^{13}\text{C}$ composition of long-chained alkenones preserved in marine sediments (Honisch et al., 2009; Pagani, 2005; Pagani et al., 2010a; Seki et al., 2010); the $\delta^{11}\text{B}$ composition of marine carbonates (foraminifera) as an estimate for ocean pH together with estimates for alkalinity [(Pearson and Palmer, 2000; Foster, 2008; Seki et al., 2010)]; and the empirical relationship between stomatal pores on tree leaves and pCO₂ (Kurschner and Kvacek, 2009; Kurschner et al., 1996; McElwain and Chaloner, 1995). Geologic CO₂ proxies indicate that while there is a wide range in reconstructed CO₂ concentrations for the last 65 Ma (million years before 1950 CE), magnitudes are

1 generally higher than interglacial, pre-industrial values recorded in ice cores. These values ranged between;
2 (i) about 300–600 ppmv from the beginning of the Quaternary (about 2.6 Ma) back to the beginning of the
3 Miocene (about 24 Ma), (ii) about 600–1000 ppmv through the Oligocene back to about 33Ma, and (iii) were
4 generally above 1000 ppmv in the Eocene.
5

6 Past Earth-system responses and feedbacks to “higher-than-pre-industrial” CO₂ levels are discussed in
7 Section 5.3. Arguably one of the most important high-CO₂ analogues is the Pliocene (5.3 to 2.6 Ma) when
8 continental and ocean configurations, ecosystems, and ice sheets were broadly similar to today. A number of
9 studies have focussed on the application of CO₂ proxy techniques to the Pliocene epoch, with significant
10 improvements in understanding of the utility of the techniques, and a general convergence towards consistent
11 estimates, albeit still with significant uncertainties compared to ice core estimates (Figure 5.2). Previous
12 estimates of Pliocene CO₂ concentrations ranged between 200–400 ppmv based on: 1) a few low-resolution
13 studies of stomatal leaf density (Kurschner et al., 1996), 2) low-resolution B-isotope measurements (Pearson
14 and Palmer, 2000), and 3) sedimentary bulk organic matter $\delta^{13}\text{C}$ determinations (Raymo and Horowitz,
15 1996). Recent alkenone-based estimates of CO₂ concentrations, based on studies of a diverse suite of marine
16 sediment cores and that, constrain potential biases in phytoplankton ecology, indicate peak Pliocene values
17 between about 365–415 ppmv (Pagani et al., 2010a). A multiproxy analysis of alkenone $\delta^{13}\text{C}$ and boron-
18 based CO₂ methods applied to the same ocean sediment core, also provides consistent reconstructions for
19 CO₂ values, that are 50–120 ppmv higher during the Pliocene compared to pre-industrial levels (about 280
20 ppmv) and comparable to present day-levels (about 390 ppmv) (see Figure 5.3). A 2 Ma long boron-based
21 CO₂ reconstruction from the eastern equatorial Pacific ocean overlaps the ice core record with sufficient
22 resolution to directly compare geologically derived proxy data with ice core CO₂ measurements (Honisch et
23 al., 2009). Although errors (± 25 ppmv) are larger for the boron-based estimates, mean values are in close
24 agreement with glacial-interglacial range of CO₂ for the last 800 ka from the ice core records.
25

26 [INSERT FIGURE 5.2 HERE]

27 **Figure 5.2:** [Radiative perturbations from Earth-system feedbacks since the Pliocene] Radiative
28 perturbations and Earth systems and its response for the last 3.6 Ma. Changes in orbital parameters, a)
29 eccentricity, b) obliquity, d) precession, e) summer insolation at 65°N, and c) integrated summer insolation
30 (calculated for 75°N will replace with 65°N) (Laskar, 2004; Huybers and Raymo, 2007). f) Stacked marine
31 benthic oxygen isotope record, reflecting changes in continental ice volume and ocean temperature ($\delta^{18}\text{O}$, ‰;
32 Lisiecki and Raymo, 2005) converted to changes in global mean sea level (m; Naish and Wilson, 2009). Pink
33 shaded curve is based on sea level calibration of $\delta^{18}\text{O}$ curve using dated coral shorelines (Waelbroeck et al.,
34 2002), green dashed line is the Red Sea sea level record (Siddall et al., 2003; Rohling et al., 2009), (to be
35 added Bintanja et al. 2005 model derived calibration back 5 Ma) and red dots with error bars are weighted
36 mean estimates (using individual standard deviations as weights) for far-field reconstructions of eustatic
37 peaks during mid-Pliocene interglacials (Miller et. al in review, placeholder at present). The dashed
38 horizontal line represents present day sea level. g) Antarctic ice volume simulation (Pollard and DeConto,
39 2009) expressed as sea level (m) equivalent ice volume and volume (km³) w.r.t present day (dashed line). h)
40 Stacked tropical SST (dashed line is modern average zonal temperature; °C; Herbert et al., 2010). i) Sortable
41 silt grain size proxy for Pacific abyssal ocean current strength (μm ; ODP Site 1123, Hall et al., 2001). j)
42 Wind proxy derived from mass accumulation rates of Chinese loess (g/cm³/ka, brown line; Song et al.,
43 2007) and with EPICA Dome C dust record (ng/g, blue line; Lambert et al., 2008) overlain. k) Atmospheric
44 CO₂ concentration (ppm) measured from EPICA Dome C ice core (black line; Lüthi et al., 2008), and
45 estimates of atmospheric CO₂ content (ppm) from boron $\delta^{11}\text{B}$ isotopes in foraminifera in marine sediments
46 (grey shaded line, Seki et al., 2010; green shaded line Hönisch et al., 2009), and from organic biomarker
47 alkenone-derived carbon isotope proxies (pink shaded line, Seki et al., 2010; orange, blue and yellow shaded
48 lines Pagani et al., 2010). Note that thickness of shaded line represents the error envelope. Red dashed line =
49 preindustrial atmospheric CO₂ content (1830 AD) and black dashed line = present-day CO₂ (2010).
50

51 5.2.2.3 Past Changes in Mineral Dust Aerosol (MDA) Concentrations

52
53 MDA subject to long-range transport has a size-dependent atmospheric residence time on the order of day to
54 weeks (see also Chapter 7). Large spatial and temporal fluctuations in atmospheric MDA concentrations
55 make difficult an assessment of the global radiative forcing linked with past MDA changes.
56

1 The currently available records of past dust deposition from natural archives provide regional estimates of
2 relative changes in atmospheric MDA concentration, such as the detection of a sharp increase in Sahara dust
3 emissions at the beginning of the 19th century, attributed to human activities linked with agriculture in Sahel
4 [Mulitza et al., 2010]. Global data synthesis is so far only available for the Last Glacial Maximum (LGM)
5 from the DIRTMAP project (Derbyshire, 2003; Maher et al., 2010b).

6
7 The dust concentration in Greenland ice cores shows only small preindustrial variations but 1–2 orders of
8 magnitude higher concentrations during glacials and stadial with respect to interglacials and interstadials,
9 due to changes in Asian deserts dust sources, atmospheric dust aerosol lifetime and transportation (Fischer et
10 al., 2007a). A strong coherence is also observed between glacial millennial variability in Greenland dust
11 records and aeolian deposition in European loess, possibly linked with changes in atmospheric dynamics
12 (Antoine et al., 2009).

13
14 Ice core records from central Antarctica show a similar picture with dust concentrations being rather constant
15 over the Holocene but enhanced by a factor 50–70 during the last glacial period (Fischer et al., 2007b; Petit
16 and Delmonte, 2009). This enhanced deposition is due to reduced glacial accumulation rates, to the
17 enhancement of glacial dust production in southern South America and to a lesser extent Australia (De
18 Deckker et al., 2010; Vallelonga et al., 2010) and possibly to a change in atmospheric lifetime (Delmonte et
19 al., 2008; Marino et al., 2009). Atmospheric dust load over high southern latitudes was likely enhanced by a
20 factor 10; spatial gradients amongst various Antarctic ice cores indicate regional west-east gradients
21 downwind of dust sources (Fischer et al., 2007a). Glacial-interglacial central Antarctic dust deposition
22 changes are systematically observed during the last 800,000 years, corroborating a tight coupling between
23 Antarctic atmospheric dust flux and climate (Lambert et al., 2008b; Röthlisberger et al., 2008).

24
25 For low latitudes, scarce quantitative information is available on MDA fluxes. Calibrated records show a
26 threefold decline of MDA fluxes from western to eastern equatorial Pacific and a similar temporal evolution
27 over the last 500,000 years as found in the Antarctic ice cores (Winckler et al., 2008a). However, glacial-
28 interglacial MDA ratios only vary by a factor of 3–4, much smaller than in Antarctica, pointing to enhanced
29 glacial dust emissions from Asian and northern South American dust sources (Maher et al., 2010b), possibly
30 linked with increased gustiness (McGee et al., 2010).

31
32 Dust modelling within an atmospheric model suggests 88% enhanced glacial dust loading associated with a
33 global radiative forcing of 1 W m^{-2} (Mahowald et al., submitted), smaller than some earlier estimates
34 (Kohler et al., 2010).

35 36 **5.3 Earth System Responses and Feedbacks at Global and Hemispheric Scales**

37
38 [PLACEHOLDER FOR FIRST ORDER DRAFT]

39 40 **5.3.1 High CO₂ Worlds and Temperature**

41
42 Reconstructions of Cenozoic global mean surface temperatures remain challenged by the limited number,
43 and biased geographical distribution, of proxy surface temperature data. Since AR4 further proxy
44 development, and the application of multiple proxy methods at higher temporal resolution, have provided a
45 more detailed description of atmospheric composition and climate. The available data and simulations
46 indicate that climates prior to 3 Ma were generally warmer than today and associated with higher pCO₂
47 levels (Figure 5.3). Temperature reconstructions based on foraminiferal oxygen isotopes and Mg/Ca ratios,
48 and organic geochemical methods using lipid biomarkers such as alkenones and TEX₈₆ show a long-term
49 decrease in surface temperatures from an estimated global average temperature of about 26°C above pre-
50 industrial in the early Eocene (about 50 Ma) to a pre-industrial Holocene average surface value of about
51 14°C. This temperature decrease is associated with a decline in atmospheric pCO₂ from >1000 ppm in Early
52 Cenozoic (65–33Ma) to pre-industrial levels reached around 2.6 Ma (Pagani et al., 2005; Pearson and
53 Palmer, 2000).

54
55 The early Eocene (56–48 Ma) encompasses the warmest climates of the past 65 myrs. Estimates of mean
56 annual sea surface temperatures are depict a world with greatly reduced meridional temperature gradients
57 (Figure 5.3; [Wolfe, 1995, PALEOCLIMATIC; Barron, 1987, Eocene; Huber, 2011, The early Eocene

1 equable climate problem revisited, *Clim. Past Discuss.*]). Refinements and improvements to the suite of
2 paleotemperature proxies (Huber and Sloan, 2001; Pearson et al., 2007; Pearson et al., 2008; Pearson et al.,
3 2001; Schrag, 1999; Schrag et al., 1995) and the development of new organic geochemical SST proxies such
4 as TEX86 and Mg/Ca [(Schouten et al., 2002, 2003; Pearson et al., 2007; Lear et al., 2008; Sexton et al.,
5 2006; Sluijs et al., 2006, 2007, 2008; Liu et al., 2009). SSTs of 35°C have been reconstructed in the early
6 Eocene tropics (Lear et al., 2008; Liu et al., 2009a; Pearson et al., 2007; Schouten et al., 2002; Schouten et
7 al., 2003; Sexton et al., 2006; Sluijs et al., 2008; Sluijs et al., 2007; Sluijs et al., 2006). Extratropical SSTs
8 have also been reconstructed with values about 10°C warmer than terrestrial temperature proxies of similar
9 altitude (Figure 5.3.; Bijl et al., 2009; Creech et al., 2010; Eldrett et al., 2009; Hollis et al., 2009; Liu et al.,
10 2009a; Sluijs and Brinkhuis, 2009; Sluijs et al., 2006; Zachos et al., 2006). The available data depict a
11 reduced latitudinal temperature gradient, which climate models have had difficulty in reproducing without
12 prescribing unrealistically high CO₂ levels. This has raised questions over both the validity of the proxies,
13 especially in high latitudes, and models which may not fully capture feedbacks important to warmer climates.
14 A recent AOGCM simulation prescribing about 4500 ppm CO₂ (upper range of proxy CO₂ reconstructions;
15 Jansen et al. (2007) demonstrates a close agreement with a terrestrial MAT surface temperature data set,
16 where the model reproduces both the reduced seasonality and polar amplification implied by the data (Figure
17 5.3; [Huber, 2011, The early Eocene equable climate problem revisited, *Clim. Past Discuss.*]).

18
19 While it has been hypothesised that long term changes in CO₂ concentrations have been attributed to tectonic
20 changes affecting the silicate weathering cycle (e.g., [Ruddiman, 1997]), a number of cooling events occur as
21 more rapid steps paced at orbital-scale, and these punctuate Earth's long term Cenozoic cooling trend. Much
22 like the ice core records of the last 1 Ma, these temperature perturbations appear coupled with changes in
23 CO₂ concentrations. However, unlike the glacial-interglacial CO₂ fluctuations of the last 1 Ma, the amplitude
24 of the perturbation is larger, up to 400 ppm (Pearson et al., 2009).

25
26 An abrupt SST (sea surface temperature) cooling of 5°C (Liu et al., 2009a) is associated with widespread
27 glaciation of Antarctica between 34 to 33 Ma (Coxall et al., 2005; Katz et al., 2008), likely occurred as a
28 response to declining CO₂. Modelling studies suggest that cooling and ice sheet formation may have been
29 triggered when atmospheric CO₂ fell below a critical threshold of about 750 ppm (DeConto and Pollard,
30 2003; DeConto et al., 2008). A high-resolution boron-isotope based reconstruction confirms the decline in
31 CO₂ of about 400 ppm to a central value of about 760 ppm occurred within a few hundred thousand years,
32 and before the main phase of ice growth (Pearson et al., 2009). Coupled ice sheet and climate model
33 simulations suggest that during this time CO₂ levels were above the threshold for Northern Hemisphere ice
34 sheet formation (about 280 ppm) (DeConto et al., 2008).

35
36 Proxy-based estimates of CO₂ fell to pre-industrial levels around 24 million years (Pagani et al., 2005) and
37 have remained relatively constant since then, with the exception of Middle Miocene Climatic Optimum
38 (MMCO) (17–14 Ma) and the Pliocene Climatic Optimum (PCO) (5–3 Ma), when CO₂ concentration was
39 around about 400 ppm. In the case of the MMCO, stomatal density proxy data imply a range of 400–600 ppm
40 (Kurschner et al., 2008), and SST reconstructions, while few in number, indicate an average surface
41 temperature between 3 to 5°C above preindustrial levels. Earth's climate during PCO is better constrained by
42 both proxy data and modelling; CO₂ estimates (discussed Section 5.2.2.2) were about 400 ppm. Both
43 terrestrial and marine paleoclimate proxies show that high latitudes were significantly warmer, but that
44 tropical SSTs and surface air temperatures were little different to present. The result was a substantial
45 decrease in the latitudinal surface temperature gradient (Figure 5.3). In contrast a coupled OAGCM
46 simulation with a CO₂ concentration of 400 ppm produced warming of +3–5°C in the north Atlantic, and 1–
47 3°C in the tropics (Haywood et al., 2005). High-latitude Southern Hemisphere SST proxies are more sparse
48 but indicate near surface warming of 3–5°C (Martinez-Garcia et al., 2010).

49
50 The Pliocene intensification of Northern Hemisphere glaciations (culminating at 2.75 Ma) (Kleiven et al.,
51 2002; Lisiecki and Raymo, 2007) also appears to coincide with another global cooling step starting at 3.2 Ma
52 in SST records (e.g., Herbert et al., 2010a; Ravelo, 2010) associated with a about 100 ppm drawdown of CO₂
53 to preindustrial levels between 3.2–2.8 Ma (Seki et al., 2010), further affirming the link between
54 temperature, CO₂ and ice volume (discussed further in Section 5.4.1).

55
56 [PLACEHOLDER FOR FIRST ORDER DRAFT: New SST data from Ross Sea–ANDRILL Project and
57 IODP 318 Wilkes Land Pliocene model data comparison (e.g., issues with simulating high-latitude warming,

1 no change in tropics without increased oceanic heat transport ... hurricane hypothesis ... Federov and
2 Brierley).]

3
4 [PLACEHOLDER FOR FIRST ORDER DRAFT: Section on climate sensitivity implications of MMCO,
5 and particularly PCO, pCO₂ and global av temp reconstructions (e.g., Lunt et al., and Pagani et al., 2010).]

7 **[INSERT FIGURE 5.3 HERE]**

8 **Figure 5.3:** [PLACEHOLDER FOR FIRST ORDER DRAFT: Meridional temperature distribution for
9 different geological times. Notes on draft of figure: The aim of this figure is to assess changes in meridional
10 temperature gradients based latitudinal temperature profiles and temperature anomalies for LGM, modern
11 (pre-industrial), last interglacial, warm early-mid Pliocene (time interval 3.5–3.0 Ma), and Eocene (4–50Ma)
12 using both, model output (e.g., PMIP, PliomIP, GSSM etc.) and reconstructions. The quality and quantity of
13 the paleotemperature data obviously decreases back in time. In draft Figure 5.3 for LGM, MARGO data (not
14 gridded and zonally biased) and data-constrained model output from Paul and Schäfer-Neth (2003) have
15 been used. Data for the last interglacial is from a compilation by Rohling et al. (in review), and Turney and
16 Jones (2009). Also present day has been plotted from PRISM, AMIP and WOA. Pliocene curve is data-
17 constrained PRISM3 (Dowsett 2007). Brierley et al. (2009), showing expanded Pacific warm pool during
18 Pliocene because of issues with corrections made to proxy data from different times in the Pliocene and
19 different ocean basins has not been used. For the Eocene there is Bijl et al. (2009) data for 40 and 50 Ma and
20 model output from Huber and Cabellero (2010). The latter provides GCM surface temperature for an
21 atmospheric CO₂ concentration of 4480 ppm and compares with a compilation of terrestrial proxy temp data,
22 but ignores the SST data due large discrepancies and perceived issues with and between marine temperature
23 proxies. While there appear to be vast differences in temperatures from the same latitudes and even the same
24 sites using different paleothermometers (e.g., TEX86 vs Mg/Ca; summarised in Huber (2008) and Huber and
25 Cabellero (2010)) SST proxies for the Eocene are undergoing further the development and may yield more
26 reliable estimates within the AR5 time frame. Uncertainties are not yet included in draft; for the final version
27 it foreseen to represent the density of data on each curve as a guide to uncertainty. There are a number of
28 issues to work through with data presentation on this figure including: (1) Standardisation of approach (e.g.,
29 land and ocean data or just SSTs, whether to plot zonally averaged proxy data that might be heavily biased
30 by distribution, or data constrained model output, or model data and site specific proxy data independently?).
31 At present the figure represents a range of different approaches. (2) Representing data quality and density.
32 (3) Combining data over a wide temporal range particularly in deeper time slices. (4) Seasonal and spatial
33 biases. (5) Standardised of modern temp gradients for calculating the anomaly. It will take a community
34 effort to bring these datasets together both in the model inter-comparison and proxy communities. The LGM
35 will be covered, but MARGO lacks terrestrial data. Last interglacial data needs more critical assessment
36 (such an effort is underway as part of the European Past4Future Project). The PliomIP and PRISM
37 communities are well organised. The Paleogene proxy community are aware of the issues and Eocene model
38 inter-comparisons are underway.]

39
40
41 [START BOX 5.1 HERE]

43 **Box 5.1: Polar Amplification**

44
45 Instrumental temperature records show that the Arctic (Bekryaev et al., 2010) and the Antarctic Peninsula
46 [(Turner et al., 2005; Turner et al., 2009)] are experiencing the strongest warming trends (0.5°C per decade
47 over the past 50 years), almost twice larger than for the hemispheric or global mean temperature [(IPCC,
48 2007)]. West Antarctic temperature also displays a warming trend of about 0.1°C per decade over the same
49 time period (Steig et al., 2009; [Reference needed: O'Donnell et al., ?]). A number of mechanisms can
50 produce larger magnitudes of polar temperature changes compared to mid or low latitudes. These
51 mechanisms involve the dynamics and variability of atmosphere (Alexeev et al., 2005; Serreze and Francis,
52 2006) and the ocean-sea ice system (Chylek et al., 2009; Polyakov et al., 2010; Semenov et al., 2010;
53 Spielhagen et al., 2011b), as well as local radiative feedbacks linked with snow (Ghatak et al., 2010), ice
54 albedo, water vapour, clouds (Graversen and Wang, 2009; Screen and Simmonds, 2010), and land surface
55 vegetation changes (Bhatt et al., 2010). Each of these mechanisms has specific fingerprints in the
56 seasonality, latitudinal and vertical structure of temperature changes. Detection/attribution studies conducted

1 for the Arctic and Antarctic (Gillett et al., 2008) concluded that human influence dominated the recent polar
2 warming.

3
4 When forced by increasing concentrations of atmospheric greenhouse gases, climate models consistently
5 simulate strong polar amplification [(Polyakov et al. 2002; Holland and Britz, 2003; Bengtsson et al. 2004;
6 Serreze and Francis, 2006; Serreze et al., 2007; IPCC, 2007, Miller et al., 2010; Masson-Delmotte et al.,
7 2005)]. Simulated temperature increases in high latitudes exceed those at low latitudes by factors ranging
8 from two to four [(Holland and Britz, 2003; Miller et al., 2010)]. There are however differences, among
9 different models in their depiction of the time evolution, and magnitude of projected Arctic sea ice (Holland
10 et al., 2010), Antarctic (Bracegirdle et al., 2008) and Arctic surface air temperature changes [(IPCC, 2007)].
11 The magnitude of polar amplification is also a concern due to its impacts on polar ice sheet stability and sea
12 level (see AR5 Chapter 13) and for the carbon cycle feedbacks for instance linked with permafrost melting
13 (see AR5 Chapter 6).

14
15 Past climates allow model-data comparisons for latitudinal temperature changes and polar amplification
16 under different climate states, such as high CO₂ worlds, glacial and interglacial climates. However, it should
17 be noted that these past climate states correspond to different boundary conditions and forcings. The
18 presence of glacial ice sheets induces a large radiative perturbation at high northern latitudes. During past
19 interglacials, orbital forcing induces large changes in seasonal and latitudinal distribution of insolation,
20 without significant changes in global mean radiative forcing and temperature. Figure 5.3 provides estimates
21 of latitudinal surface temperature anomalies for different time slices during the last 65 million years (MH,
22 LGM, LIG, Mid Pliocene and early Eocene) with respect to the pre-industrial period. A difficulty in
23 developing these temperature anomaly comparisons is that for most intervals only a limited number of sites
24 are available with quantitative estimates of past temperatures, and the vast majority of these sites reflect most
25 likely summer temperature estimates. Commonly hemispheric anomalies during warmer times are generated
26 using climate models driven by known forcings, or by using data constrained model output approaches
27 [(Dowsett et al, 2005, 2007; Huber and Caballero, 2011; Masson-Delmotte, 2005]; Otto-Bliesner, 2009). For
28 the early Eocene anomalies shown in Figure 5.3, the simulated hemispheric anomalies are broadly consistent
29 with paleoclimate proxy data, but prescribed higher pCO₂ (4500 ppm) concentrations are at the upper
30 boundary of the range implied by Eocene pCO₂ proxy data.

31
32 SSTs of 35°C have been reconstructed in the early Eocene tropics [(Pearson et al., 2001b, 2007; Tripathi et
33 al., 2003; Tripathi and Elderfield, 2004; Zachos et al., 2003)] with a global mean annual surface temperature
34 of 25–28°C [(Huber and Caballero, 2011)]. Significantly warmer extratropical SSTs have also been
35 reconstructed with values about 10°C warmer than terrestrial temperature proxies of similar latitude (Figure
36 5.3; [Bijl et al., 2009; Sluijs et al., 2006, 2009; Zachos et al., 2006; Hollis et al., 2009; Creech et al., 2010;
37 Liu et al., 2009; Eldrett et al., 2009, Huber and Caballero, 2011]). The available Eocene data-model
38 comparisons depict a reduced latitudinal temperature gradient (also see Section 5.3.1).

39
40 Global reconstructions of mid-Pliocene proxy data and general circulation models show an average warming
41 of $+4 \pm 2^\circ\text{C}$, with greater warming over land than oceans, and both terrestrial and marine paleoclimate
42 proxies indicate that high latitudes were likely warmer by up to $+5^\circ\text{C}$ in the Antarctic and $+10^\circ\text{C}$ in the
43 Arctic, but that tropical SSTs and surface air temperatures were little different to present (Figure 5.3;
44 [Budyko et al., 1985; Chandler et al., 1994a; Raymo et al., 1996; Sloan et al., 1996; Dowsett et al., 1999;
45 Haywood and Valdes, 2004, 2006; Jiang et al., 2005; Dowsett et al., 2007; Salzmann et al., 2008]). While
46 only limited data are available for the southern high latitudes, mid-Pliocene SSTs of $+4$ – 6°C are reported
47 from organic biomarker temperature proxies applied to drill cores from the Ross Sea and Southern ocean
48 [PLACEHOLDER FOR FIRST ORDER DRAFT: Publications from IODP Leg 318 and ANDRILL].

49
50 During the LIG, ice core data [(Masson-Delmotte et al., 2010)] and SSTs [(Rohling et al., in press; Turney
51 and Jones, 2010)] imply polar warming of $+2$ – 5°C , with little or no change in 'global' mean annual surface
52 temperature during the LIG. Climate model simulations forced only by changes in orbital configuration
53 underestimate the level of Antarctic and Greenland warming implied by data; this may be linked with
54 missing glaciological feedbacks [(Holden et al., 2010)] (see Section 5.3.4). [PLACEHOLDER FOR FIRST
55 ORDER DRAFT: Publications from the PAST4FUTURE project including model-data comparisons for the
56 LIG].

1 Coupled-ocean atmosphere model intercomparisons (PMIP1 and 2) simulate warming of about 1°C in
2 Antarctica and Greenland during the Mid-Holocene climatic optimum (MH; c. 6 ka) [Masson-Delmotte et al.
3 (2006)] are generally consistent with ice core based estimates, although they underestimate warming
4 recorded in Greenland ice cores [(Vinther et al., 2009)]. Mean annual changes in global temperature are also
5 negligible during the Mid-Holocene and LGM simulations.

6
7 During the LGM, PMIP2 models do simulate the 8–10°C magnitude of central Antarctic cooling (about
8 twice the global mean temperature change) but questions remain regarding the prescribed ice sheet
9 topography (Masson-Delmotte et al., 2010a). The same simulations underestimate the magnitude of
10 Greenland cooling compared to ice borehole data (Dahl-Jensen et al., 1998), possibly because of missing
11 dust and vegetation feedbacks. [PLACEHOLDER FOR FIRST ORDER DRAFT: PMIP3 comparisons using
12 different LGM ice sheet reconstructions].

13
14 [PLACEHOLDER FOR FIRST ORDER DRAFT: DO events which have large magnitude of centennial
15 greenland warming with possible discussion of sea ice and other fast feedbacks.]

16
17 [END BOX 5.1 HERE]

20 5.3.2 *Glacial Climate Sensitivity and Feedbacks*

21
22 Glacial climates have been studied to better understand large magnitude changes in climate, validate climate
23 model results, and estimate the climate sensitivity. The best documented glacial episode is the Last Glacial
24 Maximum (LGM), centered at around 21ka. The LGM is known to be relatively stable, the signal of the
25 response is large enough compared to the internal variability and uncertainties in the proxy calibrations and
26 dating, and both the response and the forcing (see Section 5.2) are clearly identified in reconstructions
27 (Braconnot et al., 2007b; Braconnot et al., 2007c). Numerous new reconstructions have been completed
28 since the AR4. Proxies used in glacial climate reconstructions include isotope-based temperature proxies in
29 Antarctic ice cores (Masson-Delmotte et al., 2008) and ocean circulation, temperature, and salinity proxies
30 (Butzin et al., 2005; Tagliabue et al., 2009). The MARGO sea surface temperature reconstruction
31 (Waelbroeck et al., 2009), the most recent synthesis of the LGM SST, employed multiple proxy approaches
32 to revise and refine previous synthesis efforts such as CLIMAP and GLAMAP (Sarnthein et al., 2003a;
33 Sarnthein et al., 2003b) These LGM temperature reconstructions indicate a mean global temperature
34 decrease of 5°C, with a tropical decrease in temperature of about 2°C (Waelbroeck et al., 2009), a decrease
35 in Antarctic temperatures of about 10°C [(Stenni et al., 2010)], and much larger decreases of Greenland
36 temperature of 20–25°C (Kohler et al., 2010; Rohling et al., 2009; Siddall et al., 2010b). The overall pattern
37 of reconstructed tropical SST during the LGM generally is well simulated by atmosphere-ocean coupled
38 GCMs except in regions of tropical upwelling, regions that also have biases in simulations for the present-
39 day (Otto-Bliesner et al., 2009). In addition, questions remain regarding the temperature simulation of
40 Antarctica, which may be the result of overestimation of ice sheet topography, an important boundary
41 condition to the models (Masson-Delmotte et al., 2008). New ice sheet reconstructions based on several
42 different methods [(Lambeck et al., 2010; Peltier et al., 2010; Tarasov et al., 2007)] are introduced for
43 PMIP3 climate model simulations, which are underway and the results of which may help clarify the relation
44 between polar and global temperatures. [PLACEHOLDER FOR FIRST ORDER DRAFT: PMIP3 results.]

45
46 Climate sensitivity is estimated or constrained in three fundamental ways ((Edwards et al., 2007), see also
47 Chapter 9). First, climate sensitivity is estimated using both the radiative forcing and climate response to a
48 change of CO₂. In this method, there is an important assumption; i.e., the climate response to a certain
49 amount of radiative forcing is the same even under different climate states (warm or cold climate), even
50 though there is no guarantee that the climate sensitivity is symmetric. Second, multi-model simulations have
51 been constrained by proxy data, including models having structural differences, to show that the ratio of
52 model climate sensitivity (LGM vs 2 × CO₂) ranges from 0.6 to 2, which is mainly dependent on the cloud
53 feedback through short wave radiation (Crucifix, 2006) (see Figure 5.4). Dust and vegetation are in many
54 cases not included in these model runs because there is still an uncertainty in their radiative forcing,
55 (Lambert et al., 2008a; Maher et al., 2010a; Mahowald et al., 2006; McGee et al., 2010; Takemura et al.,
56 2009; Winckler et al., 2008b), hence climate sensitivity is more likely overestimated than underestimated. At
57 least, the multi-model constrained by proxy data tend to reject the possibility of large climate sensitivity for

1 future climate. Third, the physics perturbed ensemble method using a single climate model is used
2 (Hargreaves et al., 2007), which shows that cloud feedback even without large ice sheet change brings about
3 the asymmetric temperature response for warm and cold climate with a ratio of about 0.82 (± 0.14) (Figure
4 5.4, [Yoshimori et al., 2011]). [PLACEHOLDER FOR FIRST ORDER DRAFT: Comparison of short wave
5 and long wave feedback processes of multi-models in PMIP3.]
6

7 [PLACEHOLDER FOR FIRST ORDER DRAFT: Changes in LGM AMOC and atmospheric circulation
8 including storm tracks and westerlies.]
9

10 [INSERT FIGURE 5.4 HERE]

11 **Figure 5.4:** [PLACEHOLDER FOR FIRST ORDER DRAFT: Strengths of feedbacks at LGM from data and
12 multi-model ensembles. This is just an illustration, it will be replaced when additional new experiments by
13 PMIP3/CMIP5 become available.] Relation of feedback parameters between elevated CO₂ and LGM climate
14 simulations: a) scatter plot of climate feedback parameter (i.e., stratosphere-adjusted radiative forcing /
15 equilibrium temperature change) between CO₂ doubling and LGM (or LGMGHG) experiments. Here
16 LGMGHG refers to the experiment with CO₂ concentration being lowered to the LGM level from the pre-
17 industrial reference experiment; b) scatter plot of shortwave cloud feedback parameter (i.e., shortwave
18 component of feedback parameter attributable to the change in clouds); c) individual feedback parameters. In
19 a) and b), red, blue, and green markers indicate coupled atmosphere-ocean GCMs, LGM experiments with
20 atmospheric GCMs coupled to slab ocean models, and LGMGHG experiments with atmospheric GCMs
21 coupled to slab ocean models, respectively. Also plotted are the one-to-one lines. In c), WV, LR, A, CSW,
22 CLW denote water vapor, lapse-rate, surface albedo, shortwave cloud, and longwave cloud feedbacks,
23 respectively. ALL denotes sum of all feedbacks. $R^2 \times \text{CO}_2$ indicates $\sqrt{2}$ times CO₂ experiment, and
24 LGMICE refers to the experiment in which ice sheets and orbital configuration at LGM are applied to the
25 reference experiment.
26

27 5.3.3 Earth System Response to Orbital Forcing During Glacial-Interglacial Cycles

28 Antarctic ice cores remain a significant source of information on orbital-scale climate variations over the
29 past 800 ka (Jouzel et al., 2007; Landais et al., 2010; Louergue et al., 2008; Wolff et al., 2010). New
30 datasets of atmospheric composition from Antarctic ice cores have helped to determine the magnitude and
31 time-evolution of global radiative forcings, providing constraints on past climate sensitivity (Kohler et al.,
32 2010) and carbon cycle-climate feedbacks (Lemoine, 2010). Antarctic ice-core data reveal a strengthening of
33 the interglacial-glacial amplitude around to 400 ka, as well as a change in the relationship between Antarctic
34 temperature and radiative forcing by GHG (Masson-Delmotte et al., 2010a; [Lang, in revision]; Figure 5.5).
35 Orbital-scale variability in GHG concentrations in Antarctica over the last several thousand years correlate
36 well with proxy climate records including reconstructions of global ice-volume (Lisiecki and Raymo, 2007),
37 climatic conditions in central Asia (Prokopenko et al., 2006), biogeochemical conditions in the North Pacific
38 (Jaccard et al., 2010) and other regions, Southern Ocean surface temperatures (Pahnke et al., 2003; [Lang
39 and Wolff, 2011]), deep ocean temperatures (Elderfield et al., 2010), and deep ocean ventilation (Lisiecki et
40 al., 2008). A detailed physical understanding of these teleconnections and their relationship to direct
41 greenhouse gas forcing or the remote effects of Northern Hemispheric ice-sheets is still lacking.
42
43

44 Speleothem records from caves have become a powerful tool to reconstruct long-term changes of
45 hydroclimate. In some East Asia regions, precessional cycles dominate the monsoon signal (Clemens et al.,
46 2010; Wang et al., 2004; Wang et al., 2008), whereas other regions experience stronger variability on about
47 100 ka glacial-interglacial timescales (Bar-Matthews et al., 2003). Furthermore, it has been shown that
48 winter and summer monsoons in Southeast Asia, experience different phase lags with respect to the
49 precessional cycle (Clemens et al., 2010) raising questions as to the physical forcing mechanisms of
50 monsoon variability on these timescales.
51

52 Recent modeling work provides support for the notion that variations in Earth's orbital parameters produce
53 considerable effects on Earth's climate. In particular, in the high latitudes of the Northern Hemisphere,
54 summer temperatures can differ by up to 10°C between climate states corresponding to different orbital
55 configurations. The largest changes in seasonal variations are caused by changes in precession, while
56 changes in obliquity cause synchronous variations in annual temperatures in high latitudes of several degrees
57 [(Broccoli et al., in press)]. GCM experiments support the principal assumption of Milankovitch theory that a

1 reduction in summer insolation produces sufficient cooling to initiate ice sheet growth (Vavrus et al., 2008).
2 EMIC experiments, which incorporate ice sheet models, demonstrate that the rate of growth of the ice sheets
3 during glacial inception is of the right order of magnitude. Together with fast climate feedbacks amplifying
4 the direct effect of the orbital forcing, vegetation [(Calov et al., 2005)] and oceanic feedbacks might also
5 play an important role. It was proposed that the impact of aeolian dust deposition on snow and ice albedos
6 may restrict ice sheet growth in areas with high rates of dust deposition (Krinner et al., 2006).

7
8 Obliquity changes, by modulating the annual mean polar insolation in both hemispheres synchronously, play
9 an important role in pacing glacial terminations (Drysdale, 2009; Huybers and Wunsch, 2005; Schulz and
10 Zeebe, 2006). In particular during the early Pleistocene relatively weak glacial cycles occurred on the 41 ka
11 obliquity timescale. But during the late Pleistocene, not every obliquity cycle triggered a glacial termination
12 and the timing was also influenced by precessional forcing [(Cheng, 2009; Huybers, 2011)] in combination
13 with eccentricity (Berger and Loutre, 2004; Lisiecki, 2010). The role of orbital forcing in driving Southern
14 Hemispheric glacial-interglacial variability remains the topic of debate. The excellent correlation between
15 boreal summer insolation (Kawamura et al., 2007), length of the austral summer season (Huybers, 2009;
16 Huybers and Denton, 2008) and austral spring insolation (Stott et al., 2007; Timmermann et al., 2009) has
17 made it difficult to attribute the reconstructed temperature variations in Antarctica to any one of these
18 forcings. One common feature of these suggested mechanisms is that their respective orbital forcings start to
19 increase prior to the reconstructed changes in atmospheric CO₂ and may hence provide a pacemaker for
20 orbital-scale carbon-cycle climate feedbacks in the Southern Hemisphere.

21
22 Antarctic temperatures closely follow atmospheric CO₂ concentration during glacial cycles, which reflects
23 the fact that CO₂ explains a large portion of glacial-interglacial temperature variations in Antarctica
24 (Timmermann et al., 2009), although there is also a local response of the annual temperature to obliquity and
25 precessional variations (Huybers and Denton, 2008). At the same time, during most recent terminations,
26 Antarctic temperature changes lead CO₂ changes [(Siegenthaler, 2005)]. This early Antarctic warming
27 compared to CO₂ rise can be explained by the bipolar seesaw response to a weakening of the AMOC during
28 glacial terminations (Ganopolski and Roche, 2009) and by the above mentioned response to orbital forcings.

29
30 Experiments performed with climate-ice sheet models forced by orbital variations and CO₂ demonstrate that
31 the models are able to simulate ice volume and other climate characteristics during the last and several
32 previous cycles in agreement with paleoclimate data (Abe-Ouchi et al., 2007; Bonelli et al., 2009;
33 Ganopolski et al., 2010; Figure 5.5). Moreover, in agreement with earlier simulations of glacial cycles
34 [(Pollard, 1988)], it has been shown that 100 kyr cyclicality can be simulated with a constant CO₂
35 concentration, if the latter is below some critical value. However, the magnitude of 100 kyr cyclicality with
36 constant CO₂ is smaller than observed. Therefore, CO₂ plays an important role in amplification of the glacial
37 cycles, but how this positive feedback operates during different stages of glacial cycles is not yet well
38 understood.

39
40 Major bipolar glacial cycles began around 2.7 Ma and temporal dynamics of ice volume variations change
41 considerably from earlier to late Pliocene (Lisiecki and Raymo, 2007). In particular, the dominant periodicity
42 of the glacial cycles changed from 40 ka to 100 ka around 1 Ma (the so-called Mid Pleistocene Transition,
43 MPT). The lack of appreciable precessional variability in marine sediment records prior to the MPT poses a
44 problem for classical Milankovitch theory. Several alternative hypotheses explaining the absence of a
45 precessional component in the 40-kyr world were proposed (Huybers, 2006; Raymo et al., 2006) but remain
46 to be tested with climate-ice sheet models. Recent modelling results [(Ganopolski and Calov, submitted)]
47 indicate that such a transition can be caused both by a gradual lowering of the atmospheric CO₂
48 concentration (reference) and the removal of the terrestrial sediments from the northern part of North
49 America [(Clark and Pollard, 1998)].

50 [INSERT FIGURE 5.5 HERE]

51 **Figure 5.5:** Orbital, climate, ice sheets, carbon: data and transient model. Variation of climate forcings and
52 climate indicators over the past 800 ka. a) Orbital forcing (maximum summer insolation at 65°N), b) the
53 atmospheric concentration of CO₂ from Antarctic ice cores, c) Antarctic temperature reconstructed from
54 deuterium, d) Greenland temperature reconstructed from δ¹⁸O, e) the stack of benthic δ¹⁸O, a proxy for
55 global ice volume and deep ocean temperature, f) the reconstructed sea level, g) the stack of benthic δ¹³C in
56 the deep Atlantic, a proxy for the deep ocean ventilation, h) the dust concentration in the Antarctic ice core.

1 Colour lines represent forcings and proxy data, grey dashed lines depict results of simulation with an Earth
2 system model forced by variations of the orbital parameters and the atmospheric concentrations of the major
3 greenhouse gases. Note the change of the time scale at 125 ka.

5 5.3.4 *Variability Within and Between Interglacials*

7 Quantitative reconstructions and modeling of interglacial climates are of particular interest in a light of the
8 anthropogenic warming and natural climate system development. The temperature and moisture evolution
9 for the current (MIS1/Holocene) interglacial has been established for different regions and selected time-
10 slices (e.g., Bartlein et al., 2010; Jansen et al., 2007; Litt et al., 2009; Tarasov et al., 2009; Wanner, 2008)
11 using multi-decadal- to annual-resolution proxy records. A new synthesis of Greenland ice core data
12 reconstructs a homogeneous Greenland early to mid Holocene warm period with annual mean temperature
13 2–3°C higher than pre industrial, as well as significant changes in ice sheet topography (Vinther et al., 2009).

15 Terrestrial data point to a generally warmer-than-present Last Interglacial (LIG) in northern Eurasia and
16 Alaska, particularly during the Arctic summer (Allen and Huntley, 2009; Allen and Sherwood, 2007;
17 Anderson et al., 2006; Rousseau et al., 2007; Tarasov et al., 2005; Velichko et al., 2008). Pollen analyses
18 from nearby marine cores suggest a smaller Greenland Ice Sheet based on the abundance of boreal forest
19 pollen (de Vernal and Hillaire-Marcel, 2008). Segments from Summit and NorthGRIP ice cores show a
20 larger anomaly in stable isotopes than during the early Holocene (Masson-Delmotte et al., 2010a). Pollen,
21 macrofossil and cave speleothem records have been interpreted to indicate stronger NH and weaker SH
22 summer monsoons (Cheng et al., 2009; Rohling et al., 2002; Wang et al., 2004). Debate continues, though,
23 on the attribution of changes indicated by water isotope proxy records to precipitation, temperature, and
24 advective effects (Clemens et al., 2010; Herold and Lohmann, 2009; LeGrande and Schmidt, 2009). Warmer
25 than present day during the early LIG (2–5°C) in Antarctica (Guiot et al., 2009; Sime et al., 2009) [also
26 during the early Holocene (1–2°C) (Verleyen et al., 2011)] may be linked with bipolar seesaw events at the
27 end of NH deglaciation (Holden et al., 2010; Masson-Delmotte et al., 2010a; Stenni et al., 2011). Because of
28 the out of phase behavior at high northern and southern latitudes, these events cannot be interpreted as
29 indicators of global mean temperature.

31 [PLACEHOLDER FOR FIRST ORDER DRAFT: Discussion of marine records and sea ice to be added.

33 Climate models forced by orbital forcing capture the sign of the overall temperature and precipitation
34 changes at the LIG but with some notable exceptions (Figure 5.6). The magnitude of the observed Greenland
35 and northern Eurasia warming is not well simulated (Masson-Delmotte et al., 2010a). The underestimation of
36 high northern latitude regional warming may be related to simulations not including natural land surface
37 changes (Schurgers et al., 2007) and/or current models not all consistently capturing fully changes in clouds,
38 sea ice, and large-scale circulation that could amplify the warming (Fischer and Jungclaus, 2010; Groll and
39 Widmann, 2006; Kaspar et al., 2007; Kim et al., 2010). In response to orbital forcing only, they also produce
40 no significant annual mean change in central Antarctica (Masson-Delmotte et al., 2010a) and only simulate a
41 slight warming (1–2°C) when taking into account either Greenland melt and bipolar seesaw or a removal of
42 the WAIS (Holden et al., 2010; Masson-Delmotte et al., 2010a). Discrepancies in simulating the inferred
43 precipitation increases over Southeast China are not fully understood. In addition to debate on the meaning
44 of these proxies, orbital-scale timing of the seasonal and latitudinal gradients of the insolation forcing from
45 the start to end of the LIG needs to be included in simulations of the NH monsoons (Braconnot et al., 2008).

47 The temporal/spatial resolution, dating quality of the climate archives and availability of the quantitative
48 reconstructions representing the earlier interglacials remain relatively poor. The only continuous and
49 independently-dated centennial-scale temperature reconstruction spanning the last 800 kyr comes from the
50 EPICA Dome C Antarctic ice core. It shows that the mean annual temperature (MAT) remained above the
51 average of the last 1000 years during MIS11.3 (for over about 20 kyr), MIS9.3 (about 10 kyr), MIS7.5
52 (about 3 kyr), MIS5.5 (about 13 kyr) and MIS1 (about 11.5 kyr). However, MAT remained 0.5–9.5°C below
53 the average between 800–425 kyr (Jouzel et al., 2007; Masson-Delmotte et al., 2010b), consistent with
54 marine records on past sea level and atmospheric concentration in greenhouse gases (Masson-Delmotte et al.,
55 2010a; Tzedakis et al., 2009). The mean intensity of past interglacials may be linked with long term changes
56 in obliquity (Jouzel et al., 2007) and/or with the phase between precession and obliquity through time (Yin
57 and Berger, 2010).

1
2 The trends, variability and seasonality of warming are different among the five most recent interglacials. NH
3 terrestrial data suggest an exceptionally long, wet and winter-warm MIS11.3 interglacial (Ashton et al.,
4 2008; Desprat et al., 2005; Kariya et al., 2010; Nakagawa et al., 2008; Nitychoruk et al., 2005; Prokopenko
5 et al., 2010; Wu et al., 2007) and from marine cores possibly a nearly ice free Greenland during MIS11 (de
6 Vernal and Hillaire-Marcel, 2008). The magnitude of the climatic change and climate stability/instability
7 though are still debated (Lozhkin et al., 2007; Reille et al., 2000; Tarasov et al., 2011; Tzedakis, 2007). High
8 resolution records from the Holocene and MIS11 show multi-centennial to millennial variability, with
9 differences depending on the background conditions (Pol, submitted) [similar analysis in preparation for
10 MIS5.5]. Complex trends in regional climate evolution suggested by the climate proxies must be seriously
11 considered.

13 [INSERT FIGURE 5.6 HERE]

14 **Figure 5.6:** [PLACEHOLDER FOR FIRST ORDER DRAFT: New simulations for the LIG, particularly
15 130–125ka, from PMIP3 and other LIG projects will be included in subsequent versions. A compilation of
16 more proxy estimates will need to be assessed for this figure.] Last Interglacial, comparison of
17 reconstructions with models: Annual (top, left), June-July-August (bottom, left), and December-January-
18 February (bottom, right) surface temperature changes and annual precipitation changes (top, right) for the
19 Last Interglacial from a multi-model and multi-proxy synthesis. The multi-model changes [in this
20 placeholder, CCSM3 T85x1 simulation for 125ka as compared to a preindustrial control simulation] are
21 color contoured and are overlain by proxy estimates of annual changes (circles) [in this placeholder, as
22 compiled in the synthesis of annual surface temperature change by [Turney (2010), Agulhas Current
23 amplification of global temperatures during super-interglacials [tbc]] and from various proxy estimates of
24 precipitation change: [Rohling (2002, African monsoon variability during the previous interglacial
25 maximum); Wang (2004, Wet periods in northeastern Brazil over the past 210 kyr linked to distant climate
26 anomalies); Brewer (2008, The climate in Europe during the Eemian: a multi-method approach using pollen
27 data); Cheng (2009, Ice Age Terminations).].

29 5.3.5 *The last 2000 Years in the Context of the Holocene*

30
31 Information from proxies about external forcings and temperature changes suggests that externally forced
32 variations over the last 2000 years have probably been quite small. Detecting the response to these external
33 forcings (Hegerl et al., 2007), estimating the climate sensitivity (Hegerl et al., 2006), and evaluating the
34 ability of climate models to realistically simulate the response to these forcings (Goosse et al., 2005) are all
35 restricted when the forced signal is weak relative to the noise of internal variability. Since internal variability
36 is larger at local or subcontinental scales than at global or hemispheric scales, these problems are more
37 tractable when large-scale averages (or perhaps large-scale patterns) of temperature change are considered
38 (Goosse et al., 2005). On the other hand, global and hemispheric means have limited value for distinguishing
39 dynamical changes (Graham et al., 2010) associated with either internal variability or the response to
40 forcings, for which a consideration of regional patterns of past climate is key (see Section 5.5). Focussing on
41 the global/hemispheric scale introduces particular problems, however, such as the need to maximise spatial
42 coverage by combining multiple proxies perhaps with different temporal resolutions or seasonal responses,
43 and the choice of statistical model to link large-scale average temperature to the scattered proxy data (see
44 further review by Frank et al., 2010; Jones et al., 2009b; Mann, 2007).

46 5.3.5.1 *Limitations and Uncertainties (Including Statistical and Methodological Issues)*

47
48 Reconstructing NH, SH or global-mean temperature variations over the last 2000 years remains a
49 considerable challenge with many limitations (Jones et al., 2009b). Broadly, these can be categorised either
50 as limitations of the individual proxy data or as issues associated with the statistical methods used to
51 optimally make use of the multi-proxy information.

52
53 The statistical methods used to develop large-scale average temperature reconstructions from individual
54 proxy series differ in the extent to which spatial co-variance of temperature and proxies, and temperature-
55 proxy correlation, are considered. Simple compositing of individual or regionally-aggregated temperature
56 sensitive proxy records makes no explicit assumption about spatial co-variance, relying on a reasonable
57 geographical spread of information and either an equal weighting for each local or regional series (Hegerl et

1 al., 2007; Ljungqvist, 2010; Mann et al., 2008) or weighting associated with local temperature-proxy
2 correlation (Christiansen, 2011) or NH temperature-proxy correlation (Juckes et al., 2007). Other empirical
3 approaches -- particularly those that reconstruct spatial fields of temperature from which global or
4 hemispheric means can be obtained -- use information about temperature spatial co-variance estimated from
5 the instrumental record (and usually co-variance information between proxy records) to implicitly weight
6 individual proxy series so that they can be combined to represent spatial fields and subsequently (or directly)
7 large-scale averages (Mann et al., 2009a; Mann et al., 2008). This climate field reconstruction approach
8 potentially achieves a more optimal combination of the individual records, and offers a more satisfactory
9 way to incorporate proxy series that are not sensitive to the temperature in their immediate surroundings but
10 which might be indirectly related to temperature elsewhere (Jones et al., 2009b). A further method developed
11 recently (Tingley and Huybers, 2010) specifies *a priori* the structural form of the spatial co-variance and
12 uses instrumental and proxy data to estimate the parameters this form as well as empirical relationships
13 between proxies and local temperatures; the Bayesian approach to determining these parameters offers
14 flexibility in accounting for errors in instrumental temperature and in representing proxies that represent
15 spatial or temporal averages.

16
17 The instrumental record is not necessarily long enough, however, to provide an accurate empirical estimate
18 of the temperature co-variance or the NH temperature-proxy correlation needed for some of the methods,
19 potentially leading to inappropriately high weighting of a subset of the proxy records (Juckes et al., 2007).
20 This is especially a concern if spatial co-variance at multi-decadal timescales is estimated because proxy
21 records with lower temporal resolution or that have been temporally filtered are being used (Mann et al.,
22 2009a), though the weights are shown in the latter study. These potential problems have been explored using
23 pseudo-proxy investigations (i.e., attempts to replicate the temperature reconstruction process with synthetic
24 data, usually derived from climate model simulations, with a known outcome), indicating that some
25 reconstructions may be relatively unaffected (Mann et al., 2007). Nevertheless, it seems prudent to continue
26 with both compositing and climate field reconstruction approaches, comparing results for consistency.
27 Palaeoclimate data assimilation is a new approach to this problem developed mostly since AR4 (see review
28 by Widmann et al., 2010). Of the assimilation approaches currently being used for palaeoclimate studies over
29 the last 2000 years, the ensemble member selection (Goosse et al., 2010) is the most amenable for
30 temperature reconstructions; an ensemble of simulations under appropriate external forcing but with small
31 perturbations in initial conditions is generated for each analysis period (e.g., 10 years) and then the ensemble
32 member most consistent with the available individual proxy records is selected for that period and to provide
33 the basis initial conditions for the next period. The selected ensemble members provide spatially complete
34 surface temperatures (and indeed other variables within the atmosphere and oceans) constrained by the proxy
35 records and by the dynamical and physical processes represented in the model equations — thus the
36 temperature co-variance is determined by dynamics rather than empirically estimated from observations.
37 Preliminary assessment indicates close agreement between the average NH temperature reconstructed via
38 assimilation and via compositing or climate field reconstruction methods (Goosse et al., 2010), given similar
39 raw proxy records. Palaeoclimate data assimilation offers a number of further benefits, but there are also
40 various drawbacks (Goosse et al., 2010; Widmann et al., 2010), including the high computational cost that
41 precludes the use of climate models with the most comprehensive representation of the dynamical and
42 physical processes, and the limited utility of the resultant reconstructions for evaluating climate model
43 performance or for detecting the response to external forcings, since model and forcing information has
44 already been used in developing the reconstruction (a similar argument applies to other approaches that use
45 forcing data to constrain the reconstructed temperatures, e.g., Lee et al. (2008), though detection can become
46 part of the reconstruction process).

47
48 Pseudo-proxy investigations using millennial-length CGCM simulations have allowed a systematic
49 evaluation of biases and uncertainties associated with a range of statistical reconstruction methods and
50 networks of proxy data with varying error characteristics (Burger et al., 2006; Mann, 2007; Smerdon et al.,
51 2010, and references therein). A key finding (e.g., Lee et al., 2008) is that some published reconstructions are
52 likely to underestimate the overall amplitude of temperature change during the last 2000 years, including
53 those based on forward regression (Briffa et al., 2001) but also some climate field reconstructions (Mann et
54 al., 1998) and other methods to varying degree (Christiansen et al., 2009). The magnitude of this amplitude
55 attenuation in real-world reconstructions is unclear; it is expected to be larger when the correlation between
56 instrumental temperatures and proxies is weaker (a lower signal-to-noise ratio; Lee et al., 2008) and with
57 methods that (perhaps implicitly) assign the error only to the temperature data (Ammann et al., 2010).

1 Temporal smoothing might ameliorate the affect if it increases the signal-to-noise ratio (Lee et al., 2008), but
2 at the cost of reducing the degrees of freedom available for fitting the statistical model (Christiansen et al.,
3 2010) and possibly also limiting the spatial details that can be resolved in climate field reconstructions.
4 Alternative methods that implicitly (e.g., variance matching — Juckes et al., 2007) or explicitly [e.g., total
5 least squares (TLS) applied to a single composite series (Hegerl et al., 2007) or to a multivariate regression
6 (RegEM with Truncated TLS, Mann et al., 2008)] assign part of the error to the proxy data, or explicitly
7 assign it all to the proxy data (e.g., inverse regression), may result in reduced attenuation of the long-term
8 amplitude of reconstructed temperatures (Lee et al., 2008). Other studies find that this amplitude attenuation
9 may remain, though this is debated (Christiansen et al., 2009; Christiansen et al., 2010; Rutherford et al.,
10 2010). Agreement between reconstructions using data assimilation and some of these statistical approaches
11 (Goosse et al., 2010) suggests that the amplitude of long-term variability may not be biased, though this
12 finding is likely to be sensitive to the local calibration of the proxy series prior to their assimilation. It is
13 clear, however, that amplitude attenuation can be very large if the data are detrended prior to the calibration
14 of the statistical models (Lee et al., 2008; Mann, 2007) and for this reason detrending is not usually
15 performed with published real-world reconstructions. Although it has been argued that the 20th century
16 warming trend contains unique co-variance information between proxies and temperatures (Ammann and
17 Wahl, 2007) it is still more usual statistical practice to detrend data when fitting models, not least because
18 some part of the proxy trend might be due to non-climatic influences (von Storch et al., 2006). It is
19 interesting to note, therefore, a recently proposed reconstruction method involving local inverse calibration
20 of proxies (Christiansen, 2011) that may avoid loss of low-frequency variance even when detrended data are
21 used for calibration (Christiansen, 2011), though further evaluation of its properties is required.
22

23 Ongoing research will resolve these methodological issues and more clearly identify the characteristics of
24 reconstructed large-scale temperatures fields/averages. A more fundamental limitation (Jones et al., 2009b)
25 on our ability to draw conclusions about past temperature variability at global/hemispheric scales is the
26 number, geographical distribution, reliability and climatic interpretation/understanding of raw proxy records,
27 both individually and in networks. Increases in a database of high-resolution proxies have been achieved
28 (Mann et al., 2008; Wahl et al., 2010); excluding series obtained from other spatial reconstructions, 198
29 annually-resolved proxies were available back to 1500 CE (168 in NH, 30 in SH), reducing to 34 back to 900
30 CE (25 in NH, 9 in SH), supplemented by decadal-resolution proxies (42 back to 1500 CE; 34 back to 900
31 CE). After screening for likely correlations with local temperature, these reduce further to a total of 105 and
32 20 back to 1500 CE and 900 CE, respectively. Notable developments include some seasonally-resolved
33 Greenland ice core stable isotope series (Vinther et al., 2010); a multi-millennial chronology of tree-growth
34 from the eastern Alps (Nicolussi et al., 2009); and an expansion of tropical coral records (Wilson et al.,
35 2010).
36

37 A simple expansion in numbers is not sufficient; proxies which can in some sense be considered as reliable
38 indicators of past temperature change are required. (McShane and Wyner, 2010) find that climate proxies are
39 no more useful than random series for reconstructing temperature; though this is disputed (e.g., Smerdon et
40 al., 2010), it is nevertheless true that proxies represent at best noisy observations. Proxies that can provide
41 valuable temperature information can be identified empirically though this can be prone to false negative or
42 false positive outcomes when the instrumental record is short or strongly autocorrelated, or the proxies are
43 temporally smoothed [Reference needed]. Empirical results are much more powerful when guided by an *a*
44 *priori* process-based expectation of proxy sensitivity (e.g., Briffa et al., 2002). Nevertheless, the use of
45 empirical correlations for proxy selection may inflate uncertainty estimates (Bürger, 2007; Osborn and
46 Briffa, 2007), though this has rarely been quantified.
47

48 There are other potential sources of error that published uncertainty ranges do not always account for,
49 including model selection (structural uncertainty), parameter estimates and possible violations of
50 uniformitarianism (i.e., non-stationary proxy–climate relationship). The latter concern may apply to many
51 proxy types, though a prominent example is the apparent divergence between some tree-ring chronologies
52 and instrumental temperatures during the last few decades (e.g., Briffa et al., 1998). The divergence
53 phenomenon does not affect all tree-ring records (Wilson et al., 2007b), may have been incorrectly
54 diagnosed in some cases (Esper and Frank, 2009), but is clearly present in some, though the causes remain
55 unclear (D'Arrigo et al., 2008).
56

5.3.5.2 *New Global or Hemispheric Reconstructions for last 2k or less*

The NH temperature reconstructions assessed in the AR4 provided quite strong evidence for a Medieval Climate Anomaly that was warmer than the average for the past millennium as a whole, followed by a clearly cooler LIA, and then by a modern warming which was also clearly warmer than the average for the whole millennium. Comparison of the relative warmth of the two warmer (Medieval and modern) periods was considered equivocal due to the reconstruction uncertainties (and particularly the sources of error that have not been included in estimated uncertainties — see discussion above), though the central estimates of most MCA temperature reconstructions were lower than the average temperature for 1951–2000 and thus it was considered likely that the latter was the warmer period. Proxy records were too sparse to make an equivalent assessment for the SH, though regional evidence indicated warming from the LIA to the modern period. New reconstructions, and further analysis of the previous reconstructions, methods and proxy data, do not give reason to revise the AR4 conclusions. New reconstruction methods and the inclusion of additional proxy records have overall (Figure 5.7a—[Figure to include the new reconstructions; text below already takes these into account]) have led to an enhancement in the overall amplitude of NH temperature change, providing stronger evidence that the Medieval and modern periods were warm relative to the average for the last 1000 or even 2000 years) and that the Little Ice Age was significantly cooler. Comparison of the relative warmth of the Medieval and modern periods is still problematic due to considerable uncertainty, both quantified and unquantified, though the evidence for Medieval warmth is of course not as strong as that from instrumental data for the modern period which demonstrates warming across all seasons and nearly all regions of the world.

Juckes et al. (2007) tested the sensitivity to proxy data selection and statistical methods, finding that the former could explain many of the differences between previously published NH temperature reconstructions. Compositing records from across some existing studies and scaling their variance to match the observed variance, the Juckes et al. (2007) reconstruction showed modern temperatures significantly above MCA temperatures and a LIA as cool or cooler than most previous studies. Mann et al. (2008) used an expanded database of proxy records and two statistical methods and found enhanced amplitude of millennial NH temperature variations, a warmer MCA than in some previous work though perhaps still cooler than the modern warm period, and that similar findings were obtained without using tree-ring data. For the SH and global-mean temperatures, Mann et al. (2008) found similar results, but with considerably wider uncertainty ranges that are compatible with earlier warmth possibly exceeding modern warmth. Ljungqvist (2010) focussed on the extratropics of the NH, using a composite of 30 temperature sensitive records (16 with annual resolution and 14 lower resolution records, including some with only centennial resolution can be problematic for dating control and for identifying the appropriate scaling to apply to the records). This record shows an earlier warm period (1–300 CE) as well as the MCA and modern warm periods; all three periods in the reconstruction have similar levels of warmth taking the uncertainty into account. (Christiansen and Ljungqvist, 2011) use a similar set of proxy records but with some additions and excluding the lowest resolution series, together with an alternative reconstruction method, and find a much larger amplitude millennial NH temperature change (more than 1 K). [Loehle and McCulloch (2008)] propose a reconstruction of global temperatures using lower resolution proxies; the overall shape of millennial temperature change is compatible with other studies, but the choice of proxies and their resolution inhibits a quantitative comparison of modern and earlier warmth. [this paragraph being considered for placement in a table]

5.3.5.3 *Combining Model-Based Insight with Evidence from the Real World*

[PLACEHOLDER FOR FIRST ORDER DRAFT: Subsection may be revised when the new CMIP5 simulations become available and are analysed; new reconstructions to be include in the figure; potential change in conclusions.]

The number of CGCM simulations of the last millennium has increased significantly since AR4, including some initial condition ensembles [Reference needed], and has been included within the design of CMIP5 (Taylor et al., 2009). These simulations have been driven by natural and anthropogenic forcings, though the particular forcings included and forcing timeseries have varied between studies. The CMIP5 simulations have been driven by weaker solar irradiance variations than many of the non-CMIP5 simulations, following re-evaluation of the evidence for the amplitude of long-term forcing changes (Section 5.2). The considerable

1 uncertainty in past forcings has not been fully explored by CGCM simulations yet. PLACHOLDER FOR
2 FIRST ORDER DRAFT: Consider stating more key elements of the CMIP5 design for the last millennium
3 runs.]
4

5 The course of NH temperature change over the last millennium simulated by these climate models is in
6 broad agreement with the available reconstructions (Figure 5.7a–[comparison is not truly fair, since some
7 reconstructions represent a smaller spatial domain than the full NH or seasonal rather than annual mean
8 temperatures, while the annual full NH mean temperature is shown for the models]). This is an interesting
9 test of the models, though it is not a very discriminatory test because of uncertainties in the forcings,
10 reconstructions and internal variability; thus models with very different climate sensitivities might appear to
11 be consistent with the uncertain evidence for past change.
12

13 Figure 5.7b-g provides a more powerful test by compositing the temperature response to a number of distinct
14 forcing events, as well as giving insight into the response to different forcings. The models simulate a
15 significant cooling in the NH in response to individual volcanic events (Figure 5.7b-c) that peaks between
16 0.1 and 0.4 K depending on model a year after the peak forcing, and lasts 3 to 5 years; the reconstructions
17 with annual resolution also show cooling on average, though smaller (0.0 to 0.2 K). Since many
18 reconstructions do not have annual resolution, similar composites are formed showing the response to
19 changes in multi-decadal volcanic forcings (representing clusters of eruptions). The simulated response is
20 again larger than the cooling shown by the reconstructions (though both are significant), though it peaks
21 earlier (<5 years after the peak negative forcing) than the reconstructions and the models and data are in
22 good agreement after this initial peak cooling dissipates. Even at multi-decadal timescales, the solar forcing
23 estimated over the last millennium shows weaker variations than volcanic forcing. Compositing the response
24 to these weaker multi-decadal fluctuations in solar irradiance shows cooling in both simulations and
25 reconstructions of NH temperature of between 0.0 and 0.2 K or 0.0 and 0.1 K, respectively. In both cases
26 cooling shows a double peak (aligned with the forcing change and lagged by 20 years), though the reason is
27 unclear and might arise from variations in other forcings. Across all these composites, the model responses
28 are larger, on average, than the reconstructions. Though too high a climate sensitivity cannot be ruled out,
29 there are a number of other potential explanations: the solar forcing may be too strong; the amplitude of the
30 reconstructions may be too weak (see discussion above) especially for reconstructing temperatures furthest
31 from the calibration period mean; and internal variability and random reconstruction error could dominate
32 these composites based on small samples of events. [to be reconsidered once CMIP5 models and new
33 reconstructions are included.]
34

35 The power spectra of reconstructed and simulated NH temperatures are both strongly red even up to century
36 periods, indicating the involvement of climate system components (e.g., deep ocean) with long response
37 times. The simulated and reconstructed spectra ranges overlap each other and encompass the power spectra
38 of instrumental temperature, though on average the models have more variance across most timescales than
39 the reconstructions. This probably arises because regression-based reconstructions do not capture the full
40 variance of the instrumental temperature; a simple adjustment (Collins et al., 2002) to account for this
41 unresolved variance is not possible because its spectral shape is unknown.
42

43 Mann et al. (2009b) reconstructed spatial patterns of temperature change over the last 1500 years, suggesting
44 that the MCA period (therein defined as 900–1250 CE) was on average warmer than the LIA period (therein
45 defined as 1400–1700 CE) across most regions except the equatorial Pacific (Figure 5.8). This arises from
46 evidence for more frequent La Nina events and associated North American droughts during the MCA (Cobb
47 et al., 2003; see also Section 5.5.3.1; Graham et al., 2010; Seager et al., 2008). Graham et al. (2010) recently
48 showed that a pattern of change consistent with temperature and hydrological proxy evidence is obtained for
49 the MCA with a climate model if anomalously warm SSTs are induced on the Indian Ocean and western
50 tropical Pacific coeval with a La Niña state, thereby enhancing the zonal gradient. Figure 5.8 shows the
51 MCA-LIA annual temperature differences (hatched areas indicate non significance for a $p < 0.05$ level) in
52 forced simulations from six different models and also in the Mann et al. (2009b) proxy reconstruction. For
53 the specific case of the MPI-ESM, results are shown for four simulations, two arbitrarily selected from
54 ensembles of low (E1) and high (E2) solar variability forcing scenario (Jungclaus et al., 2010a). All
55 simulations tend to produce an almost globally warmer MCA, except for the CNRM (see also Figure 5.7i for
56 NH mean temperature changes). However, the spatial pattern of temperature change is heterogeneous and
57 can vary considerably from model to model and even within simulations of the same model. None of the

1 simulations reproduces the reconstructed pattern depicting a La Niña state or coexisting increased zonal
2 gradient associated with anomalous western Pacific/Indian Ocean warmth. The discrepancy in the simulated
3 and reconstructed temperature changes as well as the inter-model variability suggests that internal variability
4 may play a significant role in the MCA to LIA transition and also that either transient simulations with state-
5 of-the-art models fail to correctly reproduce the mechanisms of response to external forcings, or important
6 problems still dominate in millennium spatial field reconstructions.
7

8 [PLACEHOLDER FOR FIRST ORDER DRAFT: Discussion to include published model-data comparisons
9 rather than just what is shown in Figure 5.7; also include the SH (Osborn et al., under review) to be
10 considered. Climate-carbon cycle work (e.g., Frank et al., 2010) is covered in Chapter 6.]
11

12 5.3.5.4 *Last 2k in Context of Holocene at this Global or Hemispheric Scale*

13

14 Large-scale temperature changes inferred from palaeo archives or simulated by climate models driven by
15 forcings reconstructed from palaeo archives have demonstrated the complexity of Holocene climate change.
16 The timing and spatial extent of the Holocene thermal maximum was regionally variable, with orbital forcing
17 probably modified by regional effects of ice sheet remnants and dynamical responses in the atmosphere and
18 oceans (Renssen et al., 2009). Wanner (2008) reviews the evidence from data, forcings and models for the
19 subsequent mid-to-late Holocene, finding evidence for long-term summertime cooling in the NH driven
20 primarily by orbital forcing, superimposed with centennial and millennial fluctuations related perhaps to
21 other natural forcings and changes in atmosphere-ocean dynamics.
22
23

24 [INSERT FIGURE 5.7 HERE]

25 **Figure 5.7:** [PLACEHOLDER FOR FIRST ORDER DRAFT: Update of AR4, Figure 6.13 (Osborn) or
26 volcanic composite and response. Will be updated with newer reconstructions and CMIP5/PMIP3
27 simulations. Further consideration also needs to be given to which reconstructions and simulations are
28 included in each panel, according to temporal resolution and representation of internal variability. other
29 considerations: how to make use of reconstruction uncertainties and model ensembles.] Comparison of
30 simulated NH annual temperature change with reconstructions of NH temperature change [some
31 reconstructions are seasonal not annual, and some are for a subset of the NH such as extra-tropical land]. (a)
32 Simulations (filtered) shown by coloured lines; overlap of reconstructed temperatures shown by grey
33 shading. (b-g) Superposed epoch composites based on selecting sequences of temperature from periods with
34 (b, c) individual volcanic forcing events from 1400–present that exceed -1.0 W m^{-2} ; (d, e) 50-year smoothed
35 volcanic forcing that exceeds -0.2 W m^{-2} ; (f, g) change in band-passed solar forcing over a 50-year period
36 that exceeds -0.2 W m^{-2} , all based on the forcings used by [Ammann et al. (2007)]; for solar forcing it is their
37 “medium” forcing case. Time segments from selected periods are aligned so that the years with the peak
38 negative forcing are aligned. In (b, d) the volcanic forcing for the individual selected events is shown
39 together with the composite mean (thick). In (f) it is the same but for the band-passed solar forcing. (c, e, g)
40 show the NH temperature composite means and 90% range of spread between simulations (dark red line,
41 pink shading) or reconstructions (green), with overlap in shading (not drawn quite correctly yet!) in orange.
42 NH temperatures were also filtered in the same way as the forcings. Only reconstructions with appropriate
43 temporal resolution were used in each case [to be confirmed when newer reconstructions are included]. (h)
44 Power spectral density of reconstructed (green shading shows the full range of results; dark green line: multi-
45 reconstruction mean; individual reconstructions are not shown), simulated (thin red lines: individual models;
46 thick dark red line: multi-model-mean) and instrumental (black line: HadCRUT3) NH temperature [the
47 unexpected peak at $f = 0.3 \text{ a}^{-1}$ or around 3 year period seems to be entirely from the COSMOS1 and
48 COSMOS2 runs]. (i) Mean NH temperature difference between MCA (950–1250 CE or 1000–1250 CE for
49 data that begin in 1000 CE) and LIA (1400–1700 CE) from reconstructions (green), multi-reconstruction
50 mean and range (dark green), multi-model mean and range (dark red), and simulations (red). Individual
51 results are sorted into ascending order and labelled. [These MCA and LIA periods were chosen to match
52 Figure 5.8 and Mann et al. (2009); individual ensemble members will be replaced with an ensemble mean
53 and range, so it won't be dominated by the 8 COSMOS runs; also is ECHOG-FOR1 the first “Erik” run with
54 a rather warm start and hence large MCA-LIA difference. If so, this should probably be removed –
55 adjustment to this first “Erik” run was included; also new reconstructions need to be included, and perhaps
56 MBH99 dropped if considered to be superseded by Mann et al. (2008).]
57

1 **[INSERT FIGURE 5.8 HERE]**

2 **Figure 5.8:** Temperature anomalies (global or hemispheric maps) for “Medieval Climate Anomaly” and
3 “Little Ice Age”. MCA-LIA annual mean temperature difference in forced simulations for the last
4 millennium produced with six different AOGCMs and in [Mann et al. (2009)]. The periods considered for
5 calculating the differences in [Mann et al. (2009)] were taken as reference: MCA (950–1250 CE) and LIA
6 (1400–1700CE). For the simulations starting in 1000CE (CCSM3, ECHO-G, IPSL, CNRM) the period 1000
7 to 1250 was selected instead to define the MABOUT Hatched areas represent non significant differences at a
8 0.05 level.
9

10 **5.4 Past Changes in Sea Level and Related Processes**

11 [PLACEHOLDER FOR FIRST ORDER DRAFT]

12 **5.4.1 Magnitudes of Past Sea Level**

13
14
15
16 The geologic record clearly indicates past changes in Earth’s average surface temperature were correlated
17 with substantial changes in ice volume and global sea level (e.g., Alley et al. (2005); Figure 5.9). Direct sea
18 level measurements based upon coastal sedimentary deposits and tropical coral sequences (in tectonically
19 stable settings) show that eustatic sea level was higher than present during the Last Interglacial (LIG) by
20 approximately 4–6m (Muhs et al., 2001; Rostami et al., 2000), despite there being very little difference in
21 atmospheric CO₂ concentrations (Lourantou et al., 2010a). An even higher eustatic peak of +6.6 to +9.4 m is
22 reported for the LIG (Kopp et al., 2009), based on a probabilistic assessment of a global database of proxy
23 sea level measurements. Simulations of Earth’s average surface temperature during the LIG generally match
24 proxy reconstructions and are not notably higher than the pre-industrial average of the last 1000 years (see
25 Section 5.3.4 and Figure 5.6). While regional LIG warming is observed especially in the polar regions
26 (Figure 5.3) it is not always synchronous (Masson-Delmotte et al., 2010b). Both North Greenland Ice Core
27 Project (NGRIP) and the Greenland Ice Core Project 2 (GISP) results indicate the Greenland summit region
28 remained ice covered during the LIG (Andersen et al., 2004; Landais et al., 2006; Raynaud and Lorius, 2004;
29 Suwa et al., 2006) [PLACEHOLDER FOR FIRST ORDER DRAFT: NEEM Project results]. The presence
30 of LIG ice on southern Greenland remains equivocal (e.g., Andersen et al., 2004; Koerner and Fisher, 2002;
31 Lhomme et al., 2005), however the absence of pre LIG ice in the Canadian Arctic indicates that they melted
32 completely during the LIG (KOERNER, 1989). Models of Greenland (GIS) and other Arctic ice sheets
33 forced by data, or by temperature and precipitation produced by an AOGCM [references needed] imply a
34 contribution of no more than 2–4m of early LIG sea level rise over several millennia. LIG eustatic sea level
35 of +4 m can be explained by GIS melting and the thermosteric effect, however estimates of +6 to +9 m have
36 implications for the equilibrium response of both polar ice sheets to only moderate levels of warming. Direct
37 evidence from geological data (sediment cores on the continental margin) for retreat of the West Antarctic
38 Ice sheet (WAIS) during the LIG remain equivocal due to difficulties with age assessment (about Naish et al.
39 (2009)), however Pleistocene marine microfossils recovered from sediments beneath WAIS ice streams
40 imply loss of the some of the interior ice sheet within the last 400 ka (Scherer et al., 1998). Significant
41 concentrations of ice berg rafted debris, related to marine ice sheet break-up and transportation by the
42 Antarctic Circumpolar Current are recorded in Southern Ocean sediment cores as far north as Eastern New
43 Zealand during the LIG (Carter et al., 2002; Pollard and DeConto, 2009; [Grobe and Mackensen, 1992). A
44 coupled ice sheet-ice shelf model reconstructs a +2 to +3 m contribution to the magnitude of LIG sea level
45 due to WAIS loss from the Pine Island, Weddell and Siple Coast sectors, where ice is presently, grounded up
46 to 2 km below sea level (Pollard and DeConto, 2009).
47

48 Comparison of Holocene sea level with that of the interglacial during MIS 11 (about 400 ka) are of interest
49 because they both displayed long, relatively stable periods of interglacial climate and sea level. Paleo-sea
50 level reconstructions from a range of uplifted coastlines, where shorelines with numeric ages have been
51 preserved, imply a eustatic peak as high as +20 m during MIS 11 (e.g., [Hearty, 2002; Olson and Hearty,
52 2009]). Although MIS11 interglacial lasted up to 30 kyrs (Augustin et al., 2004; Berger and Loutre, 2002)
53 surface temperatures (Herbert et al., 2010b) and atmospheric CO₂ concentrations (Luthi et al., 2008) display
54 little difference compared with pre-industrial values. An uplift correction based on an extrapolation of LIG
55 uplift rates to MIS 11 shorelines located in the same regional tectonic context, has shown that sea level
56 during MIS 11 was closer to present (Bowen, 2010), and consistent within the range of sea levels estimated

1 from oxygen isotope records corrected for deep-sea temperature (Bintanja et al., 2005; McManus et al.,
2 2003; Rohling et al., 2009; Waelbroeck et al., 2002).

3
4 The early and mid-Pliocene (4.5–3.0 Ma) is the last time in Earth's history when mean global surface
5 temperatures were substantially warmer than present day for a sustained period (estimated by GCMs to be
6 about 2°C to 3°C above pre-industrial (Dowsett, 2009), CO₂ concentrations were likely higher than pre-
7 industrial levels (350–415 ppm ; e.g., Pagani et al. (2010b); Seki et al. (2010)) and continents and oceans had
8 reached their present day configuration. With current CO₂ levels approaching 400 ppm and projected to rise
9 higher, Earth's surface temperature is projected to rise an average of about 3°C by the end of the century, the
10 warmest times during the Pliocene provide an accessible example of the equilibrium state of a globally
11 warmer world. A compilation and statistical assessment of far-field paleo-sea level estimates indicates global
12 sea level during the warmest Pliocene interglacials was likely (66%) to have been greater than +15 m and
13 very likely (90%) to have been greater than +9 m, with a mean value of +22 m [(Miller et al., in review,
14 Science)]. This geological proxy database assembles estimates derived from backstripping of shallow-marine
15 continental margin records in Virginia and New Zealand, submergence of well-dated shorelines of a coral
16 atoll (Enewetak, South Pacific), tectonically adjusted heights of uplifted shorelines, and the deconvolution of
17 ice volume from benthic foraminiferal oxygen isotope records. Direct geological evidence from the Northern
18 Hemisphere (summarised in Maslin et al. (2000)) and Antarctic continents (Naish et al., 2008), together with
19 models [(DeConto et al., 2010)], suggest that polar ice volume was similar to the present East Antarctic Ice
20 Sheet (EAIS), with more variable Greenland and West Antarctic ice sheets. During the warmest Pliocene
21 interglacials, SSTs in the Ross Sea and Southern Ocean adjacent to East Antarctic were between +4°C to
22 +6°C relative to present day (based on planktic diatom assemblage, Mg/Ca and organic geochemical
23 paleothermometers place holder). ANDRILL Program drill cores show warm, high productivity conditions
24 and the absence of summer sea-ice in western Ross Sea between 3.6–3.3 Ma (Naish et al., 2009). An ice
25 sheet-ice shelf model, that simulates ice shelf buttressing and is capable of migrating marine based
26 grounding lines, shows complete deglaciation of the marine portions of the WAIS and thinning and recession
27 on the margins of the EAIS contributing +7 m of sea level rise to the global sea level budget during orbital-
28 scale Pliocene interglacials (Pollard and DeConto, 2009). Mass balance changes in this model are primarily
29 sensitive to the influence of ocean temperatures on melt rates at grounding lines, as well as addressing
30 marine ice sheet instabilities. Other ice sheet models that do not account for marine-based ice sheets imply
31 complete deglaciation of Greenland and slightly more contribution from the margins of the EAIS [(Hill et al.,
32 2007)], potentially contributing +15 to +20 m of eustatic sea level rise. The thermosteric contribution to
33 Pliocene sea level is estimated to be +3 m [(Miller et al., in review)].

34 35 **5.4.2 Rates of Sea Level Change and Meltwater Sources During Glacials and Transitions**

36
37 Whether eustatic (globally averaged) sea level rise following the onset of deglaciation has been punctuated
38 by periods of acceleration in sea level or by periods of a slow-down in the rate of rise have remained
39 controversial in terms of the magnitudes and timing of the events, as well as in terms of their causes and
40 effects. This is largely because the records of such possible events remain limited in their temporal resolution
41 and spatial coverage, and because spatial variability in these signals can be expected from the combined
42 gravitational (including rotational) and deformational response of the ocean and Earth surfaces to the
43 changing ice sheets (the isostatic response; [Mitrovica et al., (2009)]). Better understanding of such events
44 are, however, important because, if real, they indicate that very rapid changes in the rates of sea level, both
45 rising and falling, have occurred over periods of a few hundred years. This can provide insights into climate
46 impacts of large volumes of cold-dense water added into the ocean [(Aharon et al., 2006; Stanford et al.,
47 2006)] and into the stability of ice sheets at times of rapid sea level rise.

48
49 Three possible periods of rapid acceleration in particular have been identified since the LGM. They are, in
50 chronological order, about 19,000 cal years BP [(Yokoyama et al., 2000; DeDeckker and Yokoyama, 2009;
51 Hanebuth et al., 2009)], melt-water pulse (MWP) 1A at about about 14,000–14,500 cal years BP [(Fairbanks,
52 1989; Deschamps et al. 2008)] and MWP 1B at about 11,300 [(Fairbanks, 1989; Bard et al., 2010)]. The first
53 of these events occurs at a time of Northern Hemisphere insolation increase, of temperature increases in
54 Greenland as recorded in ice cores [(Alley et al., 2002)] and a warming of the southern ocean [(Baker et al.,
55 2009)]. The latter have been associated with major pulses of freshwater added into the oceans from either
56 northern or Southern Hemisphere, or both, sources [(Weaver et al., 2003; Clark et al., (?); Carlson, 2009;
57 Yamane et al., 2011; Mackintosh et al., 2011)]. Recent coral records from Tahiti support earlier estimates for

1 the MWP 1a rise of about 20 m in less than 500 years [to be confirmed against new results when published]
2 with an average annual rise of about 40 mm yr⁻¹ or greater and synchronous with the Bolling-Allerod
3 warming [(Deschamps et al., under review 2011)]. But other recent Tahiti core data does not confirm the
4 existence of the MWP 1b [(Bard et al., 2010)] in contrast to a reported rise of about 10 m at Barbados
5 [(Peltier and Fairbanks, 2006)]. It has been suggested [(Mitrovica et al., 2009)] that the spatial variability of
6 the isostatic response may explain the different MWP 1b sea level signals at these two sites in which case it
7 would imply that the sources of the two pulses were distinctly different. [PLACEHOLDER FOR FIRST
8 ORDER DRAFT: Develop this further with new publications in preparation.]

9
10 Periods of slow-down in sea level rise during the early Holocene have also been reported. One is for the
11 latter part of the Younger Dryas [(Lambeck et al., 2002; other references)], at a time when the ice margins of
12 the major Northern Hemisphere ice sheets were quasi-stationary. Another is for a about 300 year time
13 interval centred on about 8000 ka [(Bird et al., 2010)] which implies that either a substantial amount of
14 meltwater has been withheld from the oceans at this time or that there was a temporary cessation in melting
15 of either the residual Laurentide ice sheet or of the Antarctic ice sheet.

16
17 [PLACEHOLDER FOR FIRST ORDER DRAFT: Meltwater pulses during the pre-LGM period as well as
18 rapid fall events and their relationship with Heinrich events need to be commented on.] There is the question
19 about the magnitude and timing of the freshwater inputs linked with Heinrich events and stadials "The total
20 amount of freshwater released during Heinrich events has not yet been well-constrained and existing
21 estimates range from essentially zero to several tens of meters in global sea level equivalent". The most
22 debated points for the last several years are that the timing of the HE if it is warm in Greenland or cold
23 [(Alley et al., 1996)], whereas [Chappell (2002) and Arz et al. (2007)] suggested the time of warm
24 temperature in Greenland so the melting was occurred more slowly. This may have been resolved by
25 [Rohling et al. (2008)] using dust flux in the Red Sea core that HE was indeed occurred at cold period in
26 Greenland. Ocean General Circulation Model based experiment explains the mechanism of HE. Again N-S
27 thermal see-saw plays an important role [(Flückinger et al., 2006)]. Similar mechanism was also proposed by
28 [Clark (2007), though other mechanisms are also reported such as ice sheet internal processes [(Mac Ayeal,
29 1993; Papa et al., 2006)], internal oscillations of ice sheet –climate systems [(Calov et al., 2002)] and ice
30 shelf build up and collapse [(Hulbe et al., 2004; Alley et al., 2006)].

31 32 **5.4.3 Rates of Sea Level Changes During Interglacials**

33
34 [PLACEHOLDER FOR FIRST ORDER DRAFT]

35 36 **5.4.3.1 The Start of Interglacials**

37
38 For historical reasons, the onset of interglacial periods is determined by the beginning of temperature plateau
39 rather than by the end of deglaciations. As a result, at the beginning of the Holocene interglacial (11.7 ka)
40 global sea level was still some 60 meters below modern, substantial North American and Fennoscandian ice
41 sheets were still present, and global sea levels did not stabilize near their present value until nearly 5000
42 years later. Therefore, the earlier phases of the recent interglacials in fact represent the final stage of glacial
43 terminations with an average global rate of sea level rise by up to 1.5 m per millennium. For the LIG, global
44 sea levels approached their present value at about 130 ka although the planetary warming started earlier such
45 that this interglacial, as defined by the onset of the temperature plateau and by analogy with the Holocene,
46 started at about 135 ka. Since most of the early interglacial sea level rise originated from ice sheets that do
47 not exist any more, these early periods are not directly applicable to the future warming. However, the fact
48 that the ice sheet models forced by variable summer insolation and CO₂ concentration are able to
49 successfully reproduce reconstructed rates of sea level rise during the last deglaciation [(Abe-Ouchi et al.,
50 2007; Carlson et al., 2008; Ganopolski et al., 2010)] lends credibility to the models.

51 52 **5.4.3.2 Last Interglacial (LIG)**

53
54 After complete melting of continental Northern Hemisphere ice sheets, remaining sea level variations are
55 primarily explained by contributions from the Greenland and the Antarctic ice sheets. Paleoclimate data
56 suggest that the maximum sea level during the Last Interglacial (130–115 ka) was higher than today by +4 to
57 +6 m [(Stirling et al., 1998; Overpeck et al., 2006)], or even as much as +6 to +8 m [(Kopp et al., 2009)].

[PLACEHOLDER FOR FIRST ORDER DRAFT: A few cautionary comments needed about the validity of the observational evidence.] Ice sheet models forced by reconstructed temperatures or by the output of climate models and constrained by paleoclimate reconstructions indicate that GIS could contribute by up to 4 m to the global sea level during LIG [(Cuffey and Marshall, 2000; Huybrechts, 2002; Tarasov and Peltier, 2002; Otto-Bliesner et al., 2006; Robinson et al., 2010)]. This highstand can be explained by higher summer insolation and higher summer Greenland temperatures during the first half of the LIG as compared to the late Holocene. Palaeoclimate reconstructions and results of climate model simulations indicate that the maximum LIG summer temperatures over and around Greenland were up to 4°C higher than at present [(Otto-Bliesner et al., 2006; Kaspar and Cubasch, 2007)]. As a result, according to model simulations, GIS lost the mass during the first half of LIG with the average rate of 0.5–1 meter in sea level equivalent per millennium till 124–122 ka, when the minimum of the GIS volume was reached [(Robinson et al., 2010)].

[PLACEHOLDER FOR FIRST ORDER DRAFT: Model-data comparison]. If the maximum LIG sea level was much more than 4 m above the present one, this can only be explained by a contribution from the AIS. Since GHGs concentrations and mean annual insolation in the high latitudes were similar to present, while austral summer insolation was lower than at present during LIG, substantial mass loss of the AIS and the reconstructed high Antarctic temperatures during LIG require a different explanation than that for the GIS. A coupled ice sheet-ice shelf model simulation driven by insolation and climate variability demonstrate a possibility of repeated complete disintegration of the WAIS during some interglacials [(Pollard and DeConto, 2009)]. Although in this simulation the complete disintegration of the WAIS did not occur during LIG, nevertheless, this modelling result illustrates potential vulnerability of the WAIS to a relatively small climate fluctuation on the orbital time scale. At the same time, disintegration of WAIS during the penultimate deglaciation can help to explain high Antarctic temperatures during LIG [(Holden et al., 2010)].

Analyses of some palaeoclimate archives [(e.g., Rohling et al., 2008; Hearty et al., 2006)] suggest that during LIG, unlike the present interglacial, sea level experienced fluctuations with magnitude of several meters, even tens of meters at millennium time scale, although others have attributed the larger fluctuations to interpretational errors [(Bowen, ?)] and due to the neglect of glacio-isostatic contributions. [Dutton and Lambeck (?)], for example, have shown that such oscillations are unlikely to have exceeded 1–2 m which is at the limit of the resolution of the available data. In the absence of known climate forcings on this time scale, the larger fluctuations cannot be reproduced by ice sheet models.

5.4.3.3 *Holocene*

Holocene sea levels are characterized by considerable spatial variability because of tectonic movements of the land surface with respect to which the observations are recorded and because of ongoing deformational and gravitational response of the Earth and ocean to the past glacial cycle. The tectonic contributions tend to be episodic, local, and difficult to evaluate, whereas the isostatic changes are global in scale, vary slowly in time, and for which comprehensive and predictive models exist [(e.g., Milne and Mitrovica, 2008)]. Within the framework of the IPCC report the concern is primarily with the latter part of the Holocene, the time when global ice sheets and sea level stabilized near present-day values. For this interval, in addition to the isostatic and tectonic contributions there is also the contribution from any residual melting or re-growth of ice sheets and mountain glaciers, as well as from thermal changes in the oceans, reflecting possible impacts of climate and the Earth's response to changes in surface ice-water loads. Thus this contribution will also be spatially variable and a function of time. The time-dependence of sea level is usually expressed by the globally averaged change, or eustatic sea level, which provides a measure of the exchange of the total mass between ice sheets and oceans and of the total change in the heat content of the ocean. For many regions, departures from this global average will be at least as important or considerably larger.

Observational evidence for local change in sea level during the latter part of the Holocene comes from the fossil record of organisms or sediments whose normal living or formation positions can be closely defined within the tidal range but which today are found outside their usual growth or depositional limits. Most important of these indicators are particular coral colonies, micratolls, whose growth limits are well defined by the mean-low water spring tide level [(Hopley et al., 2007)], vermetid reefs that form within a narrow part of the tidal range [(Antoniolli et al., 1999; recent references needed)], and certain sedimentological records whose flora and fauna contents specify specific horizons within the tidal range [(Gehrels et al., xxxx)]. Archaeological indicators, where the functional success of a construction requires a close link to sea level as in the fish tanks of Roman time, can also provide precise measures of past sea level. These data sources

1 provide measures of the local sea level change and via tectonic and isostatic modeling, measures of the
2 global changes in ocean volume during this Late Holocene interval.

3
4 Coral microatoll data from the tectonically stable Australian east coast have long been seen as sound
5 evidence that for the past about 6 ka there have been no substantial oscillations ($< \pm 50$ cm) in sea level on
6 centennial-to-millennial time scales [(Chappell, 1983)]. In contrast, other studies, also from the same margin
7 but using fixed biological indicators whose relation to mean sea level is less well defined, have reported
8 oscillations of about 1 m during this same interval [(Baker et al., 2001)] but no study has shown that such
9 magnitude oscillations are globally synchronous. More recent results of the height-age distribution of a large
10 number of microatolls from the tectonically stable island of Kiritibati confirm that oscillations in sea level on
11 the centennial-to-millennial time scales are unlikely to have exceeded ± 25 cm [(Woodroffe et al., 2011
12 submitted)]. [PLACEHOLDER FOR FIRST ORDER DRAFT: Discussion of evidence for oscillations from
13 the salt-march data of van der Plasche and from Wright and Gehrels et al. needs to be introduced; also the
14 new archaeological data in press or in preparation needs to be evaluated.]

15
16 The Australian coral data has also demonstrated that the ocean volume has not been constant over the past 6–
17 7 ka but that it has increased by about 3 m sea level-equivalent (or about 1.2×10^6 km³ of grounded ice) in
18 this time interval [reference needed]. More recent analyses from different parts of the world have confirmed
19 this result with most of this increase occurring between about 6 and 3 ka [Milne reference, WCRP Chapter
20 4].

21
22 [PLACEHOLDER FOR FIRST ORDER DRAFT: Sources, rapid change at 7.6 ka, recent fluctuations during
23 MPW AND LIA and description of a figure showing eustatic sea level since 8 ka together with tide records
24 and error bars.]

25
26 **[INSERT FIGURE 5.9 HERE]**

27 **Figure 5.9:** [PLACEHOLDER FOR FIRST ORDER DRAFT: pCO₂ (or polar Temp.) vs. ice volume (sea
28 level) from data and models (Pliocene to recent)] Relationship between reconstructions of past sea level
29 changes due to ice sheet contributions and estimates of past atmospheric CO₂ concentrations. [This figure
30 (from Alley et al., 2005) to be updated by newly available data and an assessment of uncertainties.]

31
32 **[INSERT FIGURE 5.10 HERE]**

33 **Figure 5.10:** [PLACEHOLDER FOR FIRST ORDER DRAFT: Palaeo sea level variations (update of sea
34 level figure in WCRP report). Globally averaged variation in sea level in Late Holocene time. This figure is
35 from the volume edited by Church et al. (Figure 4.14, p.96). It will be supplemented with a couple of side
36 panels showing individual published records, corrected and not corrected for isostatic effects. These figures
37 would like some of the panels in Figure 4.12 or Figure 4.13. These would include results by Woodroffe et al.
38 (submitted to Nature) from corals in the Pacific and new salt marsh records by Gehrels et al. from Tasmania
39 and New Zealand (publications in preparation). There are new results forthcoming from archaeology sites in
40 the Mediterranean.]

41
42 **[INSERT FIGURE 5.11 HERE]**

43 **Figure 5.11:** [PLACEHOLDER FOR FIRST ORDER DRAFT: Rates of sea level change during the last
44 interglacial.]

45 46 **5.5 Climate Responses and Feedbacks at Regional Scales**

47
48 [PLACEHOLDER FOR FIRST ORDER DRAFT]

49 50 **5.5.1 Regional Temperature Changes**

51
52 The PAGES (<http://www.pages-igbp.org/>) “Regional 2k Network’ initiative (<http://www.pages-igbp.org/science/last2millennia.html>) will provide detailed spatio-temporal quantitative reconstructions
53 combining different proxy archives and dynamical interpretation of the climate of the last 2000 years
54 covering each of the continents and their adjacent ocean regions. The continental syntheses are expected in
55 2012 and will provide input for this subsection. These results will be presented in Figure 5.12 (comparison of
56

1 observed continental- and global-scale changes in surface temperature with CMIP models) similar to AR4,
2 Figure TS22.

3
4 Since AR4, new syntheses of reconstructed ocean temperature have been produced for various time slices.
5 The MARGO project provided a multi-proxy evaluation of SST for the Last Glacial Maximum (LGM)
6 (Waelbroeck et al., 2009). The study found stronger tropical cooling compared to earlier syntheses (e.g.,
7 CLIMAP, 1976, 1981). Most prominent are a 1–3 degree cooling of the W Pacific warm pool and a
8 reduction of the subtropical gyres. At higher latitudes, strong cooling prevailed in the Eastern Boundary
9 currents areas with some discrepancies between various proxies. Polar fronts moved equatorward to about
10 45°N in both hemispheres. Seasonally ice free conditions were documented, however, in the NE North
11 Atlantic and in the eastern Nordic Seas, in contrast to the widely used CLIMAP reconstruction (CLIMAP,
12 1981) which suggested perennial sea ice for these areas.

13
14 For the early to mid-Holocene, there is strong evidence that high-latitude areas in the North Atlantic-Arctic
15 Ocean experienced summer SST warmer than their pre-industrial values, due to the orbitally induced
16 stronger summer insolation (Jansen et al., 2007), Figure 6.9, and new data compilations, e.g., Andersson et
17 al. (2010). The highest Holocene temperatures only appear in the stratified uppermost surface ocean layer,
18 below which no Holocene thermal maximum is reconstructed (Andersson et al., 2010; Hald et al., 2007). In
19 the high latitude Southern Ocean, Holocene SST trends also follow the decrease in austral summer insolation
20 (Shevenell et al., 2011). High-amplitude near surface temperature variations on millennial to centennial time
21 scale appear to characterise Holocene ocean temperatures in both hemispheres, particularly after 4 ka (Euler
22 and Ninnemann, 2010; Risebrobakken et al., 2003; Shevenell et al., 2011). Due to time scale uncertainties,
23 the phasing of these significant pre-industrial climate events between the hemispheres remains unclear.

24 [PLACHOLDER FOR FIRST ORDER DRAFT: Compile such information and make thorough
25 assessment.] Century scale temperature anomalies associated with a warm phase centered around 1100 AD
26 and a generally colder phase after 1400 AD, and ending in the first decades of the 20th century are common
27 in many marine proxy records of the High-latitude North Atlantic-Arctic ocean areas (e.g., Sicre et al.,
28 2008). In the Fram Strait, at the entrance to the Arctic the high modern SSTs appear unprecedented over the
29 past 2000 years (Spielhagen et al., 2011a).

30
31 Palaeoclimate records indicate an expansion of the western Pacific warm Pool in the Early Holocene (10–7
32 ka) (Linsley et al., 2010) with 0.5 degrees SSTs higher than modern values. During the past few millennia
33 centennial-scale oscillations of the warm pool SSTs are synchronous with known changes in Northern
34 Hemisphere climate (e.g., the Little Ice Age and Medieval Warm Period) implying a dynamic link between
35 Northern Hemisphere temperatures and Indo-Pacific Warm Pool hydrology (Oppo et al., 2009; Tierney et al.,
36 2010a).

37
38 Since AR4, there is a significant increase of available terrestrial temporal high resolution temperature proxy
39 records from different areas of the globe. The majority of proxy records provide estimates for a particular
40 season, making annual estimates and comparisons with other (seasonally different) records problematic
41 (Bradley et al., 2003; Diaz et al., in review). Advances in new regional reconstructions and comparison with
42 model simulations is provided below for various continents in the NH and SH where new evidence is
43 available and with emphasis on the occurrence of changes within the Medieval Climate Anomaly (MCA)
44 and Little Ice Age (LIA). Despite recent efforts (e.g., Conroy et al., 2009; Cook et al., 2006; Jones et al.,
45 2009b; Mann et al., 2008; Neukom et al., 2010; Tierney et al., 2010b) fewer proxy records are available from
46 the Southern Hemisphere (SH) and tropics, particularly at interannual to decadal resolution prior to about
47 1200 AD. However, new tree ring records from the Andes, northern and southern Patagonia, Tierra del
48 Fuego, New Zealand and Tasmania (Boninsegna et al., 2009; Cook et al., 2006; Villalba et al., 2009), ice
49 cores, lake sediments and documentary evidence from southern South America (Prieto and Herrera, 2009;
50 Vimeux et al., 2009; von Gunten et al., 2009; [Neukom, 2009]) and terrestrial and shallow marine geological
51 records from eastern Antarctica (Verleyen et al., 2011) allow for a better understanding of past temperature
52 variations in parts of the SH.

53
54 The MCA (about 900 to 1350 AD) was not characterized by uniformly warmer temperatures globally, but
55 rather by a range of temperature, hydroclimate and marine changes with distinct regional and seasonal
56 expressions (see Section 5.5.2.4; [Jansen et al., 2007]). Since AR4 there is new evidence on the MCA with
57 respect to the amount climate information available, modelling efforts and physical understanding of the

1 underlying mechanism. For instance, 1000–2000 year long cold and warm season temperature
2 reconstructions (based on historical documents and natural archives) generally show warm conditions during
3 the MCA with high level of consistency in different regions within China (Ge et al., 2006; Ge et al., 2010;
4 Ge et al., 2003; Wang et al., 2007a, [2006; Yang et al., 2009; Qian et al., 2008; Zhang et al., 2009; Holmes et
5 al., 2009]). The warming of the last few decades of the 20th century is unprecedented in parts of China
6 within the past 500 years; for the earlier period the large level of uncertainties precludes a quantitative
7 comparison. (e.g., Ge et al., 2010). Millennium long temperature reconstructions and climate model
8 simulations (Liu et al., 2005; Peng et al., 2009; Zhang et al., 2011) consistently show that centennial China
9 temperature variations were controlled by changes in solar radiation and volcanic activity, with the
10 greenhouse gases playing a larger role in the last 150 years. The magnitude of internal variability is though
11 underestimated by the simulations in comparison to some of the reconstructions (Ge et al., 2003; Yang et al.,
12 2002) and is only reconcilable with the [Wang et al. (2007)] record showing lower amplitude of past
13 changes. Further evidence on the MCA and LIA and the current warmth in Asia is also provided by tree ring
14 information from the Western Himalaya (Yadav et al., 2010). They found warm conditions from the 11th to
15 the 15th century, lower temperature afterwards and warming (similar to the MCA) within the 20th century.
16 Extended warmth during similar periods in the MCA and subsequent cooling have also been reported from
17 the southern Tibetan Plateau [(Yang et al., 2003)], and from the northeastern Tibetan Plateau (Zhu et al.,
18 2008), the northern slope of central Tianshan mountains [(Zhang et al., 2009)] as well as from western High
19 Asia (Esper et al., 2007).

20
21 Evidence for warmer summer conditions during the MCA in comparison to post medieval times are provided
22 by different studies using tree ring and sedimentary information from Northwest Canada, Canadian Rockies,
23 Alaska and Colorado (MacDonald et al., 2009; Salzer and Kipfmüller, 2005; [Thomas and Briner, 2009;
24 Luckman and Wilson, 2005; Hu et al., 2001; Loso, 2009]), although not in the Gulf of Alaska (Wilson et al.,
25 2007a). Those studies also point to the fact that the entire 20th century or the last decades in most areas were
26 warmer than the MCA, an analysis confirmed by lake and ice core and tree-ring data in the extended Arctic
27 region north of 60°N (Kaufman et al., 2009b). Kaufman et al. (2009b) also report that the last 2 kyr summer
28 temperature changes in the Arctic have been found to be embedded in a long term trend in response to orbital
29 forcing.

30
31 A multiproxy reconstruction of European spring-summer temperatures (Guiot et al., 2010) shows warm
32 medieval conditions, although the last decades were warmer than any other period of the last 1400 years.
33 Scandinavian summer temperatures reconstructed from tree rings (BRIFFA et al., 1992; Gouirand et al.,
34 2008; Grudd, 2008; Grudd et al., 2002; Helama et al., 2009b; Lindholm et al., 2010; [Gunnarson et al., 2010;
35 Kirchhefer, 2001; Linderholm et al., 2009]) also indicate generally warm conditions centered on the 760s,
36 between about 980 and 1100, and again in the 1410–1420s that are comparable to or even higher than
37 conditions during the 1930s and after about 1980 (Buntgen and Schweingruber, 2010).

38
39 Tree ring evidence from the Alpine arc (e.g. Buntgen et al., 2006; Buntgen et al., 2005; Corona et al., 2010;
40 Nicolussi et al., 2009; [Buntgen et al., 2009]) point to cooler summer temperatures from the 15th century to
41 about 1820. Warm periods occurred in the 990s and between about 1150–1250. Alpine summer temperatures
42 during the late 20th century appear unprecedented over the past 1500 years (Buntgen et al., 2011; Nicolussi
43 et al., 2009). Shorter temperature reconstructions that reach back into the 12th and 13th centuries are
44 available from the Romanian Carpathians (Popa and Kern, 2009) and the Spanish Pyrenees (Buntgen et al.,
45 2008) that show summer temperature variations that are comparable to those obtained from the Alps.

46
47 Modelling results suggest that the warm summer conditions during the early second millennium compared to
48 the climate background state of the 13th–18th century are due to a large extent to the long term cooling
49 induced by changes in land-use in Europe. During the last 200 years, the effect of increasing greenhouse gas
50 concentrations, which was partly levelled off by that of sulphate aerosols, has dominated the climate history
51 over Europe in summer. Volcanic and solar forcing plays a weaker role in this comparison between the last
52 25 years (that were comparable with the warmth roughly a millennium ago) of the 20th century and the early
53 second millennium. External influence was detectable in European summer temperatures over the period
54 1500–1900 (Hegerl et al., 2011).

55
56 High temporal resolution climate evidence is scarce for cold season temperatures in Europe before 1500 AD.
57 Most of the scattered information on past temperature variations stem from documentary information from

1 western and central Europe (e.g., Brazdil et al., 2005; Brazdil et al., 2010; [Pfister et al., 2008; Brazdil et al.,
2 2005, 2010; Glaser, 2008, Glaser and Riemann, 2009, and references therein]). Evidence for winter is more
3 equivocal than for summer and firm conclusions on the role of external forcing are not possible [(Goosse et
4 al., 2006)] due to large uncertainties in spatial temperature coverage and modeling approximately 1000 years
5 ago. However recently [Hegerl et al. (2011)] found that external forcing contributes significantly to the
6 reconstructed long-term variability of winter and spring temperatures back to AD 1500 and that the winter
7 warming is largely attributable to greenhouse-gas forcing in the last 2 centuries.

8
9 In the Southern Hemisphere, Neukom et al. (2010) presented multi-proxy regional austral summer (winter)
10 temperature field reconstruction for Southern South America back to AD 900 (1706). Mean austral summer
11 temperatures between 900 and 1350 are mostly above the 20th century climatology (though associated with
12 large uncertainties). After 1350, there is a sharp transition to colder conditions, which last until
13 approximately 1700. Agreement on the simulation of a warmer MCA period and a cooler LIA is found with
14 two climate models (ECHO-G and CCSM (Luterbacher et al., 2011), although with some differences in the
15 timing of the MCA-LIA transition and in the larger amplitude of simulated warming in the last two centuries.
16 East Antarctic shallow marine geological records do not show clear evidence of an MCA-like phase and only
17 circumstantial local evidence for a cool event comparable to the LIA (Verleyen et al., 2011) in contrast to
18 the Arctic (Kaufman et al., 2009b).

19
20 Palaeoclimate reconstructions (see paragraphs above) at regional scale and modelling studies of the MCA
21 provide capability for elucidating key mechanisms governing the climate system including the North
22 Atlantic Oscillation (NAO) and ENSO (Cobb et al., 2003; Conroy et al., 2009; Graham et al., 2010; Graham
23 et al., 2007; Trouet et al., 2009). Changes in the persistency or frequency of climate modes (such as ENSO)
24 may account for some of the thermal features during the MCA (Graham et al., 2010; Graham et al., 2007;
25 Mann et al., 2009a; Mann et al., 2005; Seager et al., 2007; Seager et al., 2008; [Diaz et al., 2011]), with
26 preferred La Niña-like states likely dominating the MABOUT Changes in sea surface temperature (SST)
27 may have led to warm conditions in northern and western Europe through SST-forced changes in large-scale
28 circulation patterns (positive NAO phase) (Graham et al., 2010; Mann et al., 2009a; Trouet et al., 2009;
29 [Diaz et al., 2011]). Sensitivity studies inducing warmer tropical Indian and western Indian and Pacific
30 Oceans with enhancement of the zonal Equator gradient suggest (Graham et al., 2010) a broad range of
31 climate shifts can be induced in agreement with proxy data, including widespread aridity through the
32 Eurasian sub-tropics, shifts in monsoon rainfall patterns across Africa and South Asia and stronger winter
33 westerlies across the North Atlantic and Western Europe, many of those not explained by a cooler tropical
34 Pacific (La Nina type) alone [(Graham et al., 2010)]. One possible mechanism to understand these changes is
35 related to dynamical responses to natural radiative forcing (solar irradiance and explosive tropical
36 volcanism) (e.g., [Mann et al., 2005; Meehl et al., 2009]). Solar activity is generally acknowledged as having
37 played a role throughout the last millennium, particularly in establishing the contrast between MCA and LIA
38 periods (e.g., [Lean, 2010]). This is supported by model simulations of the last millennium (e.g., [IPCC,
39 2007]), although recent simulations driven with lower levels of past solar forcing variability (Schmidt et al.,
40 2011) suggest that internal variability could have had a role in producing many of the observed regional
41 changes (Jungclaus et al., 2010b).

42 43 **[INSERT FIGURE 5.12 HERE]**

44 **Figure 5.12:** [PLACEHOLDER FOR FIRST ORDER DRAFT: Compilation of temperature reconstructions
45 at continental scale (similar to AR4, Figure TS 22) together with CMIP models, model data/comparison.]
46 Regional temperature, reconstructions, comparison with model simulations over the past millennium (1001–
47 1999 CE). Ensemble model mean (black line) plus 20 and 80 percentile (gray envelope). Mean of
48 temperature reconstructions (bold blue line) and estimation of error from each reconstruction (light blue
49 line). All lines are smoothed to remove fluctuations under 50 years. Models used: ECHO-G (Gonzalez-
50 Rouco et al., 2006), CCSM (Ammann et al., 2007), CCSM-Bern [reference needed], COSMOS (Jungclaus et
51 al., 2010b), CNRM (Swingedouw et al., 2010). Reconstructions by region: North America [reference
52 needed], South America (Neukom et al., 2010), Arctic (Kaufman et al., 2009a), Europe (Guiot et al., 2010),
53 Africa [reference needed], Antarctica [reference needed], Asia (Yang et al., 2002), Australia [reference
54 needed].

55
56
57 **[START BOX 5.2 HERE]**

Box 5.2: Glacier Variations During the Holocene

New data on the Holocene glacier and tree line fluctuations published since the AR4 improve our ability to assess the causes of glacier variability. Cosmogenic isotopes technique (^{10}Be) have extensively been deployed to date glacial moraines, especially in the areas formerly underrepresented in the global data sets such as the tropics and the Southern Hemisphere [(Schaefer et al., 2009; Glasser et al., 2009; Licciardi et al., 2009, Jomelli et al., submitted)]. In contrast to the radiocarbon-based glacial chronologies which depend on the availability of organic material, the ^{10}Be -based series allow the dating of glacial landforms themselves. However glacial boulder exposure ages tend to represent the minimum limiting deglaciation ages [(Heyman et al., 2011)]. The ^{14}C and dendrochronological dates of the tree remains located upper the modern tree line and those killed by the advancing glacier also provided widespread information on the former tree line position and glacier advances [(Joerin et al., 2008; Barclay et al., 2009; Menounos et al., 2009)]. Although both glaciers and tree line elevation depend on several climatic and even anthropogenic (in case of tree line) parameters, temperature is one of the most important factor driving their centennial variability [(Oerlemans, 2005; Hormes et al., 2001)]. Both are lagging the climate signal, but the tree line is more inertial, sometimes lagging the temperature rise by several centuries [(Hormes et al., 2001)], while for mountain glaciers the response time is typically a few decades [(Haeblerli and Hoelzle, 1995)], therefore some glacier advances and retreats are too short to be registered in the tree line records. The combination of the two independent records in combination with the other proxies, such as lake sediments, greatly decreases the uncertainties in paleoglaciological reconstructions.

Long-term trends

The new data generally confirm the opposite multi-millennial trends in glacier variations in the Southern and Northern Hemispheres (Box 5.2, Figure 1), consistent with the opposite orbital trends of summer insolation in both hemispheres. However there are some exceptions, such as the Central Asian glaciers, which generally decreased through the Holocene [(Seong et al., 2009)]. While earlier studies had suggested large impact on monsoon precipitation to explain this discrepancy, the recently applied mass-balance models reconciled the glacier history with simulated climate changes and attributed the Early Holocene glacier advances in this area to reduced summer temperatures [(Rupper et al., 2009)].

Centennial to multidecadal variability

Despite of improvement of glacial chronologies in several regions (see Box 5.2, Figure 1), the evidence for intra- and inter-hemispheric synchronicity of submillennial glacier fluctuations is still inconclusive [(Wanner et al., 2008; Winkler and Matthews, 2011)]. With more detailed records obtained recently one can distinguish a transition from the time of high tree line and small or absent glaciers and generally colder phase with numerous gradually increasing in magnitude glacier advances around 4.5–3.8 ka in the Northern Hemisphere. The broad similarities of glacier variations in the outer tropics (southern Peru) of and those in Europe during the early Holocene and in the “Little Ice Age” period may reflect migrations of the Atlantic Intertropical Convergence Zone and associated circulation patterns over continental South America [(Licciardi et al., 2009)]. The coherency of high frequency variations in New Zealand with NH glaciers remains controversial [(Schaefer et al., 2009; Winkler and Matthews, 2010)]. The last 2 ka glacial chronologies, which are much better constrained due to the precise tree-ring dating reveal a broad coherency between major glacier advances in the Alps [(Holzhauser et al., 2005)], Alaska [(Wiles et al., 2008)] and Southern Tibet [(Yang et al., 2008)] centered around AD 200, 400, 600, 800–900, 1100, 1300 and in 17 though 19 centuries. This multi-centennial variability was suggested to be linked with multi-centennial variations in solar activity [(Holzhauser et al., 2005; Luckman and Wilson, 2005; Matthews, 2007; Whiles et al., 2008)] and changes in North Atlantic circulation [(Nesje, 2009)], though this coherence is not proved at the global level [(Wanner et al., 2008)] and no comprehensive mechanism for such a correlation is suggested so far.

Modern glacier retreat in the retrospective of Holocene variations

Several glaciers appear to be less extensive today than they have been throughout the Holocene (Canadian Rockies — [Koch et al., 2004]) or at least in the last 6 ka (Quelccaya — [Thompson et al., 2006]; western Scandinavia — [Bakke et al., 2008]). In other regions, however, the strong evidence of higher tree line and smaller glaciers in various periods in the Holocene are found. For instance radiocarbon dates show that ice on Anvers Island, Western Antractica, was at or behind its present position at 700–970 cal yr BP and at least

1 two more times in the last 5600 yr. [(Hall et al., 2010)]. [Joerin et al. (2008)] found out that the equilibrium
2 line altitude (ELA) in the Alps was at least >220 m higher than in the end of 20th century for the periods
3 around 9200 cal yr BP, from 7450 to 6650 cal yr BP and from 6200 to 5650 cal yr BP with glacier advance
4 occurring shortly after 6630 cal yr BP and 5800 to 5650 cal yr BP. This study points out that the range of
5 Holocene ELAs in the Alps may exceed a total amplitude of 320 m [(Joerin et al., 2008)], which is higher
6 than previously thought. Since mean annual temperatures remained almost unchanged during the mid-
7 Holocene [(von Grafenstein et al., 1999)] it is suggested that these changes are related to the enhanced
8 seasonality with higher summer temperatures at that time. The interpretation of these findings in terms of
9 temperatures has to be taken with caution and the mentioned above lag between the glacier status and the
10 climatic signal should be taken into account.

11 [INSERT BOX 5.2, FIGURE 1 HERE]

12 **Box 5.2, Figure 1:** Time–distance diagrams for glaciers (blue) and tree line elevation changes (green)
13 through the Holocene in comparison with the northern timberline dynamics.

- 14 1. Northern timberline in Siberia [(Khantemirov, 2011)]
- 15 2. Large tidewater glacier systems (Glacier Bay) in southern Alaska [(Barclay et al., 2009)]
- 16 3. Baffin Island: a) Laurentide Ice Sheet; b) Northern Baffin Plateau; c) Central Cumberland Peninsula; d)
17 Northern Cumberland Peninsula [(Briner et al., 2009)]
- 18 4. Northern Scandinavia [(IPCC, 2007)] [will be updated by Nesje]
- 19 5. Southern Scandinavia [(IPCC, 2007)] [will be updated by Nesje]
- 20 6. Western Canada [(Clague et al., 2009)]
- 21 7. The Alps [(Ivy-Ochs et al., 2009)]
- 22 8. Caucasus [(Solomina et al., in preparation)]
- 23 9. Altay [(Nazarov, in preparation)]
- 24 10. Muztag Ata–Kongur Shan [(Owen, 2009)]. Dashed lines: advances recorded in one valley; solid lines: at
25 least in two valleys
- 26 11. Himalaya and Karakoram (Curve by [Roethlisberger and Geyh, 1985]; modified by [Owen, 2009])
- 27 12. Peruvian Andes (a) [Licciardi et al., 2009; b) [Glasser et al., 2009)]
- 28 13. Cordilleras of South America (generalized curve; [Koch and Clague, 2006])
- 29 14. New Zealand: Mt. Cook, Mueller, Tasman, and Hooker Glacier [(Schaefer et al., 2009)]

30 [END BOX 5.2 HERE]

31 5.5.2 Changes in Precipitation and Droughts

32 [PLACEHOLDER FOR FIRST ORDER DRAFT]

33 5.5.2.1 Monsoon Systems

34 [PLACEHOLDER FOR FIRST ORDER DRAFT: Missing for Figure 5.18, Comparison of monsoon
35 behavior in reconstructions and transient models runs.]

36 Monsoons provide essential freshwater resources and are the main determinant of agricultural production in
37 densely populated areas where the economy depends on subsistence agriculture. Given their societal
38 relevance, it is of considerable importance to document the historical changes in the Monsoon and to isolate
39 the roles of various natural and anthropogenic factors in the observed changes in Monsoon behavior (e.g.,
40 Buckley et al., 2010; Cook et al., 2010a; Fan et al., 2010).

41 Most of the present-day existing Monsoon systems have been found to respond to orbital forcing of local
42 summer insolation, and to millennial-scale oscillations such as DO and H events. Speleothem records from
43 tropical and subtropical South America indicate the South American Monsoon System (SAMS) has
44 responded to local orbital summer insolation changes at precessional timescales (Cruz et al., 2005; Cruz Jr et
45 al., 2006; Pivel and Toledo, 2010), strengthening during the LGM and the late Holocene, and weakening
46 during the mid-Holocene, in opposition to the NH Monsoons. This antiphase response has been specially
47 well established with Chinese speleothem records of the East Asian Monsoon (Wang et al., 2005b; Wang et
48 al., 2001). The response to insolation forcing has also been found in coupled GCM simulations (Braconnot et
49

1 al., 2007b; Kutzbach et al., 2008). Abrupt climate changes such H2, H1 and the YR that produce a weakened
2 AMOC, inducing a southward ITCZ shift are recorded as wet conditions in speleothem records in the
3 Northeast Brazil and in the core SAMS region (Cruz et al., 2009), again contrasting with reduced monsoons
4 depicted in the Middle East (Bar-Matthews et al., 2003; Fleitmann et al., 2007) and East Asia (Wang et al.,
5 2005b; Wang et al., 2001).

6
7 Orbitally driven variations in summer solar radiation are a dominant control of long-term late Quaternary
8 variations in rainfall in areas influenced by the global monsoonal belt. Between the hemispheres the strength
9 of the summer insolation alternates, primarily at precessional periods, which explains the interhemispheric
10 antiphasing of monsoonal rainfall variations observed in several records (Wang et al., 2006; Wang et al.,
11 2007b). Regionally, the phase relation between orbital insolation and precipitation-related proxies shows
12 complex spatial variations (Cai et al., 2010; Kutzbach et al., 2008). Alternating east-west differences in
13 monsoonal rainfall have been observed for South America (Cruz et al., 2009), Asia (Hong et al., 2005), and
14 South Africa (Chase et al., 2010; Kristen et al., 2010).

15
16 Cheng et al. (2009) and Wang et al. (2008) interpret the cave $\delta^{18}\text{O}$ in central China as the relative summer to
17 winter East Asian monsoon intensities that vary dominantly and directly in response to the changes in
18 Northern Hemisphere summer solar radiation at orbital scales. Clemens et al. (2010) however report, that
19 marine and terrestrial Indian and Asian monsoon proxies including those studied by Cheng et al. (2009) and
20 Wang et al. (2008) reflect rather the combined influence of summer monsoon forcing with a phase lag of 8
21 kyrs relative to precession minima and winter temperature forcing that is in phase with precession minima.
22 East Asian Winter Monsoon (EAWM) intensification in cold stadials and early Holocene is suggested by
23 alkenone SST records off the coast of Southern Vietnam in relation with AMOC weakening (Huang et al.,
24 2011). Planktonic foraminifera in the eastern Equatorial Atlantic show West African Monsoon (WAM)
25 precipitation dependence on high latitude climate during the last interglacial and deglaciation while Mg/Ca
26 ratios suggest SST dependence on greenhouse gases and low latitude insolation (Weldeab et al., 2007). $\delta^{18}\text{O}$
27 profiles from Arabia and China show that EASM and the Indian Summer Monsoon (ISM) follow insolation
28 during the Holocene with influences of solar activity and North Atlantic climate at centennial to
29 multidecadal time scales (Dong et al., 2010; Fleitmann et al., 2003; Fleitmann et al., 2007; Selvaraj et al.,
30 2011; Wang et al., 2005b). EASM weakens during the LGM in PMIP simulations (Jiang and Lang, 2010),
31 but discrepancies exist for the EAWM, with half of the models suggesting a strengthening. Climate models
32 have been able to simulate the patterns of reduced summer monsoon precipitation associated with the 8.2 ka
33 event (LeGrande and Schmidt, 2008; Wiersma and Renssen, 2006; Wiersma et al., 2011), though details of
34 regional differences and timing will require higher-resolution models and better chronologies (Jin et al.,
35 2007).

36
37 The control on past precipitation variability in Asia covering the past thousand years is not exclusively
38 related to temperature, but reflect complex atmospheric circulation and thermodynamic effects with great
39 regional and temporal variations (e.g., Treydte et al., 2006). Based on annually resolved oxygen isotope
40 records from tree-rings (reflects mainly winter precipitation) in the high mountains of northern Pakistan.
41 Treydte et al. (2006) found dry conditions at the beginning of the past millennium and through the eighteenth
42 and early nineteenth centuries. They found the wettest conditions in the 20th century. Stalagmites from
43 central China show periods of stronger summer monsoons during the middle of the first millennium and for
44 parts of the MCA (Tan et al., 2011; Zhang et al., 2008).

45
46 Documentary and geologic evidence suggest that extended intervals of drought associated to weak ISM in
47 the last 2 kyr were synchronous across a large region of Asia including southern Vietnam (Berkelhammer et
48 al., 2010; Buckley et al., 2010; Cook et al., 2010a; Davis et al., 2005; Fleitmann et al., 2004; Sinha et al.,
49 2007; Staubwasser and Weiss, 2006; Zhang et al., 2008). Cook et al. (2010a) report about weak monsoons in
50 tropical south and Southeast Asia in the mid-14th and early 15th centuries that are similar in timing to that of
51 severe droughts associated with the demise of the Khmer civilization at Angkor in Cambodia (Buckley et al.,
52 2010), in the late 16th century, at the end of the 17th century. During the LIA, a weaker EASM is also
53 reported (Davis et al., 2005; Tan et al., 2011; Zhang et al., 2008), albeit with certain discrepancies among
54 Tibetan dendroclimatological records (Griessinger et al., 2011) also add Cook et al. (2010a) that show rather
55 moist conditions in the 15th-19th period. Anchukaitis et al. (2010) studied the influence of volcanic radiative
56 forcing on Asian boreal summer drought reconstructions (Buckley et al., 2010; Cook et al., 2010a) since the
57 Medieval period. Superposed epoch analysis reveals significantly wetter conditions over mainland southeast

1 Asia in the year of an eruption, with drier conditions in central Asia. The proxy/model comparison suggests
2 that GCMs may not yet capture all of the important ocean-atmosphere dynamics responsible for the
3 influence of explosive volcanism on the climate of Asia (Anchukaitis et al., 2010).

4
5 Instrumental, tree ring, documentary, stalagmite and sedimentary evidence agree on exceptionally dry
6 conditions over the past decades (Chu et al., 2011; Cook et al., 2010a; Ge et al., 2008; Ge et al., 2011;
7 Griessinger et al., 2011; Tan et al., 2011; Zhang et al., 2008) though often not unprecedented in the context
8 of the past centuries. Fan et al. (2010) analysed the behavior of the Asian Monsoon in Coupled Model
9 Intercomparison Project (CMIP3) multimodel historical simulations and in observational data. The CMIP3
10 simulations capture the observed trend of weakening of the Asian Monsoon circulation over the past half
11 century, but are unable to reproduce the magnitude of the observed weakening trend. The observed recent
12 decadal weakening trend in this relationship, which has been attributed in some studies to anthropogenic
13 forcing, appears to be well within the variability of the CMIP3 multimodel ensemble.

14
15 Geomorphic, isotopic, geochemical and dust records from western Africa covering the last 3 millennia shows
16 intervals of severe droughts lasting decades to centuries characteristic of the WAM and related to natural
17 variations in Atlantic temperatures and circulation (Shanahan et al., 2009). The recent severe droughts in
18 Western Africa are not anomalous in the context of last millennia and largest episodes took place at the turn
19 of the millennium and 200–300 years ago (Mulitza et al., 2010; Shanahan et al., 2009).

20
21 **[INSERT FIGURE 5.13 HERE]**

22 **Figure 5.13:** [PLACEHOLDER FOR FIRST ORDER DRAFT: to depict changes in indian monsoon during
23 the current interglacial. This draft figure is based on different marine core parameters.] Related to monsoon
24 variability [timescale to be discussed]; possibly incl. model results.

25
26 **[INSERT FIGURE 5.14 HERE]**

27 **Figure 5.14:** [PLACEHOLDER FOR FIRST ORDER DRAFT: showing the link between changes in temp.
28 and corresponding changes in precipitation (as Figure 3 in Solomon et al., 2009).] Option 1: Changes in the
29 global distribution of precipitation per degree of warming for modelled past warm climate (most likely
30 Pliocene) vs preindustrial climate. Figure will be constructed in analogy to Solomon et al. (PNAS 2009;
31 Figure 3 therein) showing changes in % of dry season precipitation per K of local temperature change.
32 Analysis will be based on multi model ensemble. Option 2: Data-model comparison of tropical precipitation
33 changes on orbital timescales in order to assess the relative importance of precessional forcing vs. CO₂
34 changes (Background climate: Pliocene or late Quaternary). [Selection of option will be based on the
35 availability of reconstructions allowing for-data model comparison.]

36 37 5.5.2.2 *Shifts in Convergence Zones*

38
39 Convergence zones are regions of deep convection and extensive rainfall that provide a major heat source for
40 the tropical atmosphere. Changes in these convergence zones affect the strength and position of Hadley
41 circulation (Hack et al., 1989), and trigger large-scale stationary waves that emanate from the tropics, which
42 in turn influence extra-tropical climates (Okumura et al., 2009; Timmermann et al., 2010b). Spatial shifts of
43 these rain bands can result in major disruptions of the regional hydrological cycle. Three convergence zones
44 are of particular importance in terms of climate reconstructions: 1) Inter-Tropical Convergence Zone (ITCZ),
45 an equatorial rain and cloud band that encircles the globe; 2) South Pacific Convergence Zone (SPCZ),
46 which extends from the Solomon Islands southeast to Fiji, Samoa, and Tonga; and 3) South Atlantic
47 Convergence Zone (SACZ), which extends from the Amazon basin southeast into the subtropical Atlantic.

48
49 ITCZ reconstructions based on paleoclimate proxies [(Haug et al., 2001; Black et al., 2007; Sachs et al.,
50 2009)] and model results [(Braconnot et al., 2007; Timmermann et al., 2007)] implicate the precessional
51 cycle, which modulates the amplitude of seasonal insolation in both hemispheres in an opposite manner, as a
52 key driver for tropical hydrological changes on millennial to orbital timescales. Some model results also
53 demonstrate the ability of the ITCZ to shift abruptly in response to slowly varying orbital forcing
54 (Timmermann et al., 2007). For example, cooling in the North Atlantic resulting from a weakening of
55 Atlantic Meridional Overturning Circulation (AMOC) and sea-ice changes during Heinrich events, perturbs
56 interhemispheric temperature gradients leading to an intensification of the northeasterly trade winds,
57 enhanced evaporation and further cooling of the eastern tropical Atlantic (Chiang and Bitz, 2005). The

1 positive wind-evaporation-SST feedback is an important element in maintaining the tropical meridional
2 temperature anomalies. Along with the intensified trade winds in the North Atlantic and weakened trade
3 winds in the tropical South Atlantic, the moisture transport and convergence shifts south. These processes
4 are robustly simulated in CGCMs (Stouffer et al., 2006). Proxy evidence suggests that northwestern Africa
5 experiences major hydrological disruptions in response to seasonal to orbital-scale shifts of the Atlantic
6 ITCZ (Tjallingii et al., 2008; Weldeab et al., 2006; Weldeab et al., 2007). Recent reconstructions of tropical
7 Pacific hydrological variability (Leduc et al., 2009) document the linkage between Pacific ITCZ and
8 millennial-scale variability in the North Atlantic.

9
10 Regional convergence zones, such as the SACZ and SPCZ, also respond dynamically over different time
11 scales. Paleoclimate SACZ reconstructions [(e.g., Wang et al., 2007)] demonstrate orbital and millennial-
12 scale variations in the hydrologic cycle and link hydrologic changes between the Southern and Northern
13 Hemisphere. Internannual changes in SPCZ position and intensity are driven by El Niño-Southern
14 Oscillation (ENSO) variations, with ENSO warm phases (cool phases) corresponding to northeastward
15 (southwestward) movement of the SPCZ [(Folland et al., 2002)]. A multi-century paleoclimate
16 reconstruction of SPCZ position since 1650 CE displays prominent decadal variability in addition to ENSO-
17 driven interannual variability [(Linsley et al., 2008)]. Modelling evidence further suggests that changes in the
18 tropical Atlantic SST, associated with a weakening of the AMOC, can have an impact also on the position of
19 the Pacific ITCZ (Xie et al., 2008; Zhang and Delworth, 2005) and the SPCZ.

20 21 5.5.2.3 Variations in High Latitude Precipitation and Storm Tracks

22
23 The mid to high latitudes in Southern Hemisphere (SH) are under the year long influence of the Southern
24 Westerly Winds (SWW) circulation (modern core about 50°S). Because of the strong correlation between
25 SWW and precipitation over the landmasses in the SH (e.g., [Garreaud, 2007]), proxies of precipitation are
26 interpreted as past changes in intensity or latitudinal position of the SWW. Despite many research efforts, the
27 timing and direction of such changes throughout the Holocene are still under debate (e.g., [Do Hume, 2010]
28 and reference therein). [Fletcher and Moreno (2010)] report on nearly synchronous multi-millennial trends in
29 moisture patterns related to zonally symmetric changes in the strength and position of the SWW from
30 terrestrial and marine proxies, over the last 14 kyr, that are opposite to findings by (Lamy et al., 2010) from
31 marine records off Southern Patagonia. These trends coincide with the rise and decline of atmospheric CO₂,
32 supporting the hypothesis of an important role of the SWW in the global carbon cycle through wind-driven
33 upwelling of CO₂-rich waters in the Southern Ocean (Denton et al., 2010; Toggweiler, 2009; Anderson and
34 Carr, 2010).

35
36 Knowledge prior the Holocene is sparse and even less conclusive. Limited modelling studies of the SH
37 climate consist of mid-Holocene and Last Glacial Maximum time slices, and these studies produce divergent
38 results (Rojas et al., 2009; [Rojas and Moreno, 2010; Wagner et al., 2007]). Mechanism of circulation and
39 hence precipitation changes are given by tropospheric meridional temperature gradients, forced by insolation
40 changes, similar to the response to GHG concentration for the 21st century [(Groman, 2010)]. For the LGM,
41 these are also dependant on the simulated sea-ice extent [(Rojas et al., 2009)]. In all cases spatial resolution
42 plays a key role in the ability of simulating circulation changes.

43
44 Northern Hemisphere (NH) high-latitude storm-tracks follow the westerlies and are heavily influenced by
45 the Northern Annular mode variability both over the Pacific and Atlantic. Holocene precipitation
46 reconstructions exist for Northern Europe based on glacier mass balance reconstructions for winter season
47 precipitation [(e.g., Bakke et al., 2008)] and wetness indicators from lake and bog sediments (e.g., Rosqvist
48 et al., 2007; St Amour et al., 2010). Ice core data provide additional information on snowfall over the
49 Greenland Ice Sheet (Vinther et al., 2006). Since AR4 the quality and analytical framework of Holocene
50 proxy-archives have evolved rapidly. Included in the evolved analytical framework is the effect and better
51 constrained seasonal response of various proxies/archives. In addition stronger emphasis has been placed on
52 non-stationarity of the atmospheric system over time thereby changing the regional impact not only by
53 variations in strength but also in the spatial position of the atmospheric systems relative to the investigated
54 regions. Several proxy-based records document large amplitude multidecadal to century scale regional
55 anomalies in temperature, storm-tracks and precipitation within the Holocene (see review in Wanner, 2008).
56 Accompanying the reduction in summer insolation due to orbital parameters during the Holocene is an
57 enhanced wintertime wetness in Scandinavia with a marked change occurring at about 2 ka (Bakke et al.,

2008), followed by more distinct fluctuations at century time scales. It is still unclear what caused the observed variations. There is, however, an apparent link between variability in northern North Atlantic sea ice cover and atmospheric patterns during the most noticeable shifts in precipitation patterns [(Rosqvist et al., 2007; Bakke et al., 2008)].

Modelling experiments are quite inconclusive as to major changes in storm tracks during the Holocene. Of the PMIP2 experiments for 6 ka there is a slight tendency for an increased NAO-like pattern with a northward displaced storm track and wetter wintertime conditions over Northern Europe (Gladstone et al., 2005). In some transient model experiments for the past 1000 years, there are indications of multidecadal changes in the phase and strength of the NAO, indicating significant positive NAO following after major volcanic eruptions (Ottera et al., 2010). Model studies of glacial storm tracks indicate reduced storminess and a more confined southern position of the mid-latitude jet in the Northern Hemisphere during the LGM (Li and Battisti, 2008), consistent with paleoclimatic proxy data.

5.5.2.4 *Megadroughts and Floods*

Drought and floods are recurring extreme climate events. There is ample historical evidence for their past important physical, economic, social and political consequences (Buckley et al., 2010; Buntgen et al., 2011; Graham et al.; Zhang et al., 2008). Evidence from tree rings, historical documents, stalagmites, lake sediments, peatlands, etc, indicates that severe megadroughts (by modern standards droughts of unusually long duration that typically exceed those observed in the instrumental records; [Woodhouse and Overpeck, 1998; Stahle et al., 2000; Cook et al., 2010]) are a recurrent feature in many regions including North America, east and south Asia, Europe, Africa and India [(Cook, 2007; Herweijer, 2007; Zhang, 2008; Zheng, 2006; Buckley, 2010; Buckley, 2010; Cook, 2010; Helama, 2009; Russell, 2007; Buntgen, 2010; Esper, 2007; Sinha, 2007; Shanahan, 2009; Neukom, 2010; Pfister, 2006; Touchan, 2008; Touchan, 2010; Pauling, 2007; Verschuren, 2000; Christie, 2009; Berkelhammer, 2010; Nicault et al., 2008)].

The occurrence and spatial extent of past megadroughts may be clustered over time following regime changes. There is evidence for more severe droughts during the LIA in South Asia, eastern Northwest China, and Southeast Asia, west Africa and parts of Europe [(Buckley, 2010; Sinha, 2007; Shao, 2010; Zheng, 2006; Zhang, 2008; Sinha, 2007; Sinha, 2007; Helama, 2009; Buntgen, 2011; Pauling, 2007; Cook, 2010; Russell, 2007; Shanahan, 2009)] compared to the predating MCA and the last century. In contrast, drought extent in North America, northern and central Europe, and East Africa were significantly greater during 900–1300 than during the LIA and the last century [(Cook, 2007; Cook, 2010; Herweijer, 2007; Helama, 2009; Luoto, 2010; Russell, 2007; Verschuren, 2000; Stager, 2005)]. Proxy information indicate, that intervals of severe drought in western Africa lasting for periods ranging from decades to centuries are characteristic of the monsoon and are linked to natural variations in Atlantic temperatures ([Shanahan et al., 2009]; see also Sections 5.5.2.1 and 5.6.2). Proxy reconstructions and model experiments suggest that variability in the tropical Pacific might partly account for the occurrence of megadroughts in North America with related teleconnections in all continents (Seager et al., 2008). A strengthening of the zonal SST gradient in the tropical Pacific via an enhancement of La Niña state and possibly warming of the Indian Ocean during periods of the MCA may have contributed to arid conditions in North America (Graham et al.; Seager et al., 2008), contrasting with wetter conditions in Asia (Graham et al., 2007). During the MCA, positive NAO conditions (see Section 5.5.5.3) and AMO phases may have favored wetter winter conditions in NW Europe and arid in NW Africa [(Graham, 2007; Esper, 2007; Touchan, 2008; Touchan, 2010)]. El Niño phases seem to have been more prominent during the LIA than the MCA, in coincidence with monsoon weakening (see Section 5.5.2.1) and drought occurrence in Asia (Buckley et al., 2010; Cook et al., 2010a),

Geomorphological, sediment and documentary records provide evidence that floods are also a recurrent feature in many areas in the Pleistocene, Holocene, and the past millennia [(Benito, 2010; Jones, 2010; Williams, 2009; Huang, 2010; Storen, 2010; Greenbaum, 2006; Thorndycraft, 2006; Benito, 2008; Hassan, 2007; Macklin, 2006; Wetter et al., 2011)]. Paleoflood evidence from lake sediments, speleothems and other natural and documentary proxies indicate that in the Mediterranean, periods of region-wide flooding can be identified in the 2nd, 6–7th, 10th, late 15th and late 18th centuries AD, which all coincide with relatively wet and cold climatic conditions ([Luterbacher et al., 2011], and references therein).

[PLACEHOLDER FOR FIRST ORDER DRAFT: Additional studies on megafloods from other parts of the globe as well as the ability of coupled OA models to simulate the type of megadroughts including intensity, spatial and temporal extent, recurrence, and possible links with external forcings.]

During the LIA, ice cover on large rivers combined with snow melt could also have generated spring floods similar to what is observed today at higher latitudes. Floods could be attributed to increases in regional rainfall intensity and/or duration, timing of melting of glaciers [(Debret et al., 2010)], as well as human activities in the earlier 19th century [(Sierro, 2009; Benito, 2010; Greenbaum, 2006)].

Overall, multiple studies suggest that current drought and flood regimes are not unusual within the context of last 1000 years [(e.g., Cook et al., 2010; Seager et al., 2008; Graham et al., 2010)].

5.5.3 Modes of Climate Variability

The dominant of natural modes of climate variability, such as the El Niño-Southern Oscillation (ENSO), the Indian Ocean Dipole (IOD), the North Atlantic Oscillation (NAO), the Southern Annual Mode (SAM), longer term variability associated with the Atlantic Multidecadal Oscillation (AMO), and others can be considered spatially organized instabilities of the climate background state (see Chapter 14). Longer-term changes in the climate background state, induced by external forcings, may lead to changes in the statistics of these interannual to centennial-scale climate modes, and also to changes in the teleconnections of these modes to other regions.

5.5.3.1 ENSO

Changes in the statistics of ENSO have been studied in response to external forcing, using both CGCMs, as well as historical data and palaeo-proxy reconstructions, using corals, tree rings, ice-cores and sediment cores. Climate models run under LGM boundary conditions document wide ranges of ENSO behaviour and very little consistency (An et al., 2004; Bush, 2007; Otto-Bliesner et al., 2003; Toniazzo, 2006; Zheng et al., 2008) [to be updated with PMIP3/CMIP5 papers]. Existing annually resolved ENSO records for the last glacial period are virtually non-existent (Koutavas and Joanidis, 2009). The response of ENSO to a weakening of the Atlantic Meridional Overturning Circulation, such as during Heinrich event 1 (18.5–14.8 kyr BP) or the Younger Dryas (12.8–11.5 kyr BP) has been studied with CGCMs. A robust response emerges that suggests intensification of the ENSO amplitude and in some cases a reduction of the annual cycle in the Eastern Equatorial Pacific (Merkel et al., 2010; Timmermann et al., 2007). For the mid-Holocene (9–4 kyr BP), when both the obliquity of the Earth's axis was high and the Earth was closer to a boreal summer perihelion position, a number of proxy data indicate with medium to high confidence a reduction of ENSO variability (Koutavas et al., 2006; Tudhope et al., 2001) [to be updated], in qualitative accordance with PMIP3 and other CGCM modeling experiments (Brown et al., 2008a; Brown et al., 2008b; Bush, 2007; Zheng et al., 2008). Interactions with an intensified western Pacific monsoon circulation (Liu et al., 2000), an enhanced zonal equatorial SST gradient associated with an intensified Walker Circulation (Zheng et al., 2008) and reduced level of tropical atmospheric noise (Chiang et al., 2009) have been suggested to explain the reduction of ENSO variance during the mid-Holocene. Reconstructions of ENSO for the Last Millennium, while supporting the notion of a highly variable ENSO system also reveal a high degree of inconsistency among the proxies (Figure 5.15) (McGregor et al., 2010; Wilson et al., 2010). Efforts to extract the joint variability of different ENSO proxies using statistical techniques document an active ENSO phase during 1550–1650 (Wilson et al., 2010) and a reduction of eastern equatorial Pacific sea surface temperature variance in the periods 1650–1700, 1760–1780 and 1830–1870 (Figure 5.15) and a gradual increase of variance into the 20th century (McGregor et al., 2010). Volcanic forcing has been shown to increase the probability of reconstructed El Niño events to occur in the 2 years following the volcanic eruption (Adams et al., 2003; McGregor et al., 2010; Wilson et al., 2010). Simplified intermediate ENSO models are able to reproduce this behaviour (Adams et al., 2003; Emile-Geay et al., 2008), whereas CGCM experiments show a less robust response [(McGregor and Timmermann, 2011, in press)].

5.5.3.2 Indian Ocean Dipole

Coral records have been extensively analyzed (Abram et al., 2008; Abram et al., 2007; Charles et al., 2003; Nakamura et al., 2009; Pfeiffer and Dullo, 2006) to extend the understanding of the evolution of Indian

Ocean SST variability and the Indian Ocean dipole (IOD) (Saji et al., 1999) (Chapter 14), in particular, beyond the instrumental period. Reconstruction of surface-ocean cooling and drought during individual IOD events over the past 6500 years using fossil corals (Abram et al., 2007) suggests that IOD events during the mid Holocene were characterized by a longer durations of strong cooling of the ocean surface, together with droughts that peaked later than those expected by El Niño forcing alone. The physical mechanisms for the long-term modulation of the IOD have not been fully identified yet, although time-slice AOGCM experiments (Brown et al., 2009) demonstrate that the Asian summer monsoon and ENSO may exert counteracting influences on the amplitude of IOD on orbital timescales. Stronger summer IOD variability was simulated during the early Holocene, whereas stronger fall IOD variability occurred under LGM conditions. The IOD index since 1846 shows a gradual increase in the frequency and strength of IOD events during the twentieth century, associated with enhanced seasonal upwelling in the eastern Indian Ocean.

5.5.3.3 *North Atlantic Oscillation/Northern Annular Mode*

In order to better understand the long-term behavior of the North Atlantic Oscillation (NAO) and the Arctic Oscillation (AO), also known as the Northern Annual Mode, several centuries-long proxy indices have been reconstructed based on long instrumental pressure and ship logbook information, single proxy archives or multi-proxy paleoenvironmental data from Eurasia and North America (Appenzeller et al., 1998; Cook et al., 2002; Cullen et al., 2001; Darrigo et al., 1993; Glueck and Stockton, 2001; Kuttel et al., 2010; Luterbacher et al., 2002; Mann, 2002; Rodrigo et al., 2001; Timm et al., 2004; Trouet et al., 2009). Although the reconstructions differ in many aspects, Figure 5.15 indicates notable coherent decadal- to century-scale variability and the NAO and its interannual variability. Taking reconstruction uncertainties into consideration the strong positive NAO phases within the late 20th century are not unusual in context of the past half millennium. The reasons for the reconstructed multidecadal variations in NAO variance (Figure 5.15d) are hitherto unknown. However a number of unverified hypotheses have been proposed, associating this behavior to Atlantic SST-gradients, AAM, AMO and THC [Grossman1 and Klotzbach, 2009]. Orbital-scale variability of the AO/NAO was investigated in a series of modeling studies. Under LGM conditions climate models suggest with a high degree of confidence a weakening of the AO and its variability, owing to stronger planetary wave activity (Lu et al., 2010). A significant model-dependent distortion of the simulated LGM NAO pattern may result from the strong topographic ice-sheet forcing (Handorf et al., 2009; Justino and Peltier, 2005; Pausata et al., 2009). The simulated NAO during the mid-Holocene (Gladstone et al., 2005) resembles the pre-industrial NAO, except for an increased tendency for positive NAO phases, which is consistent with reconstructed SST trends during the Holocene (Rimbu et al., 2003).

5.5.3.4 *Southern Annular Mode*

Understanding of past changes in the Southern Annular Mode (SAM) has been hampered by the limited availability of instrumental station records. Recent trends to a positive SAM mode since the 1980s are most prominent in summer and stand out of reconstructed (Gong and Wang, 1999; Jones et al., 2009a; Marshall, 2003; Visbeck, 2009) and of simulated variability in the last century, with ozone depletion being the dominant contributor, whereas greenhouse gas forcing has been found to play a smaller role in simulated changes. Relatively large summer and autumn reconstructed positive phases at about 1930 and 1960 are likely related to variability from the tropics and not reproduced by historical AOGCM simulations (Fogt et al., 2009). Comparable interannual to multi-decadal variability is found in a 500 yr summer multi-proxy, albeit with no evidence of lower frequency changes (Zhang et al., 2010). Indications of longer term variability are found in a 700 yr reconstruction from Na concentration in Law Dome suggesting an early winter more positive SAM after 1600 AD (Goodwin et al., 2004), also coincident with enhanced westerly winds as interpreted in palynological data in SW Patagonia (Moreno et al., 2009).

5.5.3.5 *Multidecadal to Century Scale Variability*

Coherent, large-scale sea-surface temperature (SST) variations are observed in the North Atlantic Ocean on multidecadal timescales, most prominent is the basin-wide variation of the Atlantic multidecadal oscillation (AMO), marked by alternation of warm and cold SST anomalies in the North Atlantic with a period of about 60–80 years. Analysis of multiple paleoclimate proxy datasets (Gray et al., 2004) indicates that AMO variability extends, at least, several centuries, if not millennia back in time [(Knudsen et al., 2011)]. Several independent high-resolution time series of marine proxies in the northern North Atlantic also contain

1 multidecadal variability, reminiscent of the AMO during phases of overlap with the instrumental record
 2 (Black et al., 2007; Kilbourne et al., 2008; Webb et al., 2008; Sicre et al., 2008). Some of the proxy records,
 3 while showing a good correspondence with the instrumental data during the industrial period, diverge
 4 amongst each other prior to the industrial period [Reference needed]. It has been suggested, on the basis of
 5 climate model simulations and an 8000-year long proxy record from the northern North Atlantic [(Knudsen
 6 et al., 2011)], that AMO variations are internally driven and related to multidecadal fluctuations in the
 7 Atlantic meridional overturning circulation. However, recent model experiments with AOGCMs forced by
 8 solar and volcanic forcing for the past millennium indicate that external solar forcing may play a
 9 considerable role in driving the AMO (Ottera et al., 2010). For the 20th century, external forcing associated
 10 with greenhouse gas changes, aerosols and solar variability is likely to have played only a secondary role in
 11 shaping the observed AMO (Knight, 2009).

12 [INSERT FIGURE 5.15 HERE]

13 **Figure 5.15:** [Compilation of the different modes over time plus associated uncertainties] a) Normalized
 14 ensemble mean of 6 ENSO reconstructions, 5 going back to at least 1650 (McGregor et al., 2010) and one
 15 going back to 1706 [(Stahle, 1998)]. The dark (light) grey shading is a measure for the coherence within the
 16 ensemble of 6 ENSO reconstructions and indicates the ± 1 (2) intra-ensemble standard deviation at every
 17 point in time. Instrumental annual mean Niño 3 SST data from the HadSST dataset in blue. b) Ensemble
 18 mean of relative changes of interannual ENSO variability, as measured by the running standard deviation of
 19 the 6 ENSO reconstructions in a 20-year window and as compared to the longterm mean standard deviation.
 20 The dark (light) grey shading indicates the ± 1 (2) intra-ensemble standard deviation for the ensemble of 6
 21 ENSO running standard deviation time series. c) Normalized ensemble mean of cold season NAO
 22 reconstructions, 4 going back to at least 1501 (Cook et al., 2002 ; Glueck and Stockton, 2001; Luterbacher et
 23 al., 2002; Rodrigo et al., 2001), 2 going back to 1650 (Appenzeller et al., 1998; Trouet et al., 2009), 2 to
 24 1700 (Darrigo et al., 1993; Timm et al., 2004) and 3 to 1750 (Cullen et al., 2001; Kuttel et al., 2010; Mann,
 25 2002). The dark (light) grey shading indicates the ± 1 (2) intra-ensemble standard deviation for the ensemble
 26 of NAO reconstructions. d) Ensemble mean of relative changes of interannual NAO variability, as measured
 27 by the running standard deviation of the NAO reconstructions in b) in a 20-year window and as compared to
 28 the longterm mean standard deviation. The dark (light) grey shading indicates the ± 1 (2) intra-ensemble
 29 standard deviation for the ensemble NAO running standard deviation time series calculated for the NAO as
 30 in b). In blue is the instrumental DJFM NAO index [(Hurrell, 2009)].
 31
 32

33 5.6 Evidence and Processes of Abrupt Climate Change

34
 35 Paleoclimate archives are a rich source of information to study climate changes that happened at a rate faster
 36 than the rate of background climate variability. Such changes will be called *abrupt* on a time scale of X
 37 years, if within a time periods of X years ($X > 10$) the rate of change of a key climate variable exceeds the
 38 average rate of change for longer term averaging periods prior and after this change by a considerable
 39 amount. A variety of mechanisms have been suggested to explain the emergence of abrupt climate changes.
 40 Most of them invoke the existence of nonlinearities, or, more specifically, thresholds in the underlying
 41 dynamics of one or more Earth system components. Both internal dynamics as well as external forcing can
 42 trigger abrupt changes in the climate state and/or its variability. This section focuses on abrupt climate events
 43 that come with an element of surprise, contrasting externally (e.g., orbitally) forced abrupt climate change
 44 such as glacial terminations that are predictable to a certain degree (Crucifix and Rougier, 2009).
 45

46 5.6.1 Abrupt Changes in Cryosphere and Ocean Circulation

47 [PLACEHOLDER FOR FIRST ORDER DRAFT]

48 5.6.1.1 DO Events in Greenland and the North Atlantic

49
 50
 51 Greenland ice core records spanning the last glacial cycle depict 25 abrupt Dansgaard-Oeschger (DO) events
 52 (NorthGRIP-community-members, 2004), marked by a cold phase, an abrupt warming, and a more gradual
 53 return to cold conditions, as recorded by stable isotopic variations in water. Thermal gas fractionation
 54 methods (Severinghaus et al., 1998) suggest that the rate of regional warming in Greenland associated with
 55 these events and millennial-scale variability during the last glacial termination (Brinkhuis et al., 2006;
 56 Capron et al., 2010b) ranged from of 8°C to $16^{\circ}\text{C} \pm 2.5^{\circ}\text{C}$ within several decades and was preceded by an
 57

1 abrupt shift in dust and deuterium excess, representing major reorganizations in atmospheric circulation
2 (Steffensen et al., 2008). The effects of seasonality, changes in sea ice cover and atmospheric circulation as
3 well as changes in ice-sheet topography may have contributed to the magnitude and abruptness of DO events
4 in Greenland (Li et al., 2010). Corresponding variations in high-resolution SST reconstructions from the
5 eastern subtropical North Atlantic attain values of up to 5°C and exhibit smoother stadial-interstadial
6 transitions than the Greenland record (Martrat et al., 2007). Marine records (Martrat et al., 2007) and
7 Antarctic methane records (Loulergue et al., 2008) further reveal the existence of abrupt glacial climate
8 change events, reminiscent of DO events, extending back through several glacial cycles and as far as 1 Ma
9 [(Kleiven et al., 2011)], thus documenting the pervasive nature of millennial-scale climate instabilities during
10 glacial periods. Recently, terrestrial ecological information from western Europe with sufficient temporal
11 resolution to resolve DO-events have been presented, showing that the temperature anomaly amplitude of the
12 Dansgaard-Oeschger events as recorded on Greenland fade fast with distance such that the summer
13 temperature anomalies in the south-western Alps is only ca 0.5°C–2°C between stadials and interstadials
14 [(Ampel et al., 2010)]. These results corroborate the results from imposing North-Atlantic sea-ice to explain
15 the Greenland temperature anomalies [(Li et al., 2005)] that show a similar rapid dissipation of the
16 temperature-anomalies with distance.

17 18 5.6.1.2 *Mechanisms of DO Events and Remote Effects*

19
20 Climate model simulations show (Otto-Bliesner and Brady, 2010) that the large-scale teleconnection patterns
21 in response to an AMOC weakening closely resemble those reconstructed for DO and HE events, thereby
22 supporting the notion that both types of events are related to large-scale reorganizations of the AMOC. The
23 transition from GIS to GS is accompanied by a weakening of deep ocean ventilation in the North Atlantic
24 (Piotrowski et al., 2008). Periods of weaker overturning circulation are accompanied by a slow build-up of
25 salinity in the subtropical North Atlantic as shown in proxy reconstructions and climate models (Carlson et
26 al., 2008; Yin et al., 2006). This process, in combination with potential subsurface warming in the Nordic
27 Seas (Krebs and Timmermann, 2007; Mignot et al., 2007; Renold et al., 2010) may lead to a rapid
28 resumption of deep convection in the northern North Atlantic and a subsequent recovery of the AMOC and a
29 GIS. It still remains under debate whether DO events can be considered stochastically generated individual
30 events (Ditlevsen and Ditlevsen, 2009), internal oscillations of the glacial atmosphere-ocean sea-ice system,
31 or whether they require dynamical coupling with ice-sheets [Reference needed], or whether they are
32 astronomically forced (Braun et al., 2005).

33
34 Terrestrial and marine paleoclimate data reveal considerable impacts of DO events on climate in regions
35 outside the North Atlantic realm. Precise synchronization between Greenland and high-resolution Antarctic
36 records (Barbante et al., 2006; Capron et al., 2010a; Capron et al., 2010b; Stenni et al., 2011) has further
37 revealed a one-to-one correspondence between DO events and millennial-scale Antarctic climate change.
38 Northern Hemispheric stadial-interstadial transitions typically terminate weak warming trends in Antarctica
39 that accompany stadial phases in the Northern Hemisphere. As a result of large-scale ocean adjustment and
40 damping processes the Southern Hemispheric climate response to DO events has a different temporal
41 dynamics and can be described in terms of the “bipolar seesaw” paradigm (Broecker, 1998; Crowley, 1992;
42 Stocker and Johnsen, 2003). Evidence for DO-related climate variability was reported from the northern
43 North Pacific (Harada et al., 2008; Kiefer et al., 2001; Ono et al., 2005) and the tropical and subtropical
44 North Pacific [(Hendy, 2000; Stott, 2002)]. In particular, abrupt events such as the Bolling Allerod Warming
45 and the Younger Dryas cooling during the last glacial termination have left a detectable and widespread
46 imprint on North Pacific SSTs (Harada et al., 2008; Kiefer and Kienast, 2005; Kienast et al., 2006). Both,
47 atmospheric changes (Okumura et al., 2009; Xie et al., 2008), as well as ocean circulation changes (Saenko
48 et al., 2004; Schmittner et al., 2007) have been suggested to explain these pan-oceanic connections on
49 millennial timescales. Atmospheric circulation changes in response to North Atlantic cooling also exert a
50 strong influence on tropical hydroclimate. DO events and associated temperature variations in the North
51 Atlantic have been shown to affect the position of the ITCZ (Peterson and Haug, 2006), the strength of the
52 Asian summer monsoon (Wang et al., 2008) and the intensity of the west African and Arabian monsoon
53 (Higginson et al., 2004; Itambi et al., 2009; Ivanochko et al., 2005; Mulitza et al., 2008; Tjallingii et al.,
54 2008; Weldeab et al., 2007). A recent compilation of global vegetation data illustrates further that DO events
55 have also influenced vegetation patterns worldwide (Harrison and Goni, 2010). The effect of North Atlantic
56 temperature changes on the prevailing vegetation regimes has also been studied using climate-vegetation
57 models of different complexity (Kohler et al., 2005; Menviel et al., 2008).

1
2 **[INSERT FIGURE 5.16 HERE]**

3 **Figure 5.16:** [PLACEHOLDER FOR FIRST ORDER DRAFT: model data to be replaced by ensemble
4 mean of several models having performed the same experiment. Proxy data to be replaced by comprehensive
5 proxy reconstruction data set compiled for the FOD.] [AMOC water hosing under glacial conditions,
6 temperature, wind and precip changes; comparison with proxy data for Heinrich 1 or Younger Dryas.] a)
7 Modelled spatial temperature anomaly in the CCM3 AOGCM showing departure from the modelled Last
8 Glacial maximum state after the LGM control state model was water hosed in the North Atlantic. Left panel
9 shows situation in the 2nd decade after water hosing. Right panel shows situation in the 9th decade after
10 hosing. Symbols on right panel shows location of proxy based temperature reconstruction for the H1
11 interval. Blue colour denotes cooling, compared to the LGM, red denotes warming compared to the LGM. b)
12 Same as for a) but showing spatial precipitation anomalies. Red colours on right panel shows drier
13 reconstructed conditions compared to the LGM, blue colours show wetter conditions. c) Modelled maximum
14 overturning strength in the North Atlantic in Sverdrups ($10^6 \text{m}^3/\text{s}$).
15

16 5.6.1.3 *Glacial Meltwater Pulses*

17
18 Some DO stadials correspond to Heinrich events (HE) that represent episodes of massive iceberg discharge
19 into the North Atlantic, primarily from the North American ice sheet (Hemming, 2004). Such northern
20 hemispheric meltwater pulses have occurred during at least the past 600 ka (McManus et al., 1999; Siddall et
21 al., 2010a), but their number and periodicity varied significantly between different glacial cycles. The total
22 amount of freshwater released during HE has not yet been well-constrained and existing estimates range
23 from essentially zero to several tens of meters in global sea level equivalent (Rohling et al., 2008; Siddall et
24 al., 2006; Siddall et al., 2008; Yokoyama et al., 2003). Glacial meltwater pulses, and HE in particular, can be
25 generated by internal instabilities of the Laurentide ice sheet, as originally proposed by [McAyeal (1993)]
26 and later corroborated by more comprehensive ice-sheet models (Calov et al., 2002; Calov et al., 2010;
27 Marshall and Koutnik, 2006). Other triggering mechanisms for HE include the disintegration of shelf ice by
28 strong basal melting during periods of weak AMOC (Alvarez-Solas et al., 2010) or orbital forcing (Calov
29 and Ganopolski, 2005; Timmermann et al., 2010a). Massive inputs of freshwater to the northern North
30 Atlantic during HE (Carlson et al., 2008; Cayre et al., 1999) led to a weakening of the AMOC, and
31 subsequent cooling of the Northern Hemisphere. The resulting global climate response is qualitatively
32 similar to that of DO events (Section 5.6.1.3) and is characterized by the bipolar seesaw pattern in
33 temperature, coupling between North Atlantic and North Pacific and extensive changes in the hydrological
34 cycle, including the ITCZ and the monsoons. Reconstructed large-scale climate patterns agree with
35 simulations conducted with a hierarchy of climate models that were forced with freshwater flux perturbations
36 in the North Atlantic region mimicking the effects of melting icebergs (Dahl et al., 2005; Liu et al., 2009b;
37 Menviel et al., 2008) (Figure 5.16). Reconstructions of deep ocean ventilation during HE show that major
38 sources of deep-water formation may have shifted from the North Atlantic (Robinson and van de Flierdt,
39 2009) to the North Pacific (Okazaki et al., 2010) and the Southern Ocean (Piotrowski et al., 2008). High-
40 resolution CO_2 measurements from Antarctic ice cores reveal that HE had a discernable impact on the global
41 carbon cycle (Ahn and Brook, 2008). The strong correlation between millennial-scale warming events in
42 Antarctica and CO_2 changes during HE indicates a potential coupling between Southern Hemispheric climate
43 change and the carbon cycle on these time scales.
44

45 5.6.1.4 *8.2 ka Event and Other Non-DO Events*

46
47 The 8.2 ka event was previously documented mostly in continental records (Alley and Agustsdottir, 2005)
48 and suspected to be linked with changes in north Atlantic circulation driven by the Lake Agassiz 8.3 ka
49 outburst and associated change in runoff routing (Carlson, 2009). Evidence from the marine sediment record
50 has recently confirmed this scenario (Kleiven et al., 2008). The 9.3 ka cold event attested by Greenland ice
51 cores (Vinther et al., 2009) is now attributed to catastrophic drainage of Lake Superior at 9.3 ka (Yu et al.,
52 2010), while a Mackenzie River flood draining Lake Agassiz into the Arctic appears to coincide with the
53 Younger Dryas (Murton et al., 2010; Hillaire-Marcel et al., 2007; Hillaire-Marcel et al., 2008).
54

55 5.6.2 *Abrupt Changes in Precipitation and Droughts*

1 Orbitally driven variations in summer solar radiation are a dominant control of long-term late Quaternary
2 variations in rainfall in areas influenced by the global monsoonal belt. The strength of the summer insolation
3 alternates between the hemispheres, primarily at precessional periods, which explains the interhemispheric
4 antiphasing of monsoonal rainfall variations observed in several records (Thompson et al., 2005; Wang et al.,
5 2006; Wang et al., 2007b). Regionally, the phase relation between orbital insolation and precipitation-related
6 proxies shows complex spatial variations (Cai et al., 2010; Kutzbach et al., 2008). Alternating east-west
7 differences in monsoonal rainfall have been observed for South America (Cruz et al., 2009), Asia (Hong et
8 al., 2005), and South Africa (Chase et al., 2010; Kristen et al., 2010).

9
10 North Africa experienced a pronounced and abrupt increase in precipitation and vegetation during the
11 African Humid Period (AHP), synchronous with the onset of the Bølling-Allerød warm interval
12 (Niedermeyer et al., 2010; Tierney et al., 2008). Although tropical tree species emerged in the Sahara as
13 gallery forests along rivers and lakes, the vegetation was still dominated by C4 grasses (Collins et al., 2011;
14 Watrin et al., 2009). The combined effects of orbital forcing and vegetation-albedo-precipitation feedback
15 alone are not sufficient to explain the abrupt onset of the AHP, which is most likely the result of the
16 combined effects of changes in summer insolation, ocean circulation atmospheric CO₂ concentrations and ice
17 sheets (Timm et al., 2010).

18
19 The abruptness of the decline of the AHP after about 5500 BP is still controversial both with respect to
20 observations (Kropelin et al., 2008) and the underlying mechanism (Liu et al., 2007). Changes in terrestrial
21 ecosystems appear to be asynchronous and gradual across North Africa (Kropelin et al., 2008; Lezine, 2009).
22 Observed abrupt changes in vegetation cover and assemblage can be explained by threshold behavior of
23 certain plant types (Lezine, 2009), but do not necessarily imply abrupt changes in precipitation and the
24 presence of a strong vegetation-albedo-precipitation feedback (Liu et al., 2007).

25
26 Extended periods of below average precipitation (megadroughts) have been observed during interglacials in
27 North America (Fawcett et al., 2011), South America (Cordeiro et al., 2011; Haug et al., 2001; Haug et al.,
28 2003), Africa (Moernaut et al., 2010; Shanahan et al., 2009) and Europe (Drysdale et al., 2006; Helama et
29 al., 2009a). Depending on the local hydrological conditions, droughts can last from years to several
30 millennia. The length of past megadroughts exceeds those observed in the instrumental period and can be
31 regarded as a natural part of interglacial climate variability. Several distinct dry periods produced at least a
32 hemispheric-wide signature. Among those are widespread Holocene droughts associated with the 8.2 ka and
33 the 4.2 ka climate events (Booth et al., 2005; Cheng et al., 2010; Drysdale et al., 2006; Gasse, 2000) as well
34 as droughts associated with the Medieval Climate Anomaly (Cook et al., 2010b; Helama et al., 2009a).

35
36 Human populations have been affected by past variations in precipitation. The late Holocene desiccation of
37 the eastern Sahara resulted in a gradual southward shift of the main occupation sites (Kuper and Kropelin,
38 2006). Settlements located in the Nigerian Sahel at Gobero were abandoned during the abrupt 8.2 ka drought
39 (Serenio et al., 2008). The 4.2 ka drought has been associated with the collapses of Akkadien and Indus
40 Civilization (Drysdale et al., 2006). Precipitation-related proxy records from Europe, the Middle East as well
41 as tropical North Africa indicate a drying trend in the first centuries of the first millennium AD (Buntgen et
42 al., 2011; Mulitza et al., 2010; Orland et al., 2009; Shanahan et al., 2009). This aridification trend may have
43 contributed to the decline of the rule of Roman and Byzantine Empires (Buntgen et al., 2011; Orland et al.,
44 2009). Severe multi-year droughts, documented for the 9th and 10th centuries in South America (Haug et al.,
45 2003) and China (Yancheva et al., 2007) and for the middle-12th and late-13th centuries in North America
46 (Benson et al., 2007), affected native human populations. The causes of past megadroughts remain largely
47 unknown, but have been frequently linked to the distribution of sea surface temperature (Cook et al., 2010b;
48 Sachs et al., 2009; Shanahan et al., 2009).

49
50 **[INSERT FIGURE 5.17 HERE]**

51 **Figure 5.17:** [PLACEHOLDER FOR FIRST ORDER DRAFT: Figure showing Holocene droughts; to be
52 expanded using different reconstructions and perhaps simulations. Compilation of data for mid-late
53 Holocene droughts (Rengaswamy).]

54
55 **[INSERT FIGURE 5.18 HERE]**

56 **Figure 5.18:** Comparisons of monsoon behavior in reconstructions and model simulations. Reconstructed
57 and simulated LGM and deglaciation (21 to 11 kyr BP) changes with reference to preindustrial times. For all

1 simulations, the green solid lines (shading) represent the ensemble model average (spread). The present
2 model representation is a simplification of the LOVECLIM model simulations in Menviel et al. (2011)
3 involving freshwater forcing transient experiments in the northern North Atlantic and Southern Ocean and
4 using time varying radiative forcing due to solar insolation and greenhouse gases concentration changes, and
5 including the effects of waning glacial ice-sheets on topography and albedo. [LGM simulations and
6 reconstructions and representation of orbital forcings will be considered for FOD. Further available
7 simulations since 18 BP will be also considered.] a) Map showing locations of the paleo-proxy records used
8 to compare model results (see legend for proxy type). b) Simulated changes in maximum of the meridional
9 stream function (Sv) in North Atlantic (top) vs. $^{231}\text{Pa}/^{280}\text{th}$ data from cores OCE326-GGC5 (1) (McManus et
10 al., 2004) and SU81-18 (2) (Gherardi et al., 2005) and from ventilation age data from cores RAPID 10-1p,
11 154P and 17-5P (3) (Thornalley et al., 2011). Simulated maximum overturning strength in North Pacific
12 (middle) and ventilation ages (years) recorded in marine sediment cores from Western North Pacific (4). The
13 ventilation age curve is the smoothed spline interpolation of averaged benthic-planktic foraminifera ages and
14 projection ages in the Western North Pacific (Okazaki et al., 2010). [Representation of precession changes
15 will be considered here (bottom).] Simulated transient precipitation anomalies various monsoon regions in
16 the NH (c) and SH (d) in comparison to regional paleoproxy evidence. NH (c): JJA precipitation anomalies
17 vs. Cariaco basin black reflectance in marine sediment core ODP1002 (6) (Peterson et al., 2000) (lower
18 reflectance has been associated with increased marine productivity due to greater riverine input); jja
19 precipitation anomalies over western Equatorial Africa vs. sea surface salinity reconstruction from the Gulf
20 of Guinea MD03-2707 core (7) (Weldeab et al., 2007); JJA/DJF precipitation anomalies vs. $\delta^{18}\text{O}$ (permil,
21 black) in stalagmites of the Hulo cave, China (8) (Wang et al., 2001); JJA precipitation anomalies vs. $\delta^{18}\text{O}$
22 (permil, black) in marine sediment core 905 from the Arabian Sea (9) (Ivanochko et al., 2005). SH (d):
23 Annual precipitation in Bolivia vs. percentage of fresh diatoms (black) in Lake Titicaca and natural gamma
24 ray profile (grey) from Salar de Uyuni (10) (Baker et al., 2001a; Baker et al., 2001b) (both time axes for
25 proxy data shifted forward 400 yrs.); DJF precipitation anomalies over Peru vs. $\delta^{18}\text{O}$ (permil, black) from
26 Huascaran ice core (11) (Thompson et al., 1995); JJA/DJF precipitation anomalies over Brazil vs. $\delta^{18}\text{O}$
27 (permil, black) in stalagmites of Botuverá cave (12) (Wang et al., 2007b); JJA/DJF precipitation anomalies
28 over South Africa and $\delta^{18}\text{O}$ (permil, black) from Makapansgat Valley stalagmites (13) (Holmgren et al.,
29 2003). H1 stands for Heinrich event 1, BWP for Bolling Warm Period, OD for Older Dryas and YD for
30 Younger Dryas. [CONCEPT: The freshwater forcing experiments in H1 and the YD lead to a collapse of the
31 AMOC and enhanced NH cooling relative to LGM and a southward shift of the ITCZ. Dry conditions
32 (weaker monsoon) result in simulated precipitation in Cariaco basin, the Gulf of Guinea (enhanced salinity),
33 reduced JJA/DJF ratio over China and reduced JJA precipitation in the Arabian Sea. In the SH the AMOC
34 shut down leads to a strengthening of the AABW cell strengthens and the poleward heat transport is
35 intensified at 30° S contributing to a warming of the SH, also favoured by greenhouse gases, spring
36 insolation, ice retreat around Antarctica and the bipolar seasaw. The cooling of the North Atlantic and the
37 warming in the SH lead to a southward shift of the ITCA and generally wetter conditions in the Southern
38 Hemisphere as supported by the proxy records in Bolivia, Peru, South Africa and Brazil. Discussion about
39 LGM to be included.]

40 41 **5.6.3 Abrupt Changes of Variability and Occurrence of Extremes**

42
43 Abrupt changes of climate variability may occur if components of the climate system slowly approach
44 certain types of bifurcation points. In this case transient behaviour is subject to less damping which may
45 result in a temporary enhancement of shorter-term variability and the decorrelation time of fluctuations. This
46 so-called critical slowing-down effect has been invoked to explain features of glacial terminations, and the
47 African Humid Period (Dakos et al., 2008). However, identifying potential nonstationarity in the second
48 moments of past climate records requires long accurately dated proxy timeseries that are not affected by site-
49 specific effects such as time-varying sedimentation rates. Another mechanism that can explain rapid regional
50 changes in variability as well as in the occurrence of extreme events involves the horizontal displacements of
51 climatological fronts. For instance, the abrupt emergence of droughts and megadroughts in the Sahel region,
52 in parts of the US and Asia and the resulting drop in rainfall variance can often be attributed to tropical sea
53 surface temperature forcing and resulting shifts in atmospheric circulation that normally supply moisture to
54 these regions (Giannini et al., 2003).

56 **5.7 Paleoclimate Perspective on Irreversibility in the Climate System**

1 Theoretically, the notion of irreversibility implies that after a perturbation the climate system will never
2 return to its initial state. This requires the existence of multiple equilibrium states in the system. In practice,
3 considering the timescales of perturbations and climate components, irreversibility of climate change can be
4 defined such that the recovery timescale to reach the initial state (through natural processes) is significantly
5 longer than the duration of causal perturbation.

6 7 **5.7.1 Cryosphere**

8
9 Modeling studies suggest the existence of multiple equilibrium states for different ice sheets with respect to
10 temperature, CO₂ concentration and orbital forcing phase spaces (Calov and Ganopolski, 2005; DeConto and
11 Pollard, 2003; Ridley et al., 2010). This implies a possibility of irreversible changes in the climate-
12 cryosphere system in the past and future. For example, if rising CO₂ concentration will cause a complete
13 melting of the Greenland ice sheet or disintegration of the West Antarctic ice sheet within
14 centuries/millennia, they may not re-grow even if the external conditions (such as CO₂ concentration) will
15 eventually return to the preindustrial level within tens of thousands of years (Archer et al., 2009).

16
17 Abrupt increase in global ice volume (mostly in the Antarctic ice sheet) at the Eocene/Oligocene boundary
18 about 33 million years ago, likely caused by gradual atmospheric CO₂ concentration decline on geological
19 time scale (Pagani et al., 2005), is consistent with the existence of hysteresis behaviour in the East Antarctic
20 Ice sheet simulated by ice sheet models under high CO₂ concentrations (DeConto and Pollard, 2003). More
21 recently, high sea level stand (see Section 5.4) and warmer Antarctic temperature during the LIG (130–120
22 ka BP) (see Section 5.3), may involve considerable reduction of the West Antarctic ice sheet (Holden et al.,
23 2010), but there is so far no direct observational evidence for WAIS collapse (Naish et al., 2009). The
24 existence of multiple equilibrium states of the WAIS with thresholds close to the present day climate cannot
25 be rule out.

26
27 Observational evidence suggest that the Greenland ice sheet was much smaller than today during the late
28 Pliocene when atmospheric CO₂ concentration and global temperature were only moderately higher than
29 present ones (Alley et al., 2010). The Greenland ice sheet was also likely considerably reduced during long
30 interglacials (MIS11) and the LIG marked by strong Arctic warming cause by its orbital configuration (de
31 Vernal and Hillaire-Marcel, 2008). This would support modelling results which indicate that temperature or
32 CO₂ thresholds for melting and re-growth of the Greenland ice sheet may lay in the close proximity of the
33 present climate state (Gregory and Huybrechts, 2006; Lunt et al., 2008) and that the Greenland ice sheet may
34 have multiple equilibrium states under present day climate state (Ridley et al., 2010). This would imply that
35 the anthropogenic global warming can lead to irreversible melting of the Greenland ice sheet (Charbit et al.,
36 2008) which may not re-grow till the onset of a new ice age (Berger and Loutre, 2002).

37 38 **[INSERT FIGURE 5.19 HERE]**

39 **Figure 5.19:** [PLACEHOLDER FOR FIRST ORDER DRAFT: transient and steady state simulations of the
40 response of ice sheets as a function of CO₂ concentration and orbital forcing.] Reconstruction of sea level
41 change for the last 125 ka (red line: [Waelbroeck et al., 2003]) compared with a transient ice sheet model
42 simulation (blue line). The dots on the transient lines are displayed with a 2 ky step, showing an anti-
43 clockwise trajectory. Black triangles display the steady state response of the same model for the last glacial
44 cycle as a function of CO₂ concentration (with fixed present day orbital configuration) and/or orbital forcing
45 (with fixed pre-industrial CO₂), whose effect are converted to summer temperature change relative to present
46 day. The steady states are obtained after 100,000 years for an initial condition, which results in multiple
47 steady states for a certain range. [Only one model is displayed (Abe-Ouchi et al 2007) but a revised version
48 of this figure would incorporate multi-model comparisons.]

49 50 **5.7.2 Ocean Circulation**

51
52 The abrupt climate change event at 8.2 ka is an example with which to study the recovery time of the AMOC
53 to freshwater perturbation under near-modern boundary conditions. The Younger Dryas (YD) event (12.8
54 and 11.5 ka BP) is a glacial example of an abrupt climate change event. The pattern of reconstructed and
55 modelled surface climate anomalies is consistent with a reduction in the strength of the AMOC (see AR4,
56 Section 6.5.2.1 and references therein). Although only indirect evidence for changes in AMOC strength can
57 be inferred from proxy records (Figure 5.20), available proxy records from the North Atlantic support the

1 hypothesis that freshwater input into the North Atlantic reduced the amount of deep and central water-mass
2 formation (Bamberg et al., 2010; Ellison et al., 2006; Kleiven et al., 2008; McManus et al., 2004). The
3 additional freshwater that entered the North Atlantic during the 8.2-ka event is estimated to range between
4 0.8 and $5 \times 10^{14} \text{ m}^3$ (Barber et al., 1999; Clarke et al., 2004; von Grafenstein et al., 1998), with the more
5 recent estimates converging towards the $< 2 \times 10^{14} \text{ m}^3$. The duration of the meltwater pulse can only be
6 inferred based on paleohydraulic principles and may have been as short as 0.5 years (Clarke et al., 2004).
7 Based on the palaeoceanographic reconstructions, a freshwater perturbation of this size is insufficient to
8 trigger a complete collapse of the AMOC (Ellison et al., 2006; Kleiven et al., 2008). Furthermore, the
9 reconstructions consistently show that the shallow and deep overturning circulation of the North Atlantic
10 recovered completely after the cessation of the meltwater perturbation. The recovery timescale was on the
11 order of 200 years (Bamberg et al., 2010; Ellison et al., 2006). Although one record points to a recovery on a
12 decadal timescale (Kleiven et al., 2008) it is possible, that this record is affected by a threshold effect due to
13 the vertical displacement of water masses. The recovery during the YD appears to be step-wise and not as
14 smooth as indicated in the models. Both, recovery timescale and sensitivity of the AMOC to the freshwater
15 perturbation are consistent with model experiments for the 8200-year event using coarse resolution models
16 (LeGrande and Schmidt, 2008; Li et al., 2009). The recovery timescale of models with a forced freshwater
17 perturbation in the YD is also a few 100 years before the recovery starts, but it takes another several hundred
18 years for a full recovery in the model (Figure 5.20) [PLACEHOLDER FOR FIRST ORDER DRAFT:
19 Currently only based on one model result – more complete assessment to be included in the FOD.] Model
20 experiments with an eddy-permitting model have demonstrated the robustness of these findings (Spence et
21 al., 2008).

22
23 Experiments with comprehensive climate models provide evidence that the sensitivity of the AMOC to
24 freshwater perturbation is larger for glacial boundary conditions than for interglacial conditions
25 (Swingedouw et al., 2009) [PLACEHOLDER FOR FIRST ORDER DRAFT: Multi models discussion
26 planned to be included here] and that the recovery time scale of the AMOC is larger for LGM conditions
27 than for the Holocene (Bitz et al., 2007). An assessment of these model results using proxy records is
28 currently not possible.

30 [INSERT FIGURE 5.20 HERE]

31 **Figure 5.20:** [PLACEHOLDER FOR FIRST ORDER DRAFT: to be replaced by newer data] [Phase lag
32 between surface salinity anomaly and ocean circulation from high resolution marine sediment data.]
33 Modelled and reconstructed North Atlantic responses during and after major reductions in the AMOC. a)
34 Blue line: modelled maximum overturning strength in the North Atlantic in Sverdrups ($10^6 \text{ m}^3/\text{s}$) (Otto-
35 Bliesner and Brady, 2010). Red line: Pa/Th record from North Atlantic deep sea sediments through the
36 Younger Dryas event (McManus et al., 2004). b) Near surface temperature and salinity reconstructions from
37 the NE Atlantic [(Dokken et al., in review; Bakke, 2009)]. c) Modelled and reconstructed Greenland
38 temperature during and after major reductions in the AMOC. Blue curve Modelled T at the Summit of
39 Greenland from the same experiment as in a). Green line: Temperature estimated from the NorthGrip O-
40 isotope record.

42 5.7.3 *Vegetation*

43
44 Reconstructions of past changes in vegetation can inform about the resilience of plant communities to
45 climate changes, the rates of migration of vegetation zones and the timescale of recovery of vegetation after
46 early-human perturbations.

47
48 Tropical rainforest in South America and Africa remained forested throughout the glacial-interglacial cycles
49 of the late Quaternary although glacial plant communities were different from their modern counterparts
50 (Anhuf et al., 2006; Bonnefille, 2010) However, tropical rainforests were affected by the millennial-scale
51 climate perturbations of the last glacial period. While Heinrich stadials are clearly registered in pollen
52 sequences from tropical South America and Africa, Dansgaard-Oeschger events are more muted in South
53 America and absent in Africa (Hessler et al., 2010). Whether this indicates a larger resilience of the African
54 rainforest compared to the Amazon rainforest cannot be stated with certainty due to the lack of a sufficient
55 number of high-resolution records from tropical Africa (Hessler et al., 2010).

1 Moreover, latitudinal extent of the African tropical rainforest varied on glacial-interglacial timescales.
2 Reconstructions shown a contraction of the tropical rainforest and the associated rainbelt by 5–10° latitude in
3 the Southern Hemisphere and <5° in the Northern Hemisphere (Collins et al., 2011). These findings
4 contradict the results of climate modelling experiments, which suggest a southward migration of the rainbelt
5 (Braconnot et al., 2007a). Based on the latitudinal shifts of plant type during the last deglaciation, migration
6 rates of vegetation zones can be estimated. These range from 15 to 70 km per century in the Sahel and
7 Sahara; these rates are of comparable magnitude as those inferred for postglacial tree expansion in northern
8 America and Europe (Watrin et al., 2009, and references therein).

9
10 The recovery timescale of tropical ecosystems to natural and human-induced perturbations has been studied
11 in the Caribbean, where hurricanes have a great potential to damage mangroves. High-resolution pollen data
12 indicate that the time required for full recovery of mangroves after a hurricane ranges from 50–70 years
13 (Gonzalez et al., 2010) to up to 500 years (Urquhart, 2009). The order of magnitude difference in the
14 recovery timescale may reflect differences in the level of damage that cannot be reconstructed. Based on the
15 limited evidence it is likely that a recovery of mangroves after a hurricane will take at least several decades.
16 A similar timescale of vegetation recovery has also been reconstructed for the tropical lowlands in Central
17 America. Here, forest ecosystems recovered within 80–260 years after the demise of the Classic Maya period
18 (Mueller et al., 2010).

19
20
21 [START BOX 5.3 HERE]

22 23 **Box 5.3: Earth System Feedbacks and Their Role in Paleoclimate Change**

24
25 The response of the Earth system to perturbations is determined by its internal feedbacks. Depending on
26 whether the climate system components involved in the response tend to amplify or dampen an initial
27 response, positive and negative Earth-system feedbacks are distinguished. The time-evolution of the
28 response is governed by the magnitude and time-scale of the feedback processes; the two being related with
29 each other [(Roe, 2009)]. The combination of feedback processes determines whether a stationary
30 equilibrium response exists, or whether an oscillatory mode of the system is excited. Variability in the Earth
31 system emerges as the response to either external forcings (such as e.g., changes in incoming solar radiation
32 or tectonically driven changes in the atmospheric composition with potential effects for both longwave and
33 shortwave radiation) or through internal feedbacks. Different components of the Earth system have different
34 response time scales (Box 5.3, Figure 1), which implies that the response to a perturbation will be
35 determined by a combination of adjustment processes that act on different timescales. Thus, the equilibrium
36 Earth-system sensitivity to radiative perturbations, which includes the response of the slow components such
37 as ice-sheets and the carbon cycle, may differ significantly from the shorter-term atmosphere-ocean-sea-ice
38 response, often considered in climate sensitivity studies.

39
40 Earth system models of intermediate complexity have been successfully employed to estimate the magnitude
41 of slow Earth-system feedbacks [references to be included]. Deriving the efficiency of slow feedbacks
42 directly from paleo-climate data is an alternative approach. It has been found e.g., using paleo-climate
43 reconstructions for the Pliocene period (5.3–2.6 Ma) [(Lunt, 2010)] that the long-term Earth system
44 sensitivity is 30–50% larger than the sensitivity of the fast components alone. How such paleo-estimates
45 translate into values for Earth's future sensitivity to anthropogenic CO₂ emissions still needs to be further
46 explored. In particular, the Earth system sensitivity for cold climates (involving ice-sheet dynamics) is likely
47 to be different from that for warm climates (with ice-sheets playing only a minor role in the latter). This
48 state-dependence results from the nonlinearity of Earth-system feedbacks.

49
50 The combined effects of feedbacks operating on different timescales are illustrated here for the last glacial
51 termination [Definition to be included]. Deglacial climate change in both Hemispheres started as early as 19–
52 20 kyr BP (about 1000–2000 years before atmospheric CO₂ concentrations began to rise), with increasing
53 northern hemispheric extratropical summer insolation, increasing southern hemispheric extratropical spring
54 insolation [(Stott, 2007)], and increasing high latitude annual mean insolation in both hemispheres, due to the
55 obliquity cycle. The northern hemispheric ice-sheets responded slowly to the rise in summer insolation with
56 ablation and a negative mass balance, whereas the sea-ice in the Southern Ocean responded quickly to
57 changes in spring and annual mean forcing [(Timmermann, 2009)]. The meltdown of the Laurentide and

1 Eurasian ice-sheets was further accelerated by positive feedbacks such as the ice-sheet albedo feedback and
2 the ice-elevation feedback. This process was accompanied by the delivery of freshwater to the North Atlantic
3 and Arctic oceans, such as during Heinrich event 1 and the Younger Dryas [(Tarasov, 2005; Murton, 2010;
4 Spielhagen, 2005)] respectively. Reconstructions using ocean-mixing proxies indicate that the reduction in
5 surface ocean density triggered strong decrease in the strength of the Atlantic Meridional Overturning
6 Circulation [(Piotrowski, 2004)]. The weakening of this circulation and the associated northward heat
7 transport provided a negative feedback to the glacial termination in the Northern Hemisphere by reducing
8 poleward oceanic heat transport. However, due to the bipolar seesaw response (Section 5.6), the Southern
9 Hemisphere experienced an accelerated warming [(Stenni, 2011)] with potential repercussions for the
10 Antarctic ice-sheet, the atmospheric circulation and the carbon cycle [(Denton, 2010)]. With increasing
11 annual mean and spring insolation sea-ice reduced even further and the positive sea-ice albedo feedback
12 generated a substantial Southern Ocean warming. It has been hypothesized that related changes in winds and
13 ocean circulation [(Anderson, 2010)] and sea-ice [Stephens, 2000] released CO₂ from the deep ocean to the
14 atmosphere. Potential changes in ocean alkalinity may have exacerbated this effect [(Sigman, 2010)].
15 Subsequently, the deglacial atmospheric CO₂ rise enhanced the natural greenhouse effect, thereby
16 contributing to the overall global warming during the termination and further melting of the ice-sheets in
17 both hemispheres (see Section 5.X for a detailed discussion of the proposed mechanisms of glacial-
18 interglacial CO₂ variability). Faster atmospheric feedbacks have further contributed to the deglacial
19 temperature evolution and the accompanying decrease of land-ice during this period. This example
20 documents the complexities involved in the Last Glacial Termination and the role of slow and fast
21 feedbacks.

22
23 Major uncertainties still remain in the detailed understanding of past and future carbon-cycle climate
24 feedbacks, dust-climate feedbacks [(Claquin, 2003; Ridgwell, 2002; Mahowald, 2006)], cloud feedbacks
25 [(Yoshimori, 2009; Ramstein, 1998; Abbot, 2000; Claussen, 2009)] and water vapour feedback [Reference
26 needed] (see Box 5.3, Figure 1). Quantifying the magnitudes of these feedbacks relative to each other and for
27 different past climate periods requires concerted modelling efforts, using a range of Earth system models in
28 combination with the the analysis of quantitative reconstructions of past climate variations. Since,
29 uncertainties in the estimates of these feedbacks translate into the uncertainty of climate/Earth system
30 sensitivity [(Roe, 2007; Hansen, 1985)], it is essential to further explore and quantify the long-term
31 behaviour of the Earth system.

32 33 **[INSERT BOX 5.3, FIGURE 1 HERE]**

34 **Box 5.3, Figure 1:** [PLACEHOLDER FOR FIRST ORDER DRAFT: figure details to be refined after
35 further discussions, determination of level of Scientific Understanding needs to be discussed.] Schematic
36 diagram of Earth-system feedbacks relevant for generating past climate changes, their time scale and the
37 present level of scientific understanding. Red (blue) bars indicate positive (negative) feedbacks. Red to blue
38 shading represents feedbacks whose sign is either uncertain or whose detailed sub-processes can either
39 amplify or damp the response to perturbations.

40
41 [END BOX 5.3 HERE]

42
43
44 [START FAQ 5.1 HERE]

45 46 **FAQ 5.1 How Unusual is the Current Sea Level Rate of Change?**

47
48 To answer this question we need to define what is meant by sea level change. All past measurements of sea
49 level have been of the position of the mean sea surface relative to the land surface. By ‘mean’ we mean a
50 long-term average, nominally a year or longer, and long enough for the sea level markers to form and be
51 preserved. The measurement is therefore a relative one and we refer to this as relative sea level. Changes in
52 relative sea level at any location could therefore mean changes in the land surface or changes in the water
53 surface with respect to the center of mass of the Earth. Modern measurements of sea level have been made
54 with satellite-borne radar altimeters and the measurement is of the distance of the sea surface from the
55 Earth’s center of mass. The record length for the latter observations is only about a decade whereas records
56 for the relative measurements contained within the geological record go back thousands of years and provide
57 the principal source of information on sea level change. We focus here, therefore, on the latter.

Reasons for relative sea level change are manifold but they fall into three categories; changes in the volume of water contained within the ocean basins; changes in the shape and holding capacity of the ocean basins, i.e., land movements of the basin periphery and basin floor; and a redistribution of water within the basins. Changes in ocean volume, on recent geological time scales, are primarily the result of changes in water (primarily as ice) stored on land and of changes in the density of water (primarily because of ocean temperature changes). Changes in land movements are primarily caused by tectonic upheavals and by the deformation of the planet when stressed by changes in surface loads. On the time scale of glacial cycles the dominant change in surface loads is caused by the cyclic growth and decay of the ice sheets and the concomitant changes in the water distributions within the ocean basins. Thus the changes in land movements and the changes in ocean volumes are closely linked. Changes in the distribution of water within the ocean basins are caused by changes in the basin shape due to land movements and due to changes in the forces acting on the water. These latter include changes in the gravity field that occur when ice sheets are transformed to ocean water, long-period changes in ocean circulation or shifting surface wind patterns (FAQ 5.1, Table 1).

FAQ 5.1, Table 1: Representative time and length scales of some processes contributing to sea level change.

Time scale	Length scale	Process
<i>Climate</i>		
Long term: $10^6 - 10^3$ years	Global	Growth and decay of ice sheets
Intermediate: $10^3 - 10^2$ years	Regional	Global change in temperature
Short term: $10^2 - 10$ years	Local	Decadal-scale climate change, wind circulation.
<i>Tectonics</i>		
Long term: $10^6 - 10^9$ years	Global	Plate tectonics and evolution of ocean basins; mid-ocean ridge formation
Intermediate: $10^6 - 10^3(?)$ years	Regional	Volcanic and sediment loading changes in stress state of lithosphere
Short term: years - seconds	Local	Rapid surface response to long term tectonic forcing

The different processes operate on different length scales with consequence that relative sea level change will be spatially variable as well as vary through time. Sometimes sea level change is defined as eustatic sea level which is the globally averaged change in sea level and will be a function of time only. Thus all information on the spatial variability is lost and regional or local sea level change at any time can be significantly different or off opposite sign to the eustatic change. Emphasis of recent sea level research is therefore on this spatial variability as well as on the globally averaged time function.

For the past few thousand years the phase of major melting of the last ice sheets has been largely completed. But relative sea levels continue to respond to the changes in ice sheets from the last deglaciation because of the Earth's viscosity. This process is referred to as glacial isostasy. Under the influence of this process, areas that were formerly glaciated, sea levels today are falling at rates of up to 1 m per century (in the Gulf of Bothnia, for example) as the crust, previously depressed under the large ice sheets, gradually rebounds (FAQ 5.1, Figure 1). In areas at some distance from the former ice margins the sea levels relative to the land may rise at rates of 10–30 cm per century [value for Amsterdam and for an east coast US site to be confirmed]. This is due to this isostatic effect where the crust around the large ice sheets subsides slowly in response to flow in the underlying mantle towards the centers of the rebounding areas beneath the former ice sheets. At continental margins far from former ice sheets the sea levels are again expected to fall at rates of up to 40 mm per century [to be confirmed] as the ocean sea floor subsides under the weight of the meltwater added earlier into the ocean basins, dragging the coastline partly down with it.

Superimposed upon the resulting global pattern of recent sea level change will be other geological processes. These are usually more local in scale and include coastal crustal subsidence under the weight of sediments loading the offshore area or subsidence caused by compaction of sediments. Often the latter effects are magnified through human actions such as extraction of groundwater or hydrocarbons from the coastal zone [Bangkok example to be included]. The geological effects also include episodic tectonic events associated

1 with Earthquake activity that can have cumulative uplift or subsidence effects [Huon Peninsula–Huon Gulf
2 examples of large scale uplift and subsidence to be included].
3

4 On the scale of the glacial cycles, climate signals other than the waxing and waning of the ice sheets, make
5 only a minor contribution to sea level change, but once the ice sheets have stabilized these signals become
6 relatively more important. Of these changes in thermal expansion of the ocean water column becomes the
7 most important along with changes in mountain glaciers.
8

9 [PLACEHOLDER FOR FIRST ORDER DRAFT: Discuss the thermal expansion evidence as well as
10 mountain glaciers.]
11

12 [PLACEHOLDER FOR FIRST ORDER DRAFT: Provide a summing up: From glacial rebound analyses the
13 isostatic contributions can be subtracted from observations. If obvious areas of tectonics and subsidence are
14 also avoided, isolation of the climate signal can begin.]
15

16 **[INSERT FAQ 5.1, FIGURE 1 HERE]**

17 **FAQ 5.1, Figure 1:** [PLACEHOLDER FOR FIRST ORDER DRAFT: The actual figure will be a variant of
18 this, using results only for the past 3000-4000 years.]
19

20 **[INSERT FAQ 5.1, FIGURE 2 HERE]**

21 **FAQ 5.1, Figure 2:** [PLACEHOLDER FOR FIRST ORDER DRAFT: The final figure will be an update on
22 this introducing salt marsh data etc.] Globally averaged sea level rise for the past 6000 years.
23

24 [END FAQ 5.1 HERE]
25

26
27 [START FAQ 5.2 HERE]
28

29 **FAQ 5.2: Is the Sun a Major Driver of Climate Fluctuations/Changes?**

30
31 The Sun is the main driver of the climate system. In equatorial regions where at noon the Sun is in the zenith
32 the electromagnetic power arriving per square meter at the top of the Earth's atmosphere is largest and
33 corresponds to about 1365 W m^{-2} . This power per square meter for the mean Earth-Sun distance (1 AU) is
34 called Total Solar Irradiance (TSI). The total power received by the Earth is given by its cross section (πR^2)
35 times TSI and amounts to $1.7 \cdot 10^{17} \text{ W}$, with R being the Earth's radius. The mean global solar power is
36 therefore $(\pi R^2 / 4\pi R^2) \times \text{TSI} = 341.3 \text{ W m}^{-2}$. The solar energy is generated in the core of the Sun by fusion
37 processes turning hydrogen into helium. The standard solar model shows that on times scales of billion of
38 years the fusion process causes a very large steady increase in energy production (FAQ 5.2, Figure 1).
39 However, the insolation change during one million years is only about 0.1 W m^{-2} .
40

41 Is the Sun also a major driver of climate fluctuations? A complete answer is not yet possible as it requires a
42 full understanding of the processes in and on the Sun itself which are responsible for the emission of the
43 radiation and its spectral composition. Further also the corresponding response of the climate system is of
44 great relevance which depends on complex feedback processes.
45

46 In the following we discuss changes in the orbital parameters which affect the total insolation on multi-
47 millennial time scales and fluctuations in the emission from the Sun.
48

49 The orbit of the Earth around the Sun is elliptical which causes annual fluctuations of about 3% in insolation
50 between the smallest distance (perihelion) and the largest distance (aphelion). The eccentricity, the deviation
51 of the Earth's elliptical orbit from a circle, is disturbed by the other planets with cycles of about 100,000 and
52 about 400,000 years. It is generally accepted that the 100,000 years cycle is the main driver of the observed
53 cycles of glacial and interglacial periods during the past million years. Interestingly the mean annual changes
54 in the total solar radiation received between a glacial and an interglacial period is only about $0.2\text{--}0.3 \text{ W m}^{-2}$
55 emphasising the non-linearity of the climate response (see Figure [xy]). Orbital forcing is the only forcing
56 which can be calculated accurately for several million years from the past into the future.
57

1 The total amount of radiation emitted by the Sun is related to its magnetic activity. Satellite based
2 instrumental records of TSI go back to 1978 and show changes in phase with 11-y activity cycle. The
3 average change of TSI over an 11-year cycle is about 0.1% corresponding to about 1.4 W m^{-2} .
4 Reconstructions of the past centuries to millennia are based on sunspots (back 1600 AD) and cosmogenic
5 radionuclides such as ^{10}Be in ice cores and ^{14}C in tree rings (back to about 10,000 years) which represent
6 proxies of the solar magnetic activity. They are characterized by distinct grand solar minima, periods of 50 to
7 100 years of very low solar activity such as the Maunder minimum (1645–1715), and cycles with several
8 well-defined periodicities up to 2200 years with varying amplitudes. The estimated average changes between
9 the Maunder minimum and the instrumental period range from 0.1 to 0.4% (see Figure 5.1).

10
11 Changes in the spectral solar irradiance (SSI) are also related to the solar magnetic activity and take mainly
12 place at short wave lengths (UV). Satellite based measurements since 1991 show changes larger than 100%
13 depending on the wave length. UV-changes affect the upper atmosphere (where they are absorbed by the
14 ozone layer) with potential dynamical couplings to the troposphere.

15
16 The long-term fluctuations in TSI and SSI are not well constrained because the available instrumental data
17 were obtained during a period of high and relatively constant solar activity. This and the limited
18 understanding of the involved processes makes it very difficult to reliably derive the amplitude of long-term
19 changes. The last minimum between cycle 23 and 24 (1908–1909) provided a glimpse of a low-activity Sun.
20 Based on a statistical analysis of the past 10,000 years of solar activity it is likely that the current about 60
21 year long relatively constant period of high activity comes to an end within the next 1–2 solar cycles (10–20
22 years) and may provide us some of the missing information of how much lower TSI and SSI have been
23 during a grand minimum such as the Maunder minimum.

24
25 Palaeoclimate records covering part or the full Holocene (about 12,000 years) provide growing evidence that
26 solar forcing in combination with orbital and volcanic forcing, land use changes, and internal climate
27 variability played a significant role in preindustrial climate fluctuations on decadal to millennial time scales.

28
29 Future variability in TSI will very likely interfere with anthropogenic climate forcing over the next decades,
30 reinforcing the greenhouse gas forcing during solar maxima and attenuating its effect during solar minima.

31
32 **[INSERT FAQ 5.2, FIGURE 1 HERE]**

33 **FAQ 5.2, Figure 1:** Long-term variation of the mean global insolation. Upper panel: after the formation of
34 the solar system 4.55 Gyr ago the insolation was some 20% lower than today. It will steadily increase for the
35 next about 5 billion years until the Sun will become a red giant and destroy the Earth. Lower panel:
36 Insolation changes for the past and the future one million years as a result of the planetary effects on the
37 eccentricity of the Earth's orbit around the Sun. 0 corresponds to the present time.

38
39 **[END FAQ 5.2]**
40

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Chapter 5: Information from Paleoclimate Archives

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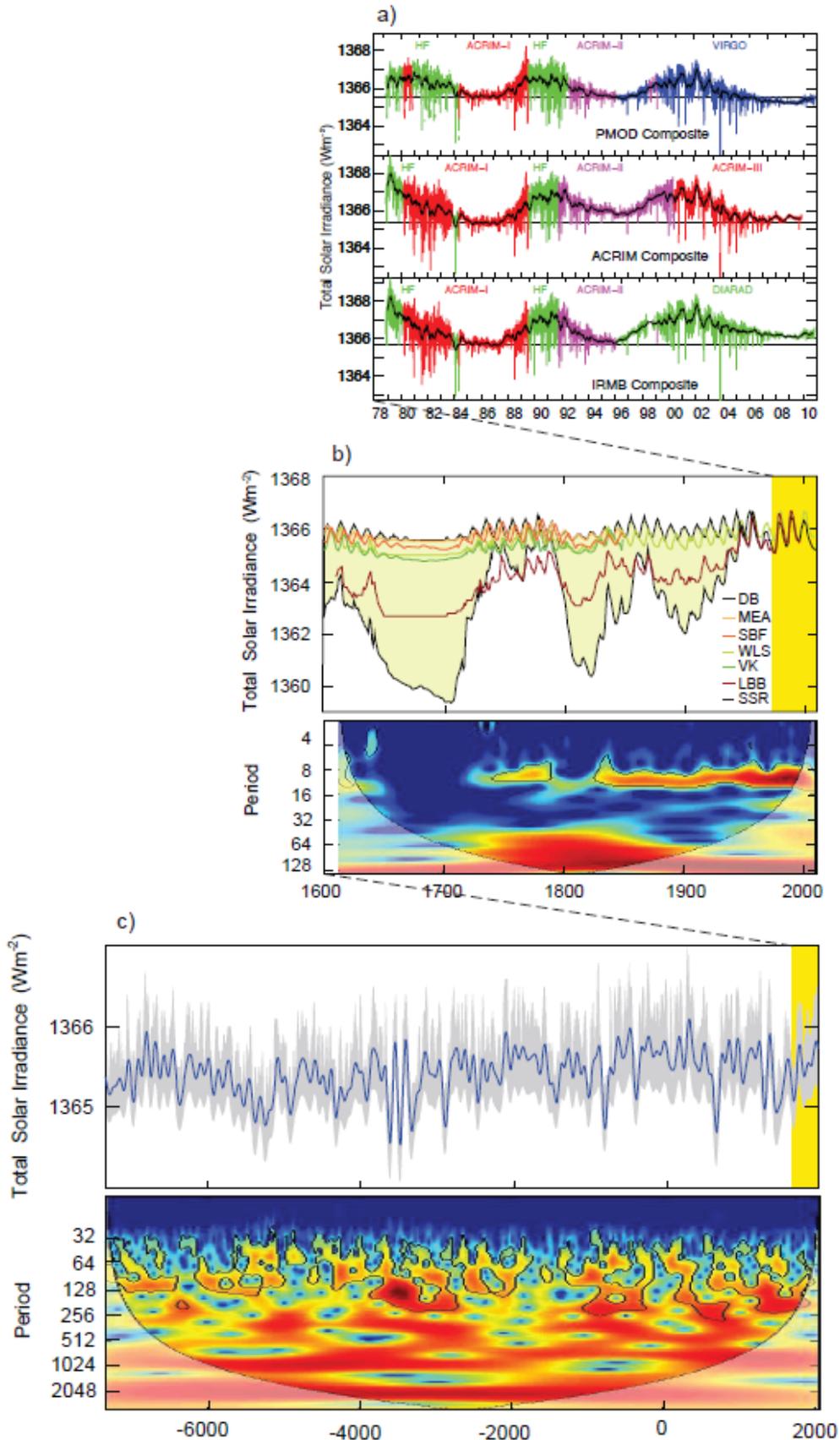
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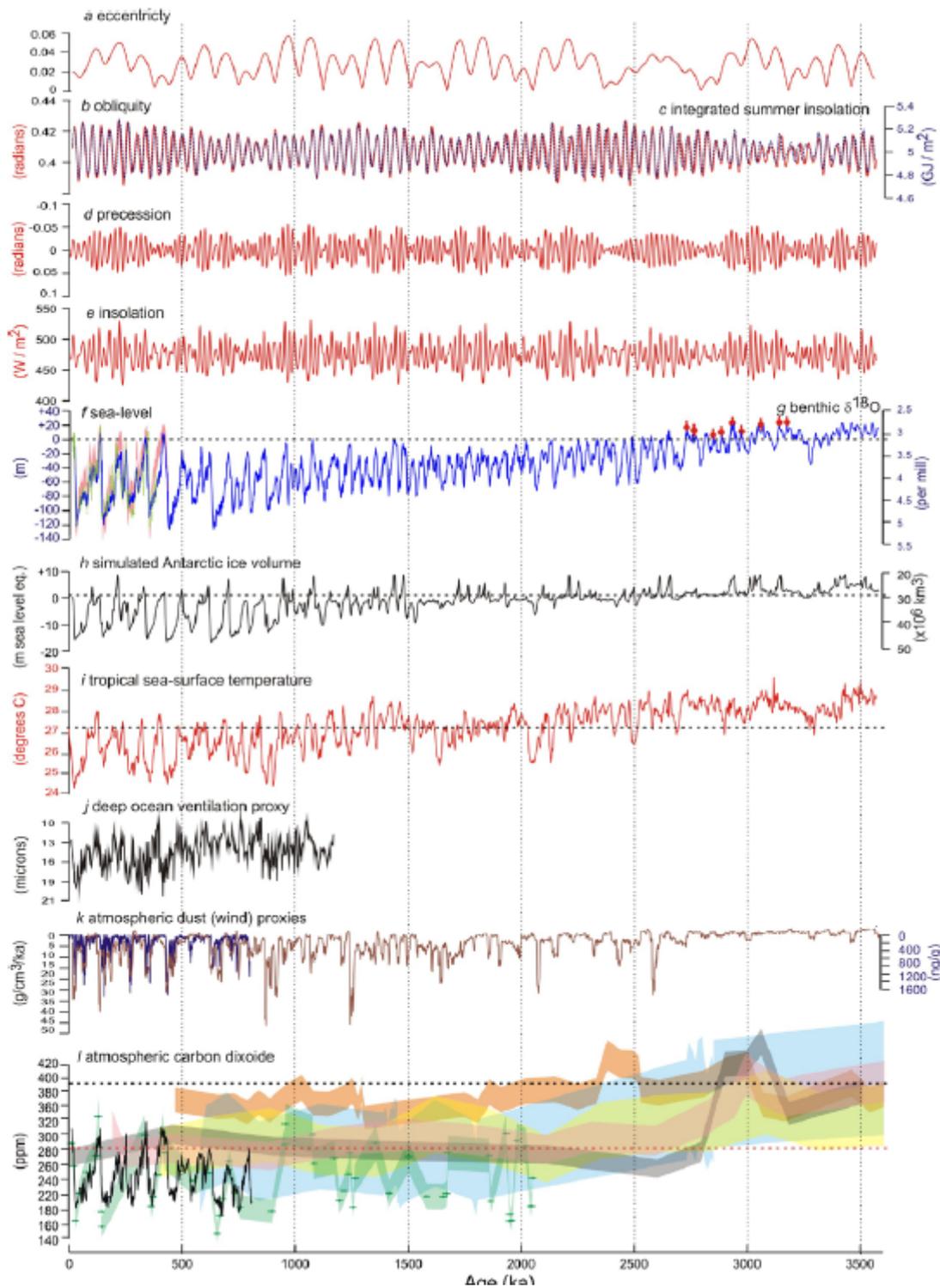
1 **Figures**
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5 **Figure 5.1:** a) 3 composites of instrumental data from several satellite based radiometers indicated by
6 different colours (Dewitte et al., 2004; Frohlich, 2009; Willson and Mordvinov, 2003). The differences
7 between the composites are due to different combinations of the radiometer data and the application of

1 different corrections (x-axis is YEAR AD -> will be incorporated into the figure). **b)** TSI reconstructions
2 back to 1600 AD. The lack of direct measurements is compensated by proxies of solar activity (e.g.,
3 sunspots, ¹⁰Be) which are used to estimate the parameters of the models or directly TSI. Depending on the
4 assumptions, the differences between the long-term averages are large and range from no change to 0.4%
5 between the present and the Maunder minimum when the Sun was very quiet. With one exception (SSR) all
6 recent reconstructions show relatively small long-term changes (<0.1%) compared to the [reference needed:
7 Lean et al., xxxx] record (0.24%). The top 5 records have been used by Jungclaus et al. (2010b) to simulate
8 the climate of the past 1000 years. The wavelet analysis of TSI (WLS) shows the 11-year Schwabe cycle
9 which is weak during the Dalton minimum (1790–1830) and absent during the Maunder minimum (1645–
10 1715), and cycles around 80 and 120 years. DB: Delaygue and Bard (2010); MEA: Muscheler et al. (2007);
11 SBF: Steinhilber et al. (2009); WLS: Wang et al. (2005c); VK: Vieira et al. (2011); LBB: Lean et al. (1995);
12 SSR: Shapiro et al. (2011). **c)** TSI reconstruction (low-pass filtered by 100 year) covering the past 9300
13 years (Steinhilber et al., 2009). The grey band represents the 1-sigma uncertainty range. The reconstruction
14 is based on ¹⁰Be and calibrated using the relationship between instrumental data of the open magnetic field
15 which modulates the production of ¹⁰Be and TSI for the past 4 solar minima. The wavelet analysis shows the
16 existence of several well-defined periodicities with varying amplitudes (87, 104, 130, 150, 208, 350, 515,
17 970, 2300 years).
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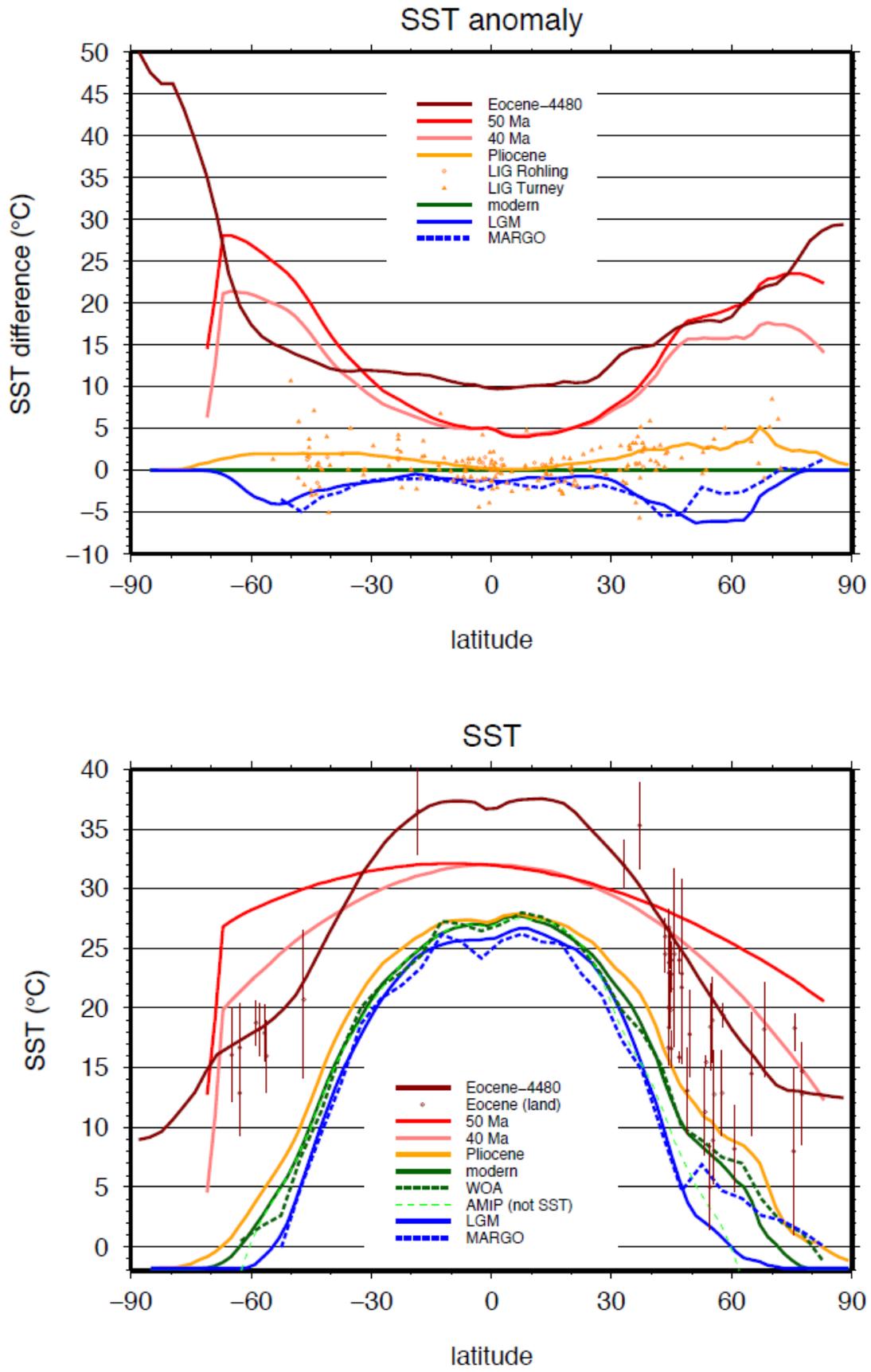
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4 **Figure 5.2:** [Radiative perturbations from Earth-system feedbacks since the Pliocene] Radiative
 5 perturbations and Earth systems and its response for the last 3.6 Ma. Changes in orbital parameters, a)
 6 eccentricity, b) obliquity, d) precession, e) summer insolation at 65°N, and c) integrated summer insolation
 7 (calculated for 75°N will replace with 65°N) (Laskar, 2004; Huybers and Raymo, 2007). f) Stacked marine
 8 benthic oxygen isotope record, reflecting changes in continental ice volume and ocean temperature ($\delta^{18}\text{O}$, ‰;
 9 Lisiecki and Raymo, 2005) converted to changes in global mean sea level (m; Naish and Wilson, 2009). Pink
 10 shaded curve is based on sea level calibration of $\delta^{18}\text{O}$ curve using dated coral shorelines (Waelbroeck et al.,
 11 2002), green dashed line is the Red Sea sea level record (Siddall et al., 2003; Rohling et al., 2009), (to be
 12 added Bintanja et al. 2005 model derived calibration back 5 Ma) and red dots with error bars are weighted
 13 mean estimates (using individual standard deviations as weights) for far-field reconstructions of eustatic
 14 peaks during mid-Pliocene interglacials (Miller et. al in review, placeholder at present). The dashed

1 horizontal line represents present day sea level. g) Antarctic ice volume simulation (Pollard and DeConto,
2 2009) expressed as sea level (m) equivalent ice volume and volume (km³) w.r.t present day (dashed line). h)
3 Stacked tropical SST (dashed line is modern average zonal temperature; °C; Herbert et al., 2010). i) sortable
4 silt grain size proxy for Pacific abyssal ocean current strength (µm; ODP Site 1123, Hall et al., 2001). j)
5 Wind proxy derived from mass accumulation rates of Chinese loess (g/cm³/ka, brown line; Song et al.,
6 2007) and with EPICA Dome C dust record (ng/g, blue line; Lambert et al., 2008) overlain. k) Atmospheric
7 CO₂ concentration (ppm) measured from EPICA Dome C ice core (black line; Lüthi et al., 2008), and
8 estimates of atmospheric CO₂ content (ppm) from boron δ¹¹B isotopes in foraminifera in marine sediments
9 (grey shaded line, Seki et al., 2010; green shaded line Hönisch et al., 2009), and from organic biomarker
10 alkenone-derived carbon isotope proxies (pink shaded line, Seki et al., 2010; orange, blue and yellow shaded
11 lines Pagani et al., 2010). Note that thickness of shaded line represents the error envelope. Red dashed line =
12 preindustrial atmospheric CO₂ content (1830 AD) and black dashed line = present-day CO₂ (2010).
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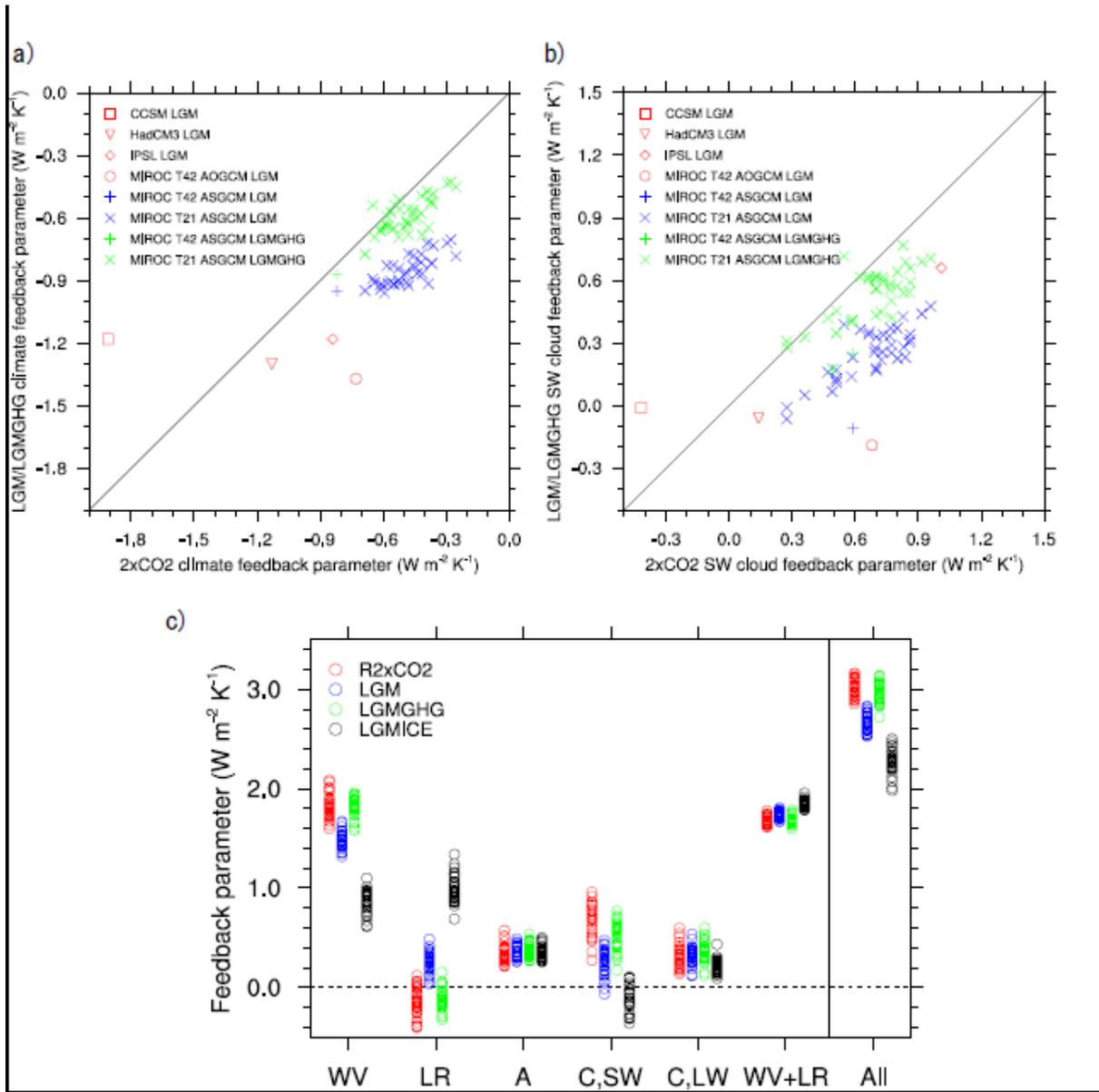


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Figure 5.3: [PLACEHOLDER FOR FIRST ORDER DRAFT: Meridional temperature distribution for different geological times. Notes on draft of figure: The aim of this figure is to assess changes in meridional temperature gradients based latitudinal temperature profiles and temperature anomalies for LGM, modern (pre-industrial), last interglacial, warm early-mid Pliocene (time interval 3.5–3.0 Ma), and Eocene (4–50Ma)

1 using both, model output (e.g., PMIP, PlioMIP, GSSM etc.) and reconstructions. The quality and quantity of
2 the paleotemperature data obviously decreases back in time. In draft Figure 5.3 for LGM, MARGO data (not
3 gridded and zonally biased) and data-constrained model output from Paul and Schäfer-Neth (2003) have
4 been used. Data for the last interglacial is from a compilation by Rohling et al. (in review), and Turney and
5 Jones (2009). Also present day has been plotted from PRISM, AMIP and WOA. Pliocene curve is data-
6 constrained PRISM3 (Dowsett 2007). Brierley et al. (2009), showing expanded Pacific warm pool during
7 Pliocene because of issues with corrections made to proxy data from different times in the Pliocene and
8 different ocean basins has not been used. For the Eocene there is Bijl et al. (2009) data for 40 and 50 Ma and
9 model output from Huber and Cabellero (2010). The latter provides GCM surface temperature for an
10 atmospheric CO₂ concentration of 4480 ppm and compares with a compilation of terrestrial proxy temp data,
11 but ignores the SST data due large discrepancies and perceived issues with and between marine temperature
12 proxies. While there appear to be vast differences in temperatures from the same latitudes and even the same
13 sites using different paleothermometers (e.g., TEX86 vs Mg/Ca; summarised in Huber (2008) and Huber and
14 Cabellero (2010)) SST proxies for the Eocene are undergoing further the development and may yield more
15 reliable estimates within the AR5 time frame. Uncertainties are not yet included in draft; for the final version
16 it foreseen to represent the density of data on each curve as a guide to uncertainty. There are a number of
17 issues to work through with data presentation on this figure including: (1) Standardisation of approach (e.g.,
18 land and ocean data or just SSTs, whether to plot zonally averaged proxy data that might be heavily biased
19 by distribution, or data constrained model output, or model data and site specific proxy data independently?).
20 At present the figure represents a range of different approaches. (2) Representing data quality and density.
21 (3) Combining data over a wide temporal range particularly in deeper time slices. (4) Seasonal and spatial
22 biases. (5) Standardised of modern temp gradients for calculating the anomaly. It will take a community
23 effort to bring these datasets together both in the model inter-comparison and proxy communities. The LGM
24 will be covered, but MARGO lacks terrestrial data. Last interglacial data needs more critical assessment
25 (such an effort is underway as part of the European Past4Future Project). The PlioMIP and PRISM
26 communities are well organised. The Paleogene proxy community are aware of the issues and Eocene model
27 inter-comparisons are underway.]
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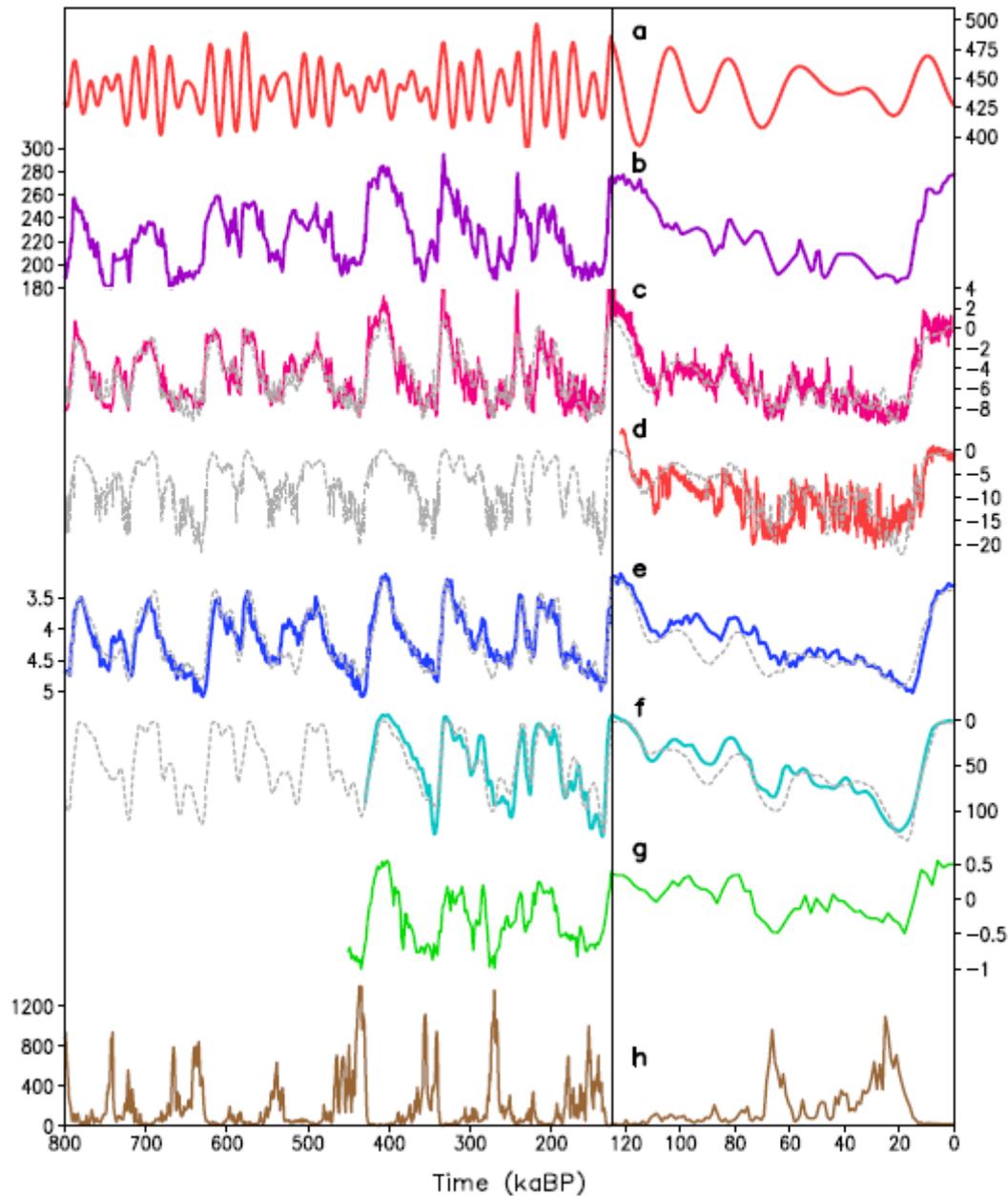


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Figure 5.4: [PLACEHOLDER FOR FIRST ORDER DRAFT: Strengths of feedbacks at LGM from data and multi-model ensembles. This is just an illustration, it will be replaced when additional new experiments by PMIP3/CMIP5 become available.] Relation of feedback parameters between elevated CO₂ and LGM climate simulations: a) scatter plot of climate feedback parameter (i.e., stratosphere-adjusted radiative forcing / equilibrium temperature change) between CO₂ doubling and LGM (or LGMGHG) experiments. Here LGMGHG refers to the experiment with CO₂ concentration being lowered to the LGM level from the pre-industrial reference experiment; b) scatter plot of shortwave cloud feedback parameter (i.e., shortwave component of feedback parameter attributable to the change in clouds); c) individual feedback parameters. In a) and b), red, blue, and green markers indicate coupled atmosphere-ocean GCMs, LGM experiments with atmospheric GCMs coupled to slab ocean models, and LGMGHG experiments with atmospheric GCMs coupled to slab ocean models, respectively. Also plotted are the one-to-one lines. In c), WV, LR, A, CSW, CLW denote water vapor, lapse-rate, surface albedo, shortwave cloud, and longwave cloud feedbacks, respectively. ALL denotes sum of all feedbacks. R2xCO₂ indicates $\sqrt{2}$ times CO₂ experiment, and LGMICE refers to the experiment in which ice sheets and orbital configuration at LGM are applied to the reference experiment.

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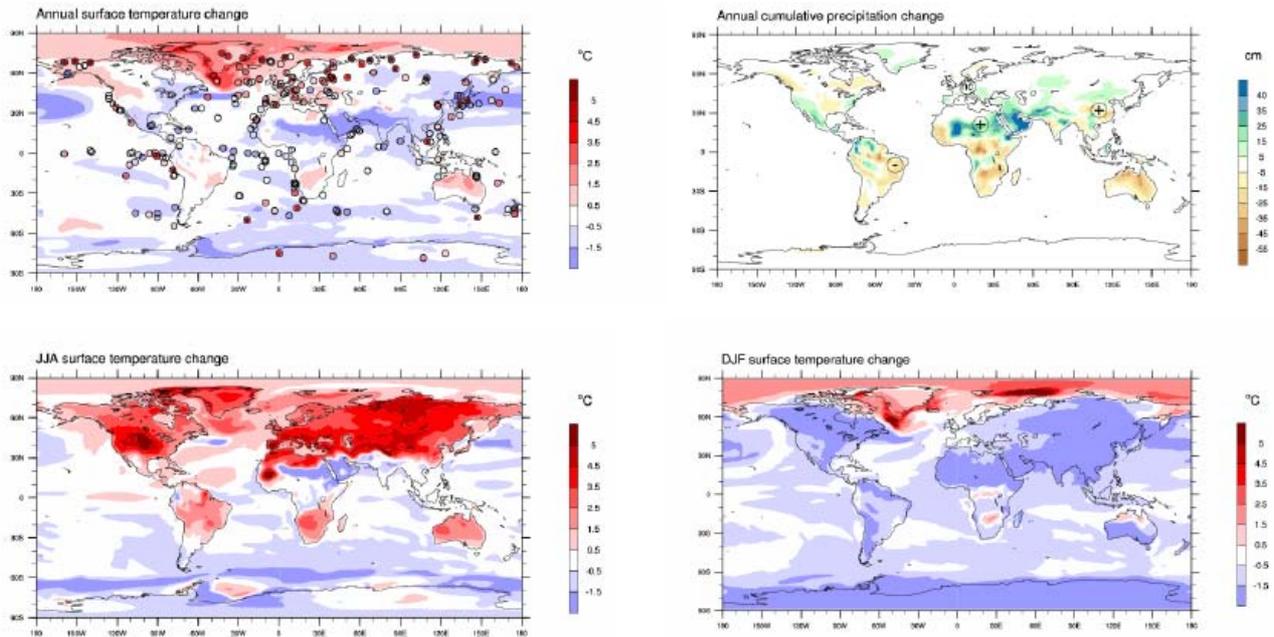
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Figure 5.5: Orbital, climate, ice sheets, carbon: data and transient model. Variation of climate forcings and climate indicators over the past 800 ka. a) Orbital forcing (maximum summer insolation at 65°N), b) the atmospheric concentration of CO₂ from Antarctic ice cores, c) Antarctic temperature reconstructed from deuterium, d) Greenland temperature reconstructed from δ¹⁸O, e) the stack of benthic δ¹⁸O, a proxy for global ice volume and deep ocean temperature, f) the reconstructed sea level, g) the stack of benthic δ¹³C in the deep Atlantic, a proxy for the deep ocean ventilation, h) the dust concentration in the Antarctic ice core. Colour lines represent forcings and proxy data, grey dashed lines depict results of simulation with an Earth system model forced by variations of the orbital parameters and the atmospheric concentrations of the major greenhouse gases. Note the change of the time scale at 125 ka.

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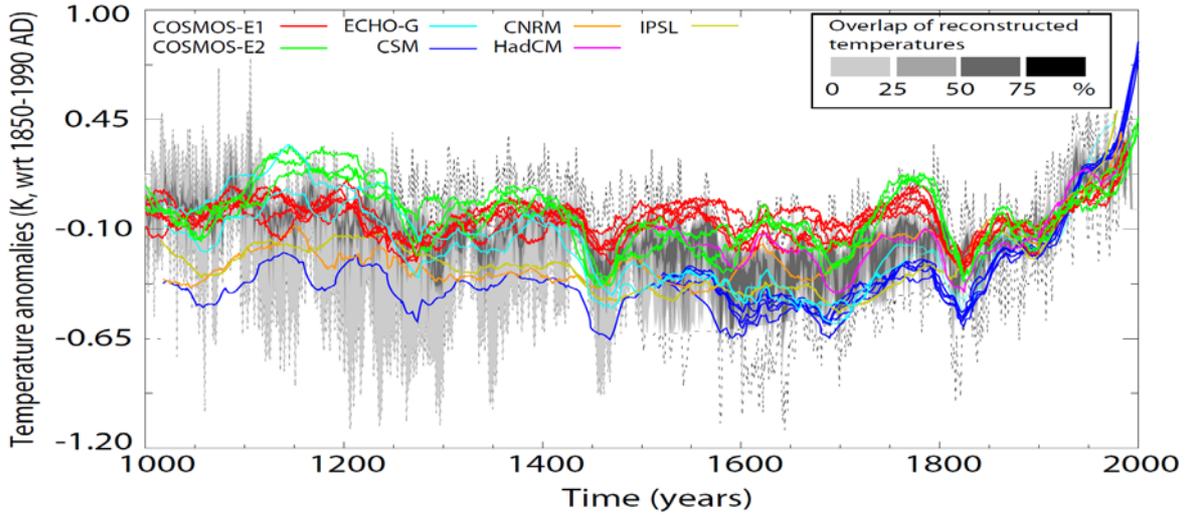
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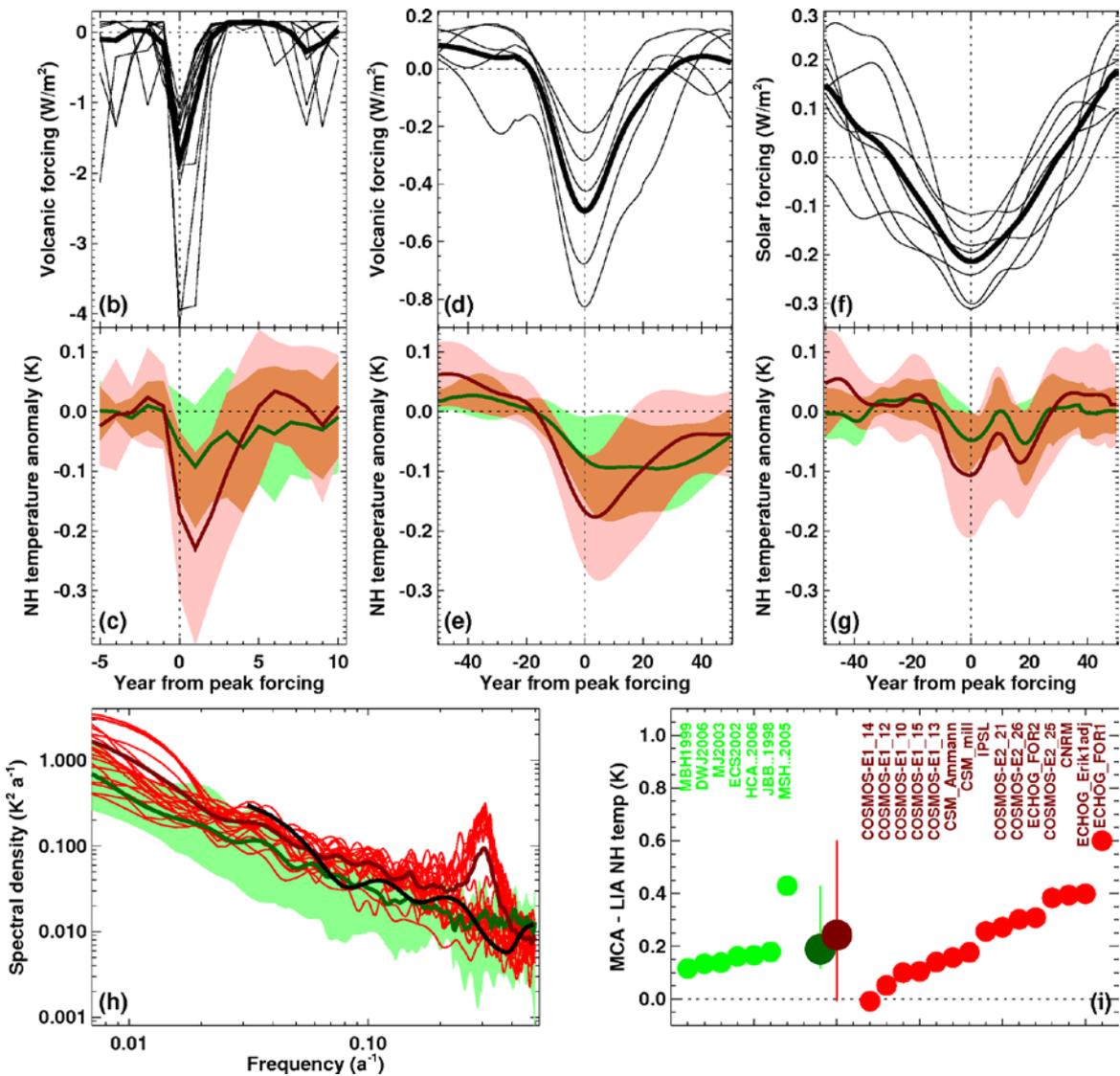
4 **Figure 5.6:** [PLACEHOLDER FOR FIRST ORDER DRAFT: New simulations for the LIG, particularly
 5 130–125ka, from PMIP3 and other LIG projects will be included in subsequent versions. A compilation of
 6 more proxy estimates will need to be assessed for this figure.] Last Interglacial, comparison of
 7 reconstructions with models: Annual (top, left), June-July-August (bottom, left), and December-January-
 8 February (bottom, right) surface temperature changes and annual precipitation changes (top, right) for the
 9 Last Interglacial from a multi-model and multi-proxy synthesis. The multi-model changes [in this
 10 placeholder, CCSM3 T85x1 simulation for 125ka as compared to a preindustrial control simulation] are
 11 color contoured and are overlain by proxy estimates of annual changes (circles) [in this placeholder, as
 12 compiled in the synthesis of annual surface temperature change by [Turney (2010, Agulhas Current
 13 amplification of global temperatures during super-interglacials [tbc]] and from various proxy estimates of
 14 precipitation change: [Rohling (2002, African monsoon variability during the previous interglacial
 15 maximum); Wang (2004, Wet periods in northeastern Brazil over the past 210 kyr linked to distant climate
 16 anomalies); Brewer (2008, The climate in Europe during the Eemian: a multi-method approach using pollen
 17 data); Cheng (2009, Ice Age Terminations).].
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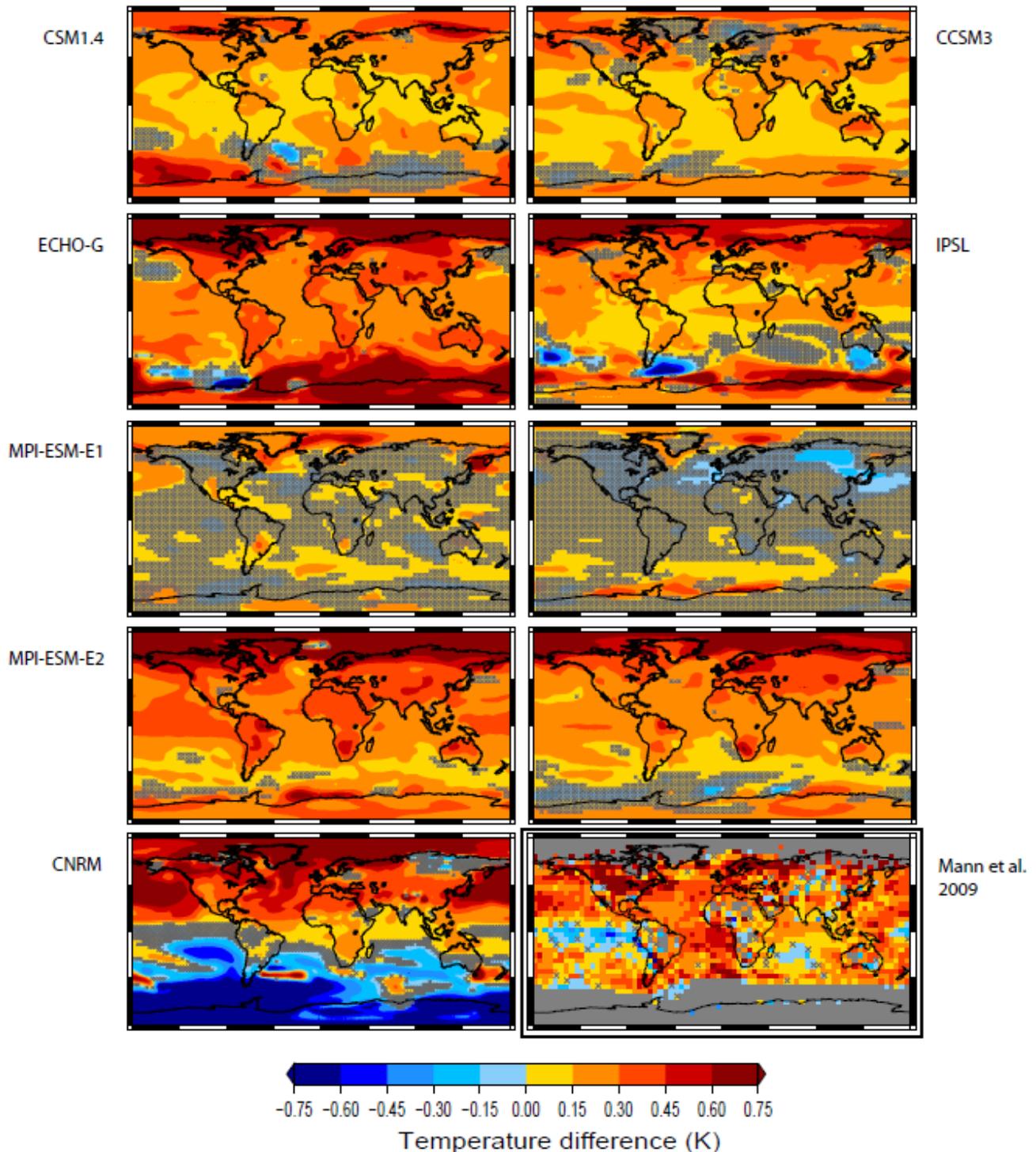


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Figure 5.7: [PLACEHOLDER FOR FIRST ORDER DRAFT: Update of AR4, Figure 6.13 (Osborn) or volcanic composite and response. Will be updated with newer reconstructions and CMIP5/PMIP3 simulations. Further consideration also needs to be given to which reconstructions and simulations are included in each panel, according to temporal resolution and representation of internal variability. other considerations: how to make use of reconstruction uncertainties and model ensembles.] Comparison of

1 simulated NH annual temperature change with reconstructions of NH temperature change [some
2 reconstructions are seasonal not annual, and some are for a subset of the NH such as extra-tropical land]. (a)
3 Simulations (filtered) shown by coloured lines; overlap of reconstructed temperatures shown by grey
4 shading. (b-g) Superposed epoch composites based on selecting sequences of temperature from periods with
5 (b, c) individual volcanic forcing events from 1400–present that exceed -1.0 W m^{-2} ; (d, e) 50-year smoothed
6 volcanic forcing that exceeds -0.2 W m^{-2} ; (f, g) change in band-passed solar forcing over a 50-year period
7 that exceeds -0.2 W m^{-2} , all based on the forcings used by [Ammann et al. (2007)]; for solar forcing it is their
8 “medium” forcing case. Time segments from selected periods are aligned so that the years with the peak
9 negative forcing are aligned. In (b, d) the volcanic forcing for the individual selected events is shown
10 together with the composite mean (thick). In (f) it is the same but for the band-passed solar forcing. (c, e, g)
11 show the NH temperature composite means and 90% range of spread between simulations (dark red line,
12 pink shading) or reconstructions (green), with overlap in shading (not drawn quite correctly yet!) in orange.
13 NH temperatures were also filtered in the same way as the forcings. Only reconstructions with appropriate
14 temporal resolution were used in each case [to be confirmed when newer reconstructions are included]. (h)
15 Power spectral density of reconstructed (green shading shows the full range of results; dark green line: multi-
16 reconstruction mean; individual reconstructions are not shown), simulated (thin red lines: individual models;
17 thick dark red line: multi-model-mean) and instrumental (black line: HadCRUT3) NH temperature [the
18 unexpected peak at $f = 0.3 \text{ a}^{-1}$ or around 3 year period seems to be entirely from the COSMOS1 and
19 COSMOS2 runs]. (i) Mean NH temperature difference between MCA (950–1250 CE or 1000–1250 CE for
20 data that begin in 1000 CE) and LIA (1400–1700 CE) from reconstructions (green), multi-reconstruction
21 mean and range (dark green), multi-model mean and range (dark red), and simulations (red). Individual
22 results are sorted into ascending order and labelled. [These MCA and LIA periods were chosen to match
23 Figure 5.8 and Mann et al. (2009); individual ensemble members will be replaced with an ensemble mean
24 and range, so it won’t be dominated by the 8 COSMOS runs; also is ECHOG-FOR1 the first “Erik” run with
25 a rather warm start and hence large MCA-LIA difference. If so, this should probably be removed –
26 adjustment to this first “Erik” run was included; also new reconstructions need to be included, and perhaps
27 MBH99 dropped if considered to be superceded by Mann et al. (2008).]
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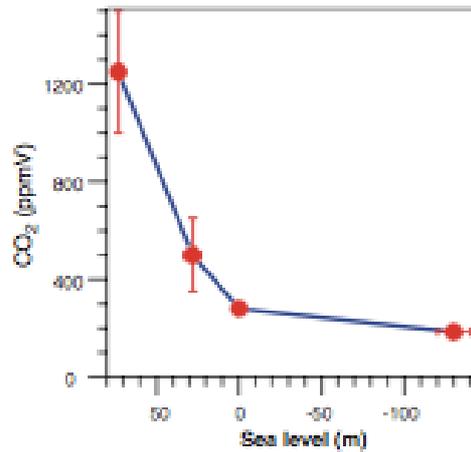
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Figure 5.8: Temperature anomalies (global or hemispheric maps) for “Medieval Climate Anomaly” and “Little Ice Age”. MCA-LIA annual mean temperature difference in forced simulations for the last millennium produced with six different AOGCMs and in [Mann et al. (2009)]. The periods considered for calculating the differences in [Mann et al. (2009)] were taken as reference: MCA (950–1250 CE) and LIA (1400–1700CE). For the simulations starting in 1000CE (CCSM3, ECHO-G, IPSL, CNRM) the period 1000 to 1250 was selected instead to define the MABOUT Hatched areas represent non significant differences at a 0.05 level.

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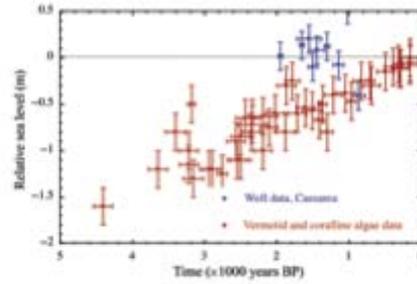
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Figure 5.9: [PLACEHOLDER FOR FIRST ORDER DRAFT: pCO₂ (or polar Temp.) vs. ice volume (sea level) from data and models (Pliocene to recent)] Relationship between reconstructions of past sea level changes due to ice sheet contributions and estimates of past atmospheric CO₂ concentrations. [This figure (from Alley et al., 2005) to be updated by newly available data and an assessment of uncertainties.]

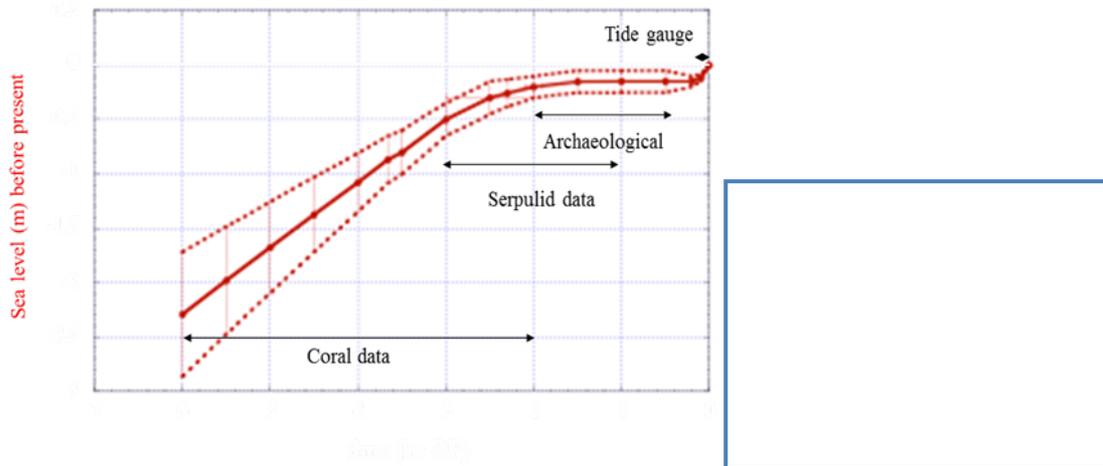
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Horizontal scale is 1000 years/division. Vertical scale is im/division.

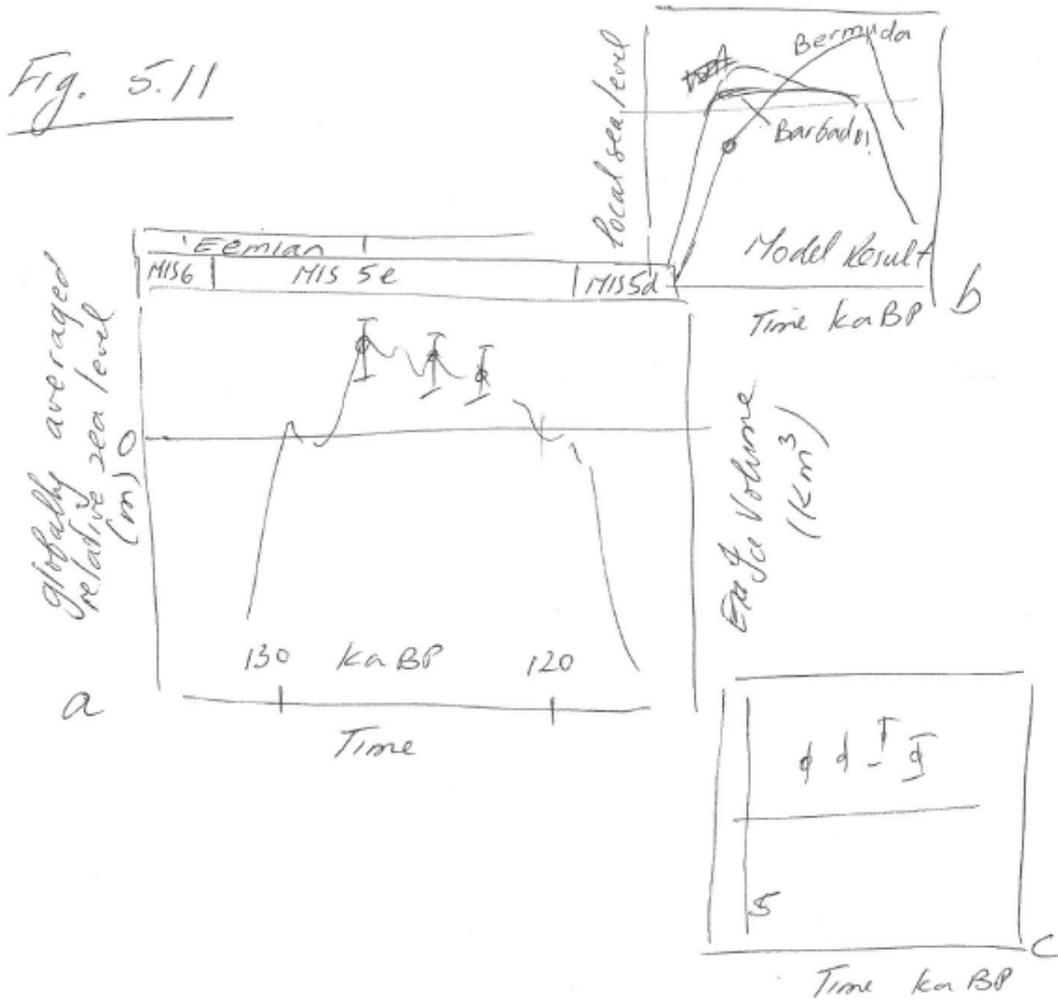
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Figure 5.10: [PLACEHOLDER FOR FIRST ORDER DRAFT: Palaeo sea level variations (update of sea level figure in WCRP report). Globally averaged variation in sea level in Late Holocene time. This figure is from the volume edited by Church at al. (Figure 4.14, p.96). It will be supplement it with a couple of side panels showing individual published records, corrected and not corrected for isostatic effects. These figures would like some of the panels in Figure 4.12 or Figure 4.13. These would include results by Woodroffe et al. (submitted to Nature) from corals in the Pacific and new salt marsh records by Gehrels et al. from Tasmania and New Zealand (publications in preparation). There are new results forthcoming from archaeology sites in the Mediterranean.]

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(a) is the main figure - from Dutton et al. Presented @ Palaeoceanography meeting San Diego. 2010. (In prep.), plus the result of Kopp. Text will explain the difference.

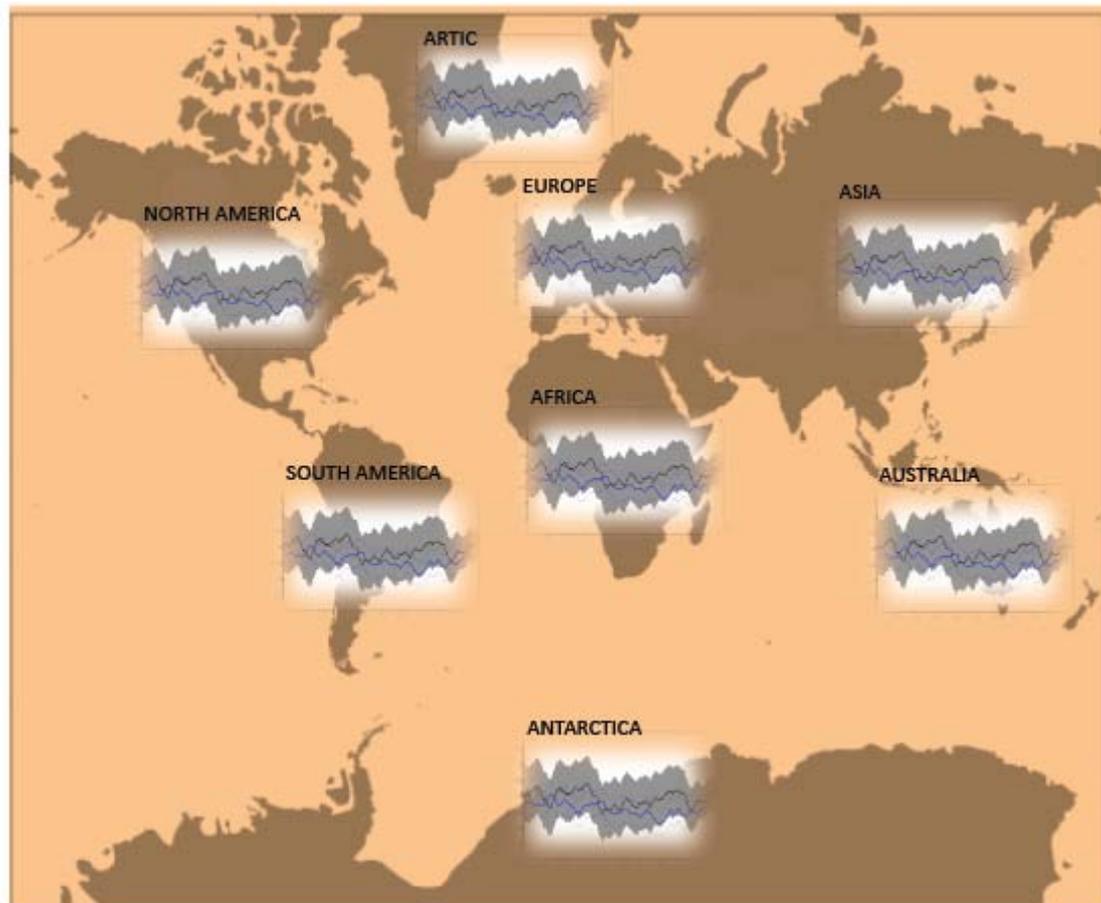
(b) Model result, for different locations within the Caribbean (Bermuda/Bahamas/Barbados/Yucatan).

(c) Individual site observational data Western Australia (Stirling/Dutton)

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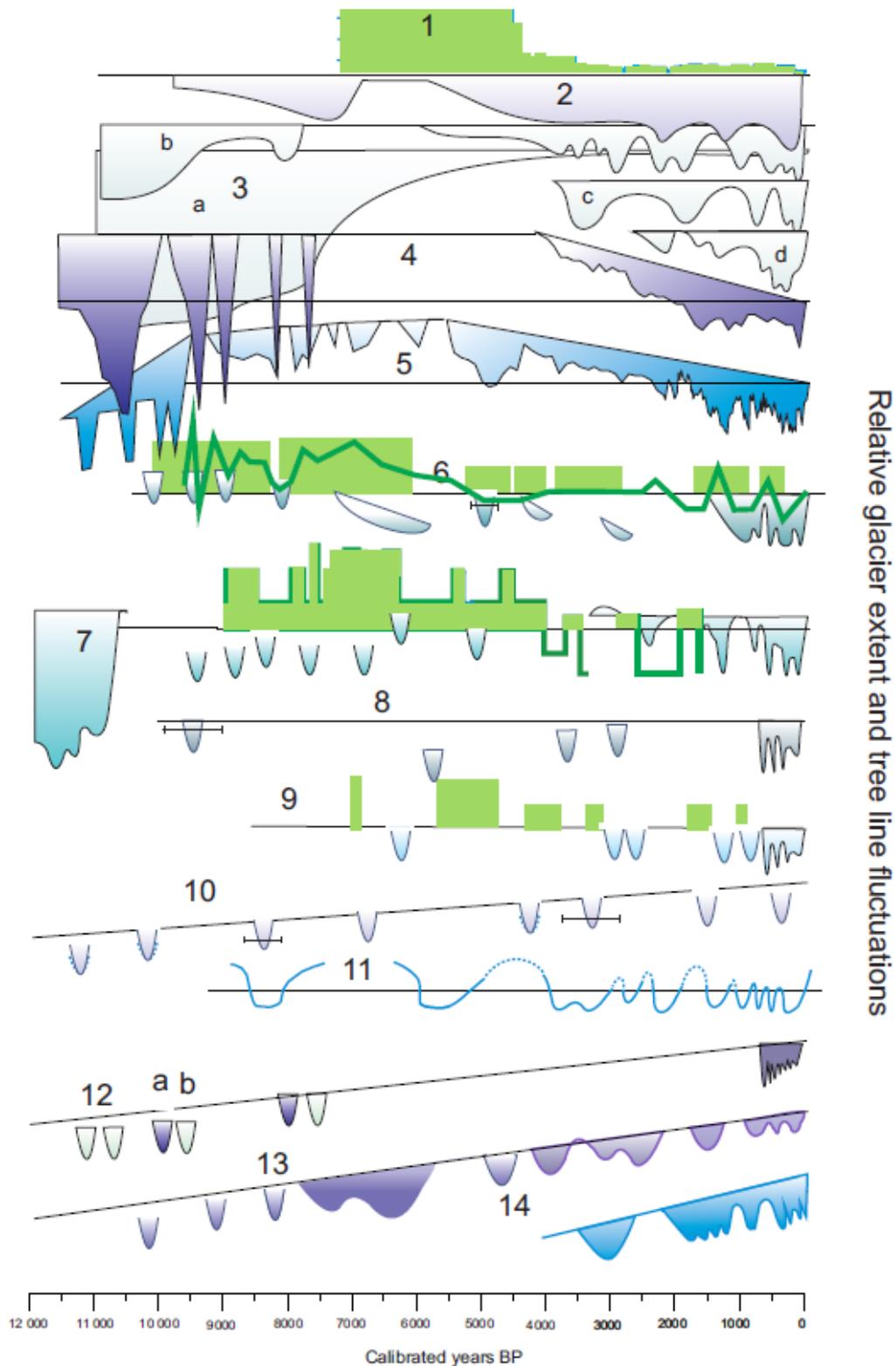
Figure 5.11: [PLACEHOLDER FOR FIRST ORDER DRAFT: Rates of sea level change during the last interglacial.]

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4 **Figure 5.12:** [PLACEHOLDER FOR FIRST ORDER DRAFT: Compilation of temperature reconstructions
 5 at continental scale (similar to AR4, Figure TS 22) together with CMIP models, model data/comparison.]
 6 Regional temperature, reconstructions, comparison with model simulations over the past millennium (1001–
 7 1999 CE). Ensemble model mean (black line) plus 20 and 80 percentile (gray envelope). Mean of
 8 temperature reconstructions (bold blue line) and estimation of error from each reconstruction (light blue
 9 line). All lines are smoothed to remove fluctuations under 50 years. Models used: ECHO-G (Gonzalez-
 10 Rouco et al., 2006), CCSM (Ammann et al., 2007), CCSM-Bern [reference needed], COSMOS (Junglaus et
 11 al., 2010b), CNRM (Swingedouw et al., 2010). Reconstructions by region: North America [reference
 12 needed], South America (Neukom et al., 2010), Arctic (Kaufman et al., 2009a), Europe (Guiot et al., 2010),
 13 Africa [reference needed], Antarctica [reference needed], Asia (Yang et al., 2002), Australia [reference
 14 needed].
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Box 5.2, Figure 1: Time–distance diagrams for glaciers (blue) and tree line elevation changes (green) through the Holocene in comparison with the northern timberline dynamics.

1. Northern timberline in Siberia [(Khantemirov, 2011)]

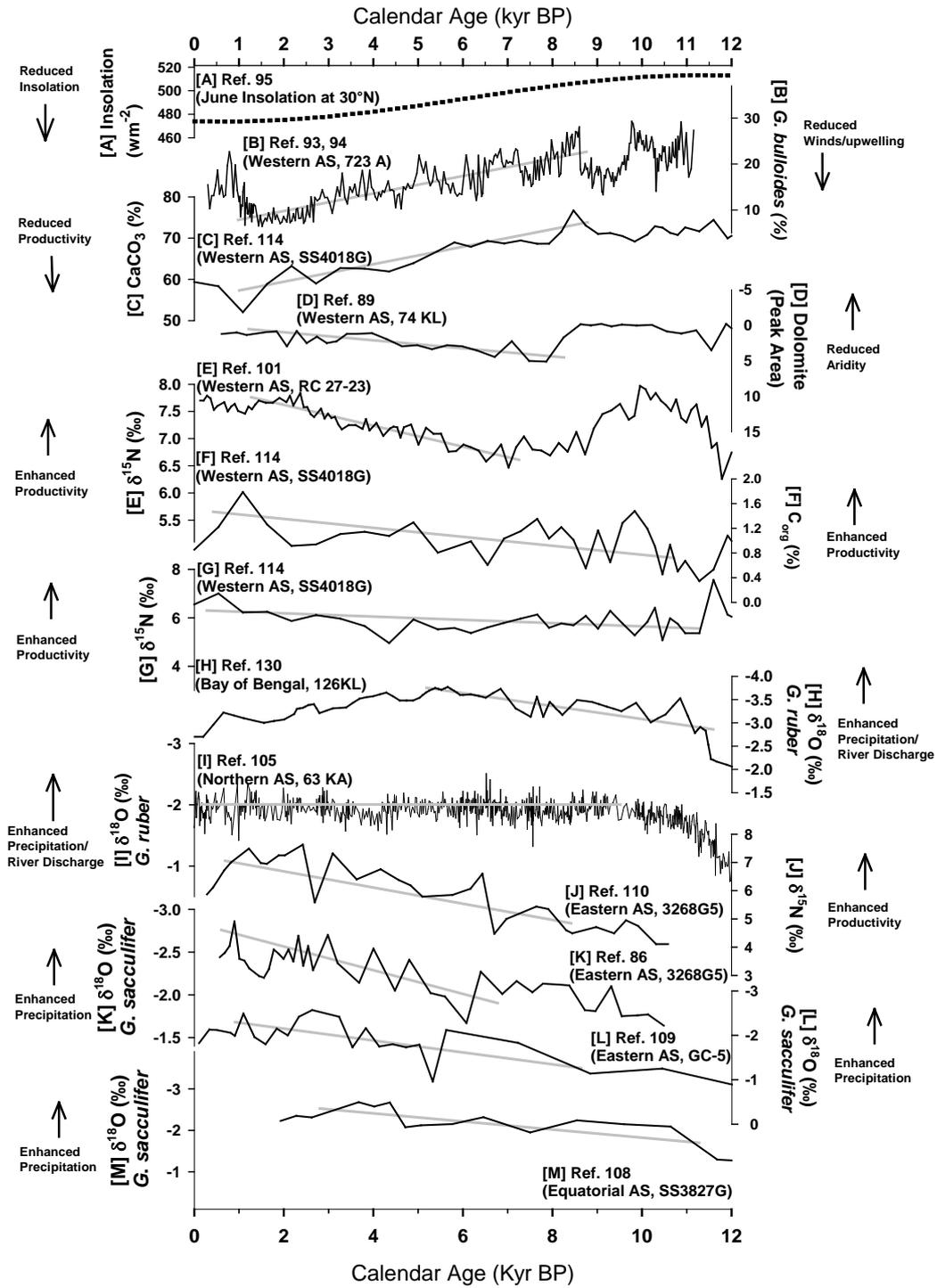
2. Large tidewater glacier systems (Glacier Bay) in southern Alaska [(Barclay et al., 2009)]

3. Baffin Island: a) Laurentide Ice Sheet; b) Northern Baffin Plateau; c) Central Cumberland Peninsula; d)

4. Northern Cumberland Peninsula [(Briner et al., 2009)]

- 1 4. Northern Scandinavia [(IPCC, 2007)] [will be updated by Nesje]
- 2 5. Southern Scandinavia [(IPCC, 2007)] [will be updated by Nesje]
- 3 6. Western Canada [(Clague et al., 2009)]
- 4 7. The Alps [(Ivy-Ochs et al., 2009)]
- 5 8. Caucasus [(Solomina et al., in preparation)]
- 6 9. Altay [(Nazarov, in preparation)]
- 7 10. Muztag Ata–Kongur Shan [(Owen, 2009)]. Dashed lines: advances recorded in one valley; solid lines: at
- 8 least in two valleys
- 9 11. Himalaya and Karakoram (Curve by [Roethlisberger and Geyh, 1985]; modified by [Owen, 2009])
- 10 12. Peruvian Andes (a) [Licciardi et al., 2009; b) [Glasser et al., 2009]]
- 11 13. Cordilleras of South America (generalized curve; [Koch and Clague, 2006])
- 12 14. New Zealand: Mt. Cook, Mueller, Tasman, and Hooker Glacier [(Schaefer et al., 2009)]
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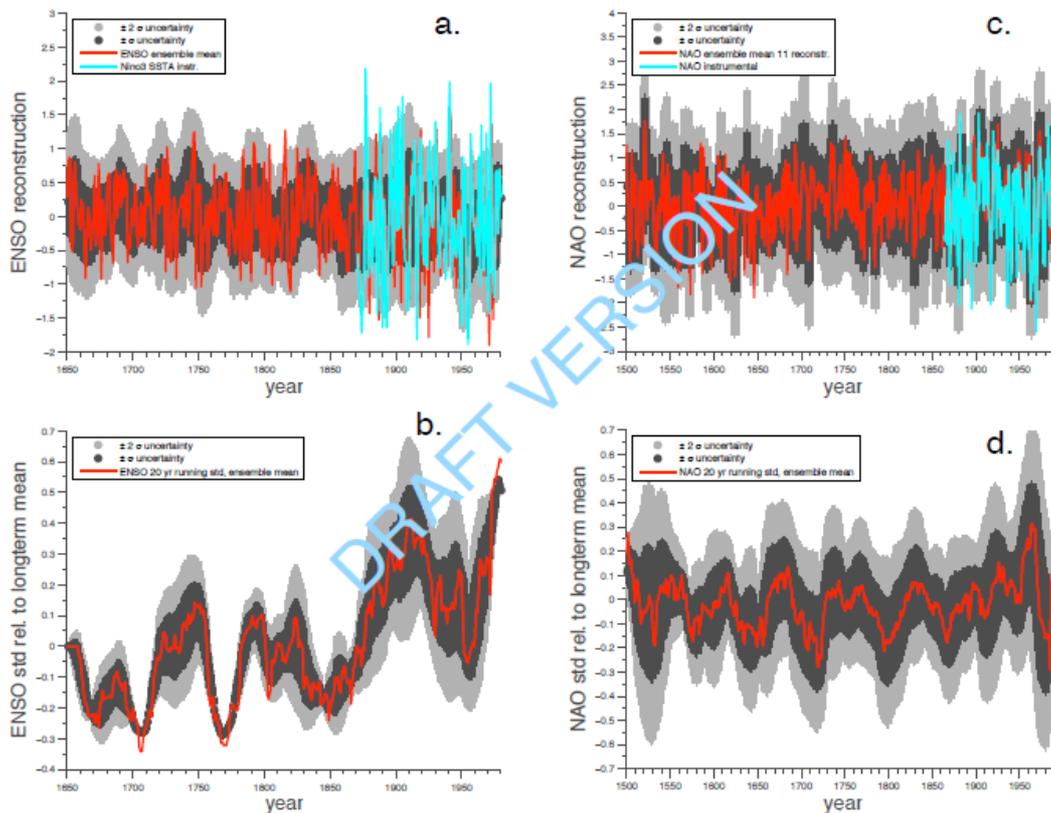


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Figure 5.13: [PLACEHOLDER FOR FIRST ORDER DRAFT: to depict changes in indian monsoon during the current interglacial. This draft figure is based on different marine core parameters.] Related to monsoon variability [timescale to be discussed]; possibly incl. model results.

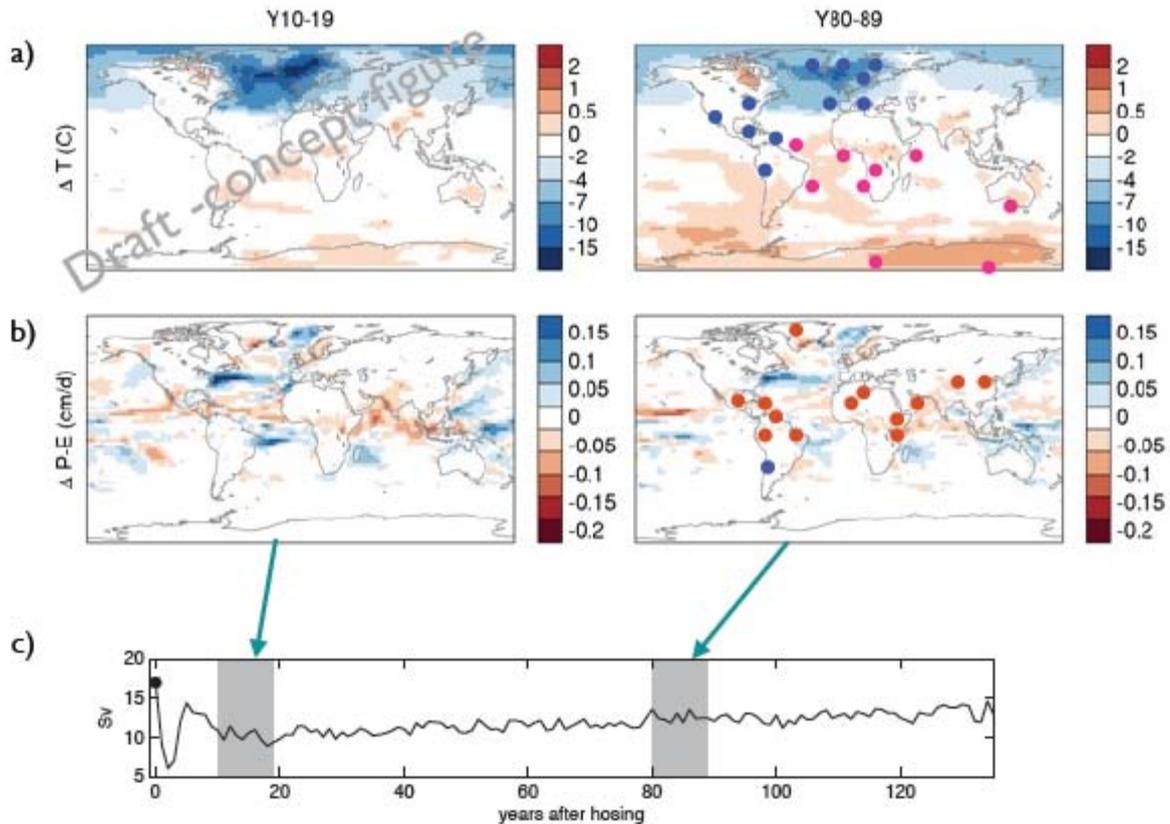
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2 **Figure 5.14:** [PLACEHOLDER FOR FIRST ORDER DRAFT: showing the link between changes in temp.
3 and corresponding changes in precipitation (as Figure 3 in Solomon et al., 2009).] Option 1: Changes in the
4 global distribution of precipitation per degree of warming for modelled past warm climate (most likely
5 Pliocene) vs preindustrial climate. Figure will be constructed in analogy to Solomon et al. (PNAS 2009;
6 Figure 3 therein) showing changes in % of dry season precipitation per K of local temperature change.
7 Analysis will be based on multi model ensemble. Option 2: Data-model comparison of tropical precipitation
8 changes on orbital timescales in order to asses the relative importance of precessional forcing vs. CO₂
9 changes (Background climate: Pliocene or late Quaternary). [Selection of option will be based on the
10 availability of reconstructions allowing for-data model comparison.]
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4 **Figure 5.15:** [Compilation of the different modes over time plus associated uncertainties] a) Normalized
 5 ensemble mean of 6 ENSO reconstructions, 5 going back to at least 1650 (McGregor et al., 2010) and one
 6 going back to 1706 [(Stahle, 1998)]. The dark (light) grey shading is a measure for the coherence within the
 7 ensemble of 6 ENSO reconstructions and indicates the ± 1 (2) intra-ensemble standard deviation at every
 8 point in time. Instrumental annual mean Niño 3 SST data from the HadSST dataset in blue. b) Ensemble
 9 mean of relative changes of interannual ENSO variability, as measured by the running standard deviation of
 10 the 6 ENSO reconstructions in a 20-year window and as compared to the longterm mean standard deviation.
 11 The dark (light) grey shading indicates the ± 1 (2) intra-ensemble standard deviation for the ensemble of 6
 12 ENSO running standard deviation time series. c) Normalized ensemble mean of cold season NAO
 13 reconstructions, 4 going back to at least 1501 (Cook et al., 2002 ; Glueck and Stockton, 2001; Luterbacher et
 14 al., 2002; Rodrigo et al., 2001), 2 going back to 1650 (Appenzeller et al., 1998; Trouet et al., 2009), 2 to
 15 1700 (Darrigo et al., 1993; Timm et al., 2004) and 3 to 1750 (Cullen et al., 2001; Kuttel et al., 2010; Mann,
 16 2002). The dark (light) grey shading indicates the ± 1 (2) intra-ensemble standard deviation for the ensemble
 17 of NAO reconstructions. d) Ensemble mean of relative changes of interannual NAO variability, as measured
 18 by the running standard deviation of the NAO reconstructions in b) in a 20-year window and as compared
 19 to the longterm mean standard deviation. The dark (light) grey shading indicates the ± 1 (2) intra-ensemble
 20 standard deviation for the ensemble NAO running standard deviation time series calculated for the NAO as
 21 in b). In blue is the instrumental DJFM NAO index [(Hurrell, 2009)].
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4 **Figure 5.16:** [PLACEHOLDER FOR FIRST ORDER DRAFT: model data to be replaced by ensemble
5 mean of several models having performed the same experiment. Proxy data to be replaced by comprehensive
6 proxy reconstruction data set compiled for the FOD.] [AMOC water hosing under glacial conditions,
7 temperature, wind and precip changes; comparison with proxy data for Heinrich 1 or Younger Dryas.] a)
8 Modelled spatial temperature anomaly in the CCM3 AOGCM showing departure from the modelled Last
9 Glacial maximum state after the LGM control state model was water hosed in the North Atlantic. Left panel
10 shows situation in the 2nd decade after water hosing. Right panel shows situation in the 9th decade after
11 hosing. Symbols on right panel shows location of proxy based temperature reconstruction for the H1
12 interval. Blue colour denotes cooling, compared to the LGM, red denotes warming compared to the LGM. b)
13 Same as for a) but showing spatial precipitation anomalies. Red colours on right panel shows drier
14 reconstructed conditions compared to the LGM, blue colours show wetter conditions. c) Modelled maximum
15 overturning strength in the North Atlantic in Sverdrups ($10^6 \text{m}^3/\text{s}$).
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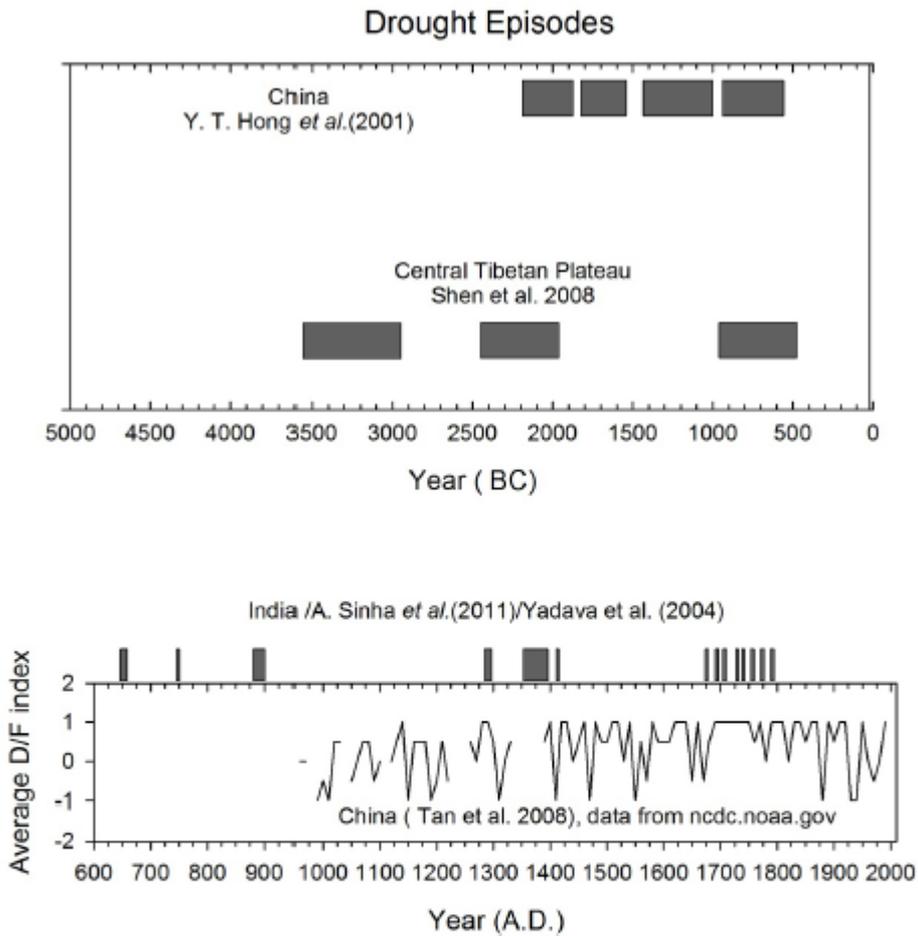
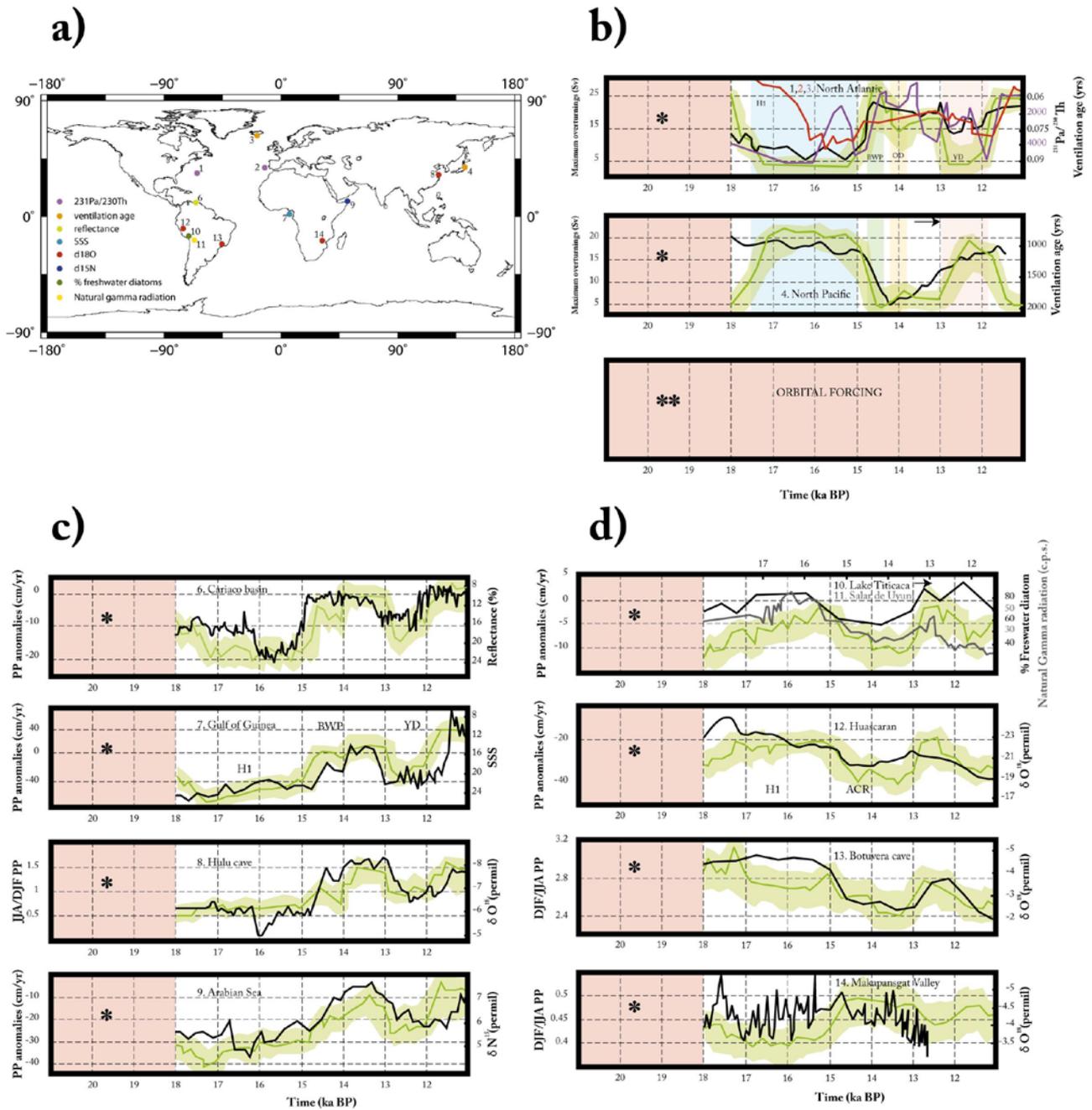


Figure caption: The upper panel shows drought episodes in Tibet reconstructed from Lake pollen profile (Shen et al., 2008) and in China from peat cellulose (Y.T. Hong et al, 2001). After the Christian era the drought conditions are available from Chinese historical records (Tan et al., 2008) and from India using stalagmites (A. Sinha et al., 2011; Yadava et al. 2004).

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Figure 5.17: [PLACEHOLDER FOR FIRST ORDER DRAFT: for a figure showing Holocene droughts; to be expanded using different reconstructions and perhaps simulations. Compilation of data for mid-late Holocene droughts (Rengaswamy).]

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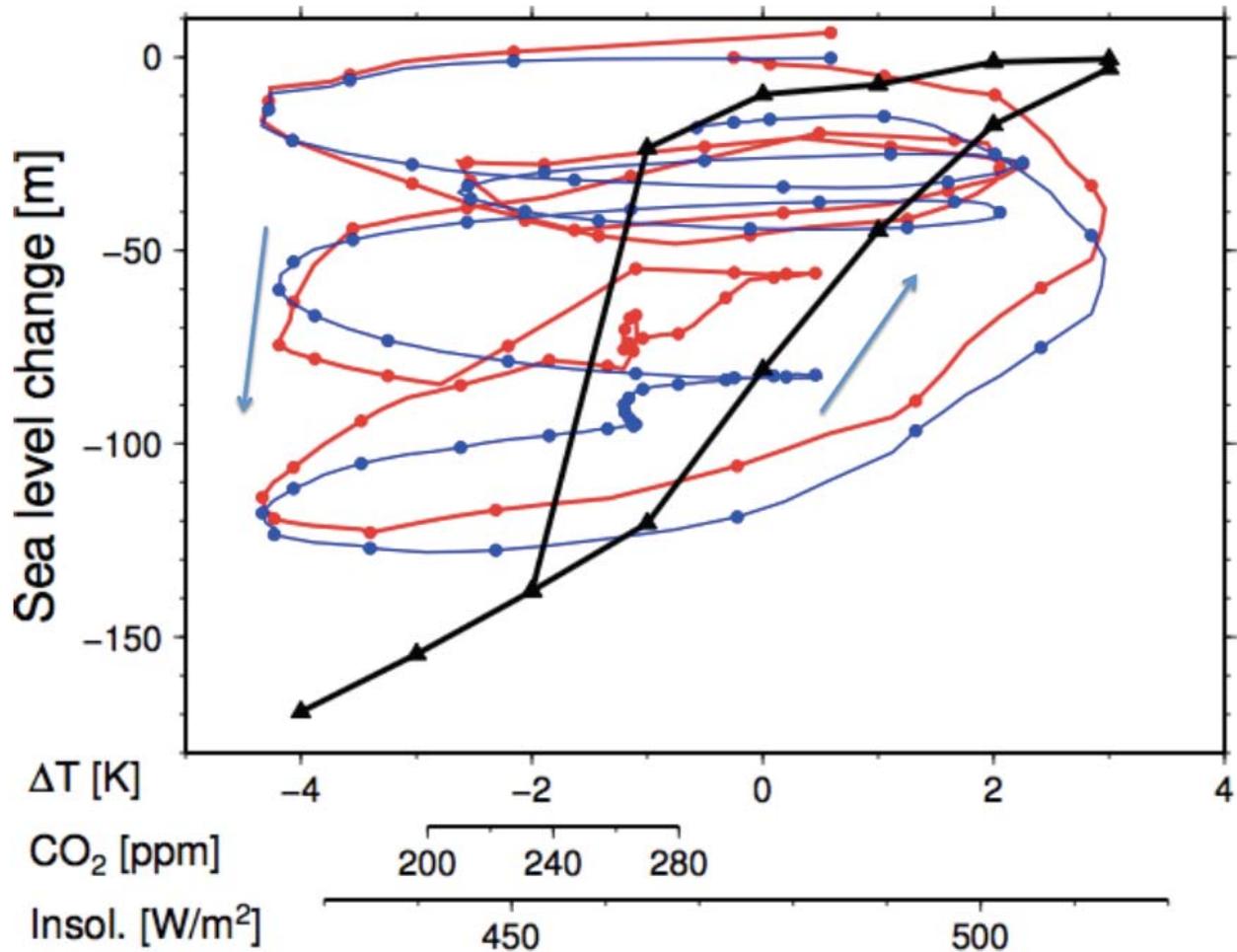
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Figure 5.18: Comparisons of monsoon behavior in reconstructions and model simulations. Reconstructed and simulated LGM and deglaciation (21 to 11 kyr BP) changes with reference to preindustrial times. For all simulations, the green solid lines (shading) represent the ensemble model average (spread). The present model representation is a simplification of the LOVECLIM model simulations in Menviel et al. (2011) involving freshwater forcing transient experiments in the northern North Atlantic and Southern Ocean and using time varying radiative forcing due to solar insolation and greenhouse gases concentration changes, and including the effects of waning glacial ice-sheets on topography and albedo. [LGM simulations and reconstructions and representation of orbital forcings will be considered for FOD. Further available simulations since 18 BP will be also considered.] a) Map showing locations of the paleo-proxy records used to compare model results (see legend for proxy type). b) Simulated changes in maximum of the meridional stream function (Sv) in North Atlantic (top) vs. $^{231}\text{Pa}/^{280}\text{Th}$ data from cores OCE326-GGC5 (1) (McManus et al., 2004) and SU81-18 (2) (Gherardi et al., 2005) and from ventilation age data from cores RAPID 10-1p, 154P and 17-5P (3) (Thornalley et al., 2011). Simulated maximum overturning strength in North Pacific (middle) and ventilation ages (years) recorded in marine sediment cores from Western North Pacific (4). The ventilation age curve is the smoothed spline interpolation of averaged benthic-planktic foraminifera ages and projection ages in the Western North Pacific (Okazaki et al., 2010). [Representation of precession changes

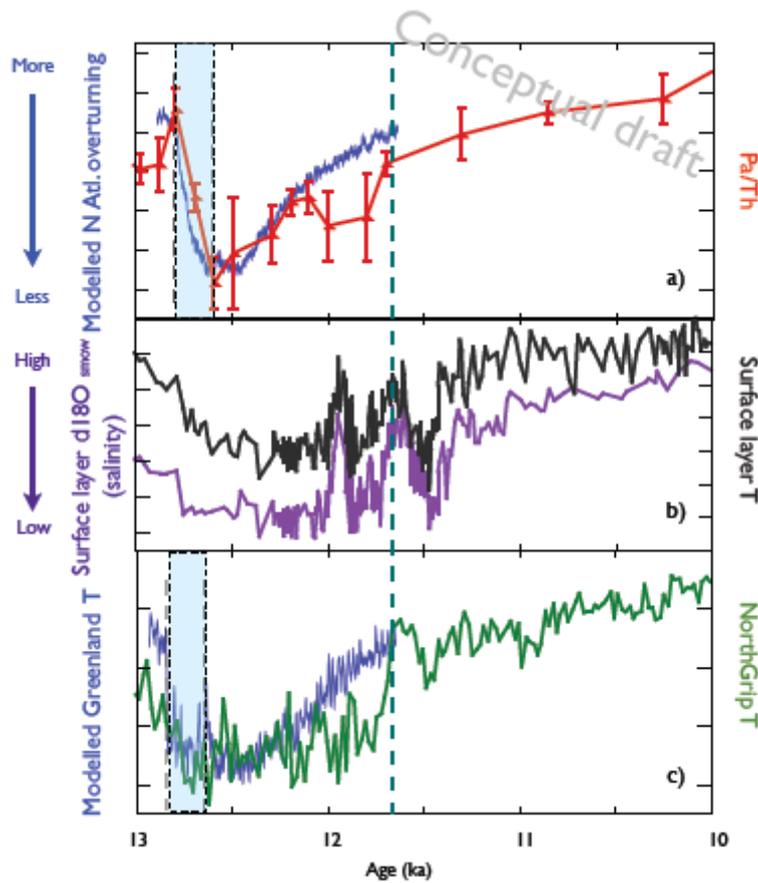
1 will be considered here (bottom).] Simulated transient precipitation anomalies various monsoon regions in
2 the NH (c) and SH (d) in comparison to regional paleoproxy evidence. NH (c): JJA precipitation anomalies
3 vs. Cariaco basin black reflectance in marine sediment core ODP1002 (6) (Peterson et al., 2000) (lower
4 reflectance has been associated with increased marine productivity due to greater riverine input); jja
5 precipitation anomalies over western Equatorial Africa vs. sea surface salinity reconstruction from the Gulf
6 of Guinea MD03-2707 core (7) (Weldeab et al., 2007); JJA/DJF precipitation anomalies vs. $\delta^{18}\text{O}$ (permil,
7 black) in stalagmites of the Hulo cave, China (8) (Wang et al., 2001); JJA precipitation anomalies vs. $\delta^{18}\text{O}$
8 (permil, black) in marine sediment core 905 from the Arabian Sea (9) (Ivanochko et al., 2005). SH (d):
9 Annual precipitation in Bolivia vs. percentage of fresh diatoms (black) in Lake Titicaca and natural gamma
10 ray profile (grey) from Salar de Uyuni (10) (Baker et al., 2001a; Baker et al., 2001b) (both time axes from
11 proxy data shifted forward 400 yrs.); DJF precipitation anomalies over Peru vs. $\delta^{18}\text{O}$ (permil, black) from
12 Huascaran ice core (11) (Thompson et al., 1995); JJA/DJF precipitation anomalies over Brazil vs. $\delta^{18}\text{O}$
13 (permil, black) in stalagmites of Botuverá cave (12) (Wang et al., 2007b); JJA/DJF precipitation anomalies
14 over South Africa and $\delta^{18}\text{O}$ (permil, black) from Makapansgat Valley stalagmites (13) (Holmgren et al.,
15 2003). H1 stands for Heinrich event 1, BWP for Bolling Warm Period, OD for Older Dryas and YD for
16 Younger Dryas. [CONCEPT: The freshwater forcing experiments in H1 and the YD lead to a collapse of the
17 AMOC and enhanced NH cooling relative to LGM and a southward shift of the ITCZ. Dry conditions
18 (weaker monsoon) result in simulated precipitation in Cariaco basin, the Gulf of Guinea (enhanced salinity),
19 reduced JJA/DJF ratio over China and reduced JJA precipitation in the Arabian Sea. In the SH the AMOC
20 shut down leads to a strengthening of the AABW cell strengthens and the poleward heat transport is
21 intensified at 30°S contributing to a warming of the SH, also favoured by greenhouse gases, spring
22 insolation, ice retreat around Antarctica and the bipolar seasaw. The cooling of the North Atlantic and the
23 warming in the SH lead to a southward shift of the ITCA and generally wetter conditions in the Southern
24 Hemisphere as supported by the proxy records in Bolivia, Peru, South Africa and Brazil. Discussion about
25 LGM to be included.]
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4 **Figure 5.19:** [PLACEHOLDER FOR FIRST ORDER DRAFT: transient and steady state simulations of the
 5 response of ice sheets as a function of CO₂ concentration and orbital forcing.] Reconstruction of sea level
 6 change for the last 125 ka (red line: [Waelbroeck et al., 2003]) compared with a transient ice sheet
 7 simulation (blue line). The dots on the transient lines are displayed with a 2 ky step, showing an anti-
 8 clockwise trajectory. Black triangles display the steady state response of the same model for the last glacial
 9 cycle as a function of CO₂ concentration (with fixed present day orbital configuration) and/or orbital forcing
 10 (with fixed pre-industrial CO₂), whose effect are converted to summer temperature change relative to present
 11 day. The steady states are obtained after 100,000 years for an initial condition, which results in multiple
 12 steady states for a certain range. [Only one model is displayed (Abe-Ouchi et al 2007) but a revised version
 13 of this figure would incorporate multi-model comparisons.]
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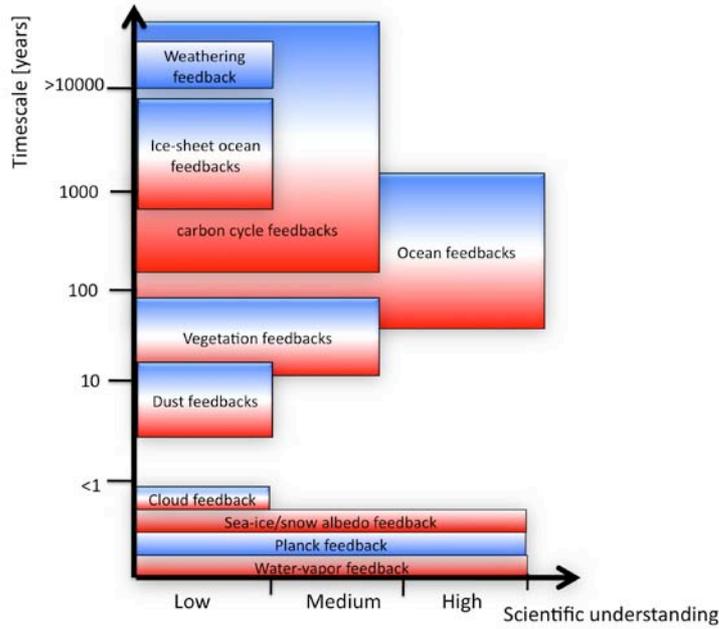
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Figure 5.20: [PLACEHOLDER FOR FIRST ORDER DRAFT: to be replaced by newer data] [Phase lag between surface salinity anomaly and ocean circulation from high resolution marine sediment data.] Modelled and reconstructed North Atlantic responses during and after major reductions in the AMOC. a) Blue line: modelled maximum overturning strength in the North Atlantic in Sverdrups ($10^6\text{m}^3/\text{s}$) (Otto-Bliesner and Brady, 2010). Red line: Pa/Th record from North Atlantic deep sea sediments through the Younger Dryas event (McManus et al., 2004). b) Near surface temperature and salinity reconstructions from the NE Atlantic [(Dokken et al., in review; Bakke, 2009)]. c) Modelled and reconstructed Greenland temperature during and after major reductions in the AMOC. Blue curve Modelled T at the Summit of Greenland from the same experiment as in a). Green line: Temperature estimated from the NorthGrip O-isotope record.

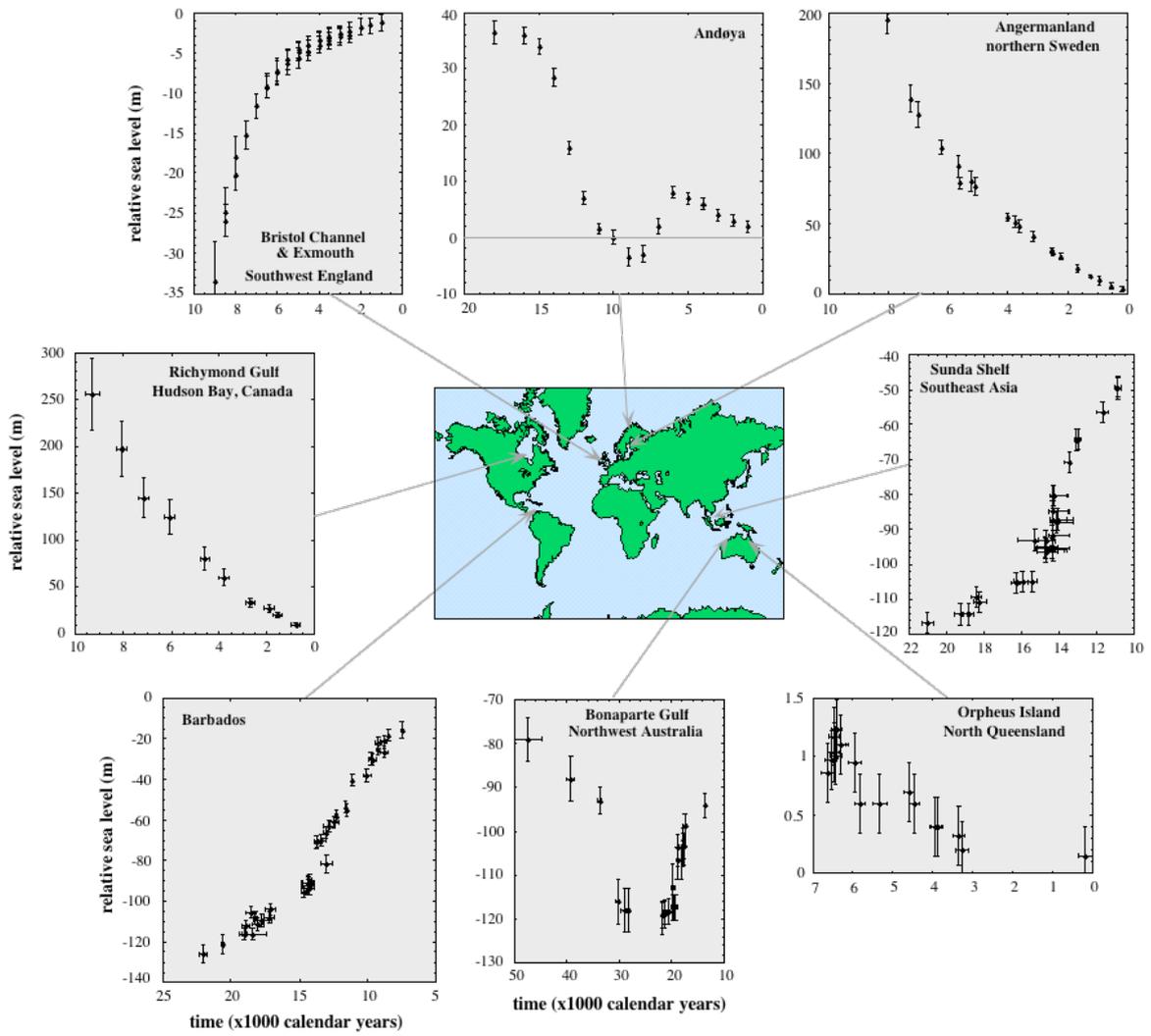
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Box 5.3, Figure 1: [PLACEHOLDER FOR FIRST ORDER DRAFT: figure details to be refined after further discussions, determination of level of Scientific Understanding needs to be discussed.] Schematic diagram of Earth-system feedbacks relevant for generating past climate changes, their time scale and the present level of scientific understanding. Red (blue) bars indicate positive (negative) feedbacks. Red to blue shading represents feedbacks whose sign is either uncertain or whose detailed sub-processes can either amplify or damp the response to perturbations.

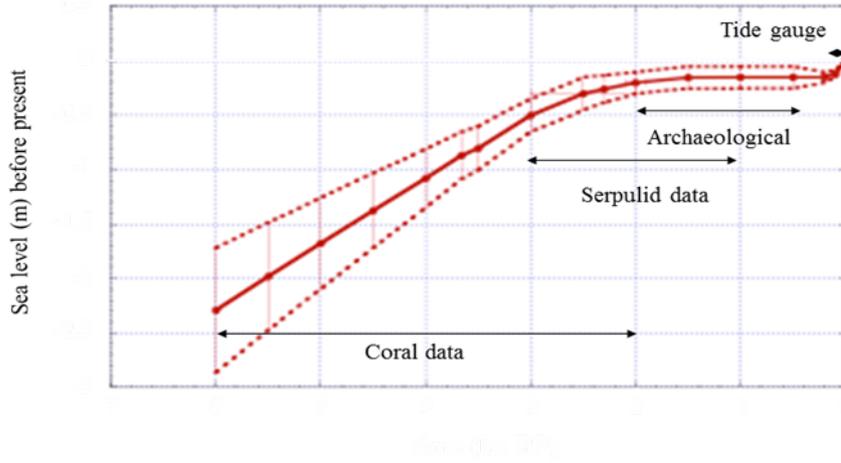
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FAQ 5.1, Figure 1: [PLACEHOLDER FOR FIRST ORDER DRAFT: The actual figure will be a variant of this, using results only for the past 3000–4000 years.]

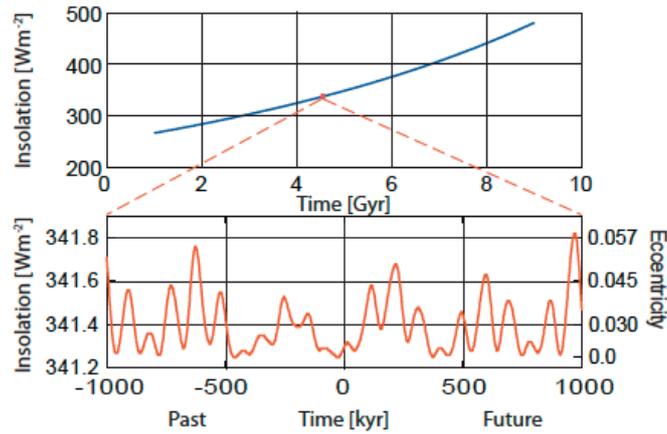
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FAQ 5.1, Figure 2: [PLACEHOLDER FOR FIRST ORDER DRAFT: The final figure will be an update on this introducing salt marsh data etc.] Globally averaged sea level rise for the past 6000 years.

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FAQ 5.2, Figure 1: Long-term variation of the mean global insolation. Upper panel: after the formation of the solar system 4.55 Gyr ago the insolation was some 20% lower than today. It will steadily increase for the next about 5 billion years until the Sun will become a red giant and destroy the Earth. Lower panel: Insolation changes for the past and the future one million years as a result of the planetary effects on the eccentricity of the Earth's orbit around the Sun. 0 corresponds to the present time.